

# Graph-Based

# Semi-Supervised Learning



Jiyoon Lee  
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# Introduction

## 발표자 소개



### ❖ 이지윤 (Jiyoung Lee)

- Data Mining & Quality Analytics Lab
- Ph.D. Candidates (2018.03 ~ Present)

### ❖ Research Interest

- Explainable neural network using Attention mechanism & Bayesian neural network
- Graph-based semi-supervised learning using Label propagation

### ❖ Contact

- Tel: +82-2-3290-3769
- E-mail: jiyounglee@korea.ac.kr

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- Graph Data
- Semi-Supervised Learning

## 2. Label Propagation (not DL)

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- Label Propagation with Pairwise Constraints

## 3. Graph Convolutional Networks

- Convolutional Neural Networks
- Graph Convolutional Networks

## 4. Conclusions

## 5. Appendix

# **Graph-Based**

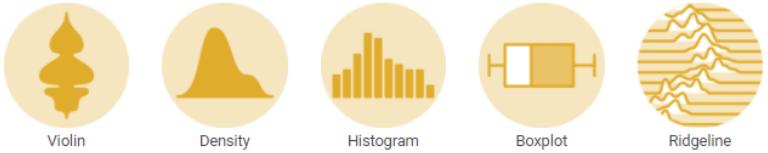
# **Semi-Supervised Learning**

# Graph Data

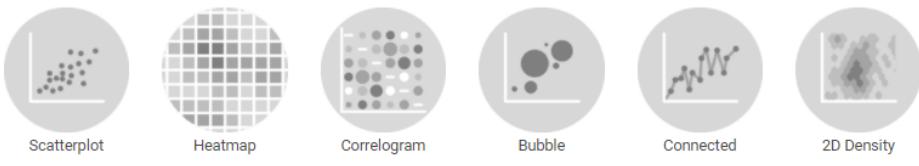
## Graph definition

- ❖ 직선, 곡선, 도형 등 그래픽의 요소에 의해 **시각화 된 차트**를 의미
- ❖ 함수의 그래프는 **주어진 함수가 나타내는 직선이나 곡선**을 의미

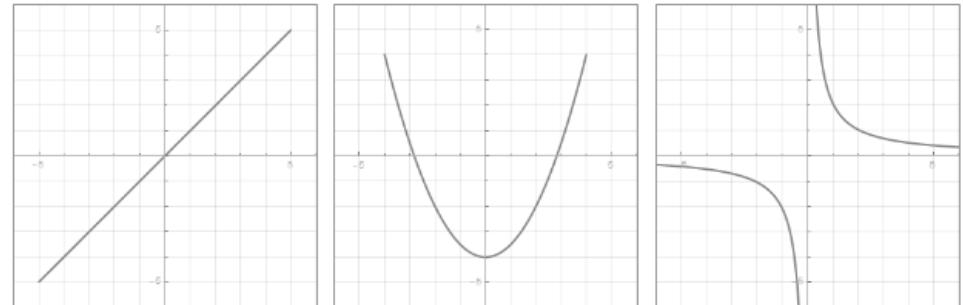
### Distribution



### Correlation



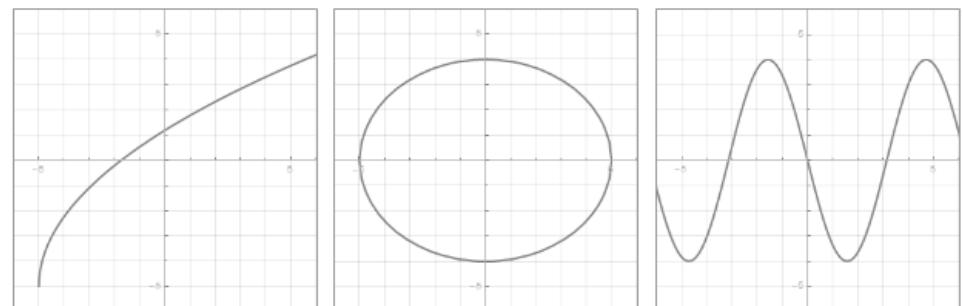
### Ranking



일차함수

이차함수

분수함수



무리함수

이차곡선

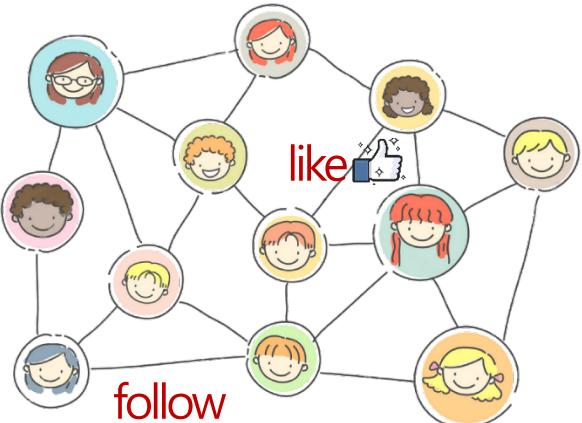
삼각함수

# Graph Data

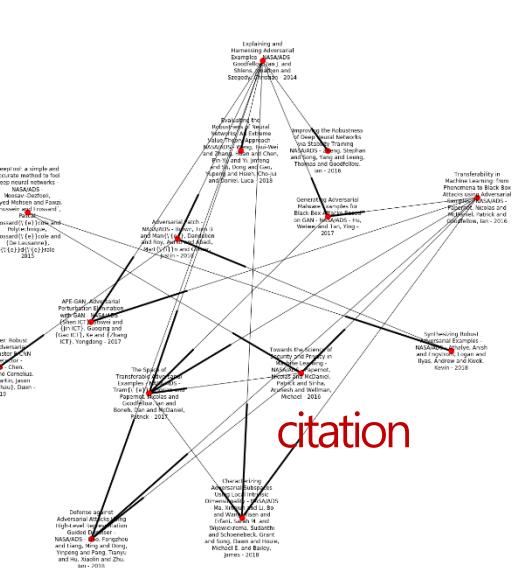
## Graph for Data Analysis

❖ 데이터와 데이터 사이의 관계를 모아 놓은 자료

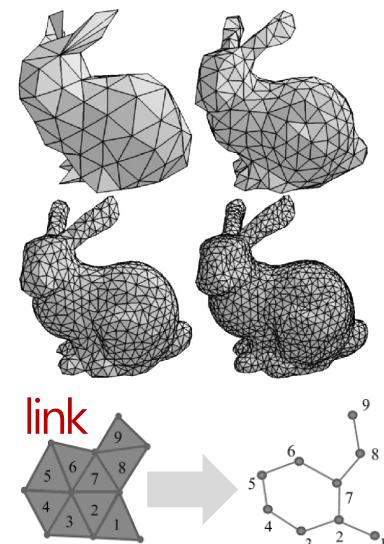
Social Graph



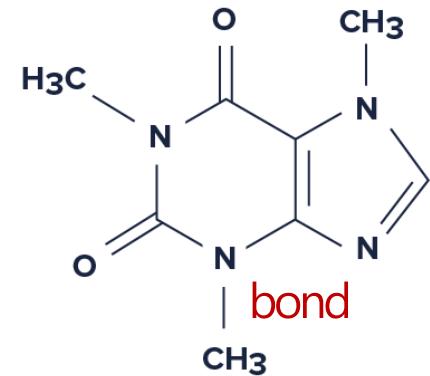
Citation Graph



3D Mesh Graph



Molecular Graph



# Graph Data

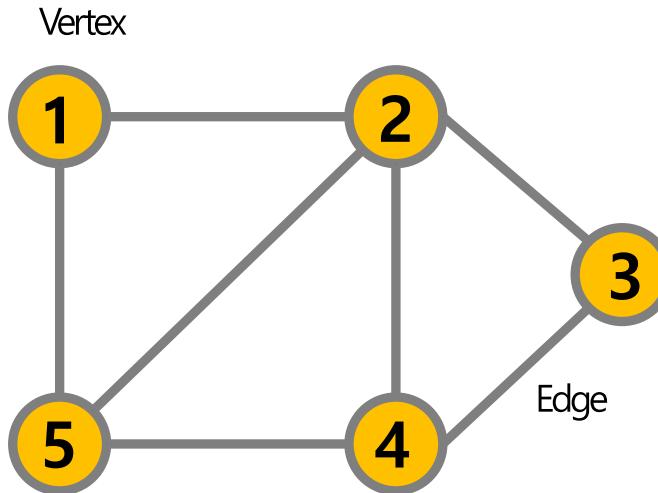
## Graph for Data Analysis

- ❖ 데이터와 데이터 사이의 관계를 모아 놓은 자료

Vertex (Node)

Edge

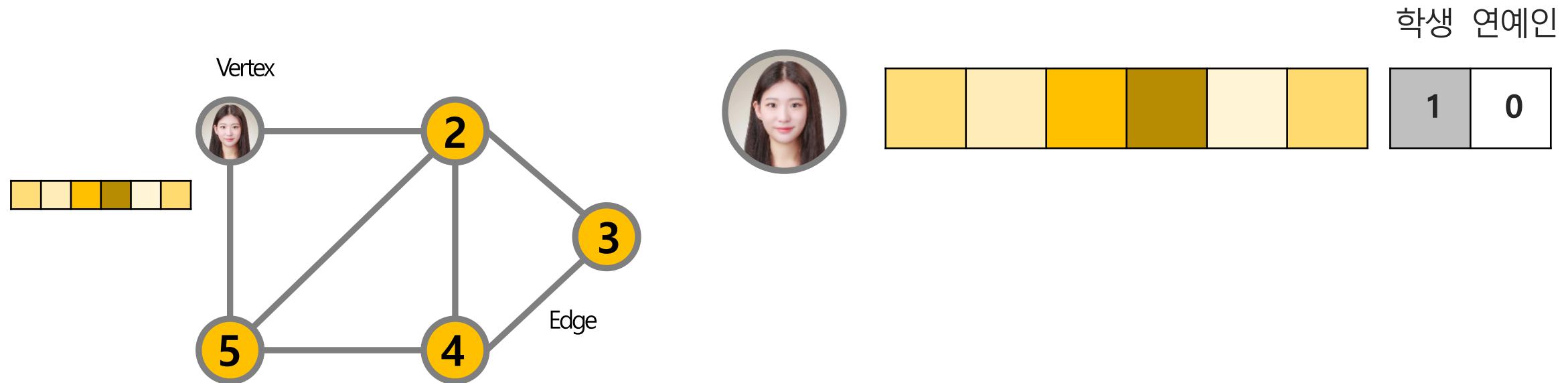
- ❖ 그래프는 Vertices와 그사이 Edge의 집합:  $G = \{V, E\}$



# Graph Data

## Graph for Data Analysis

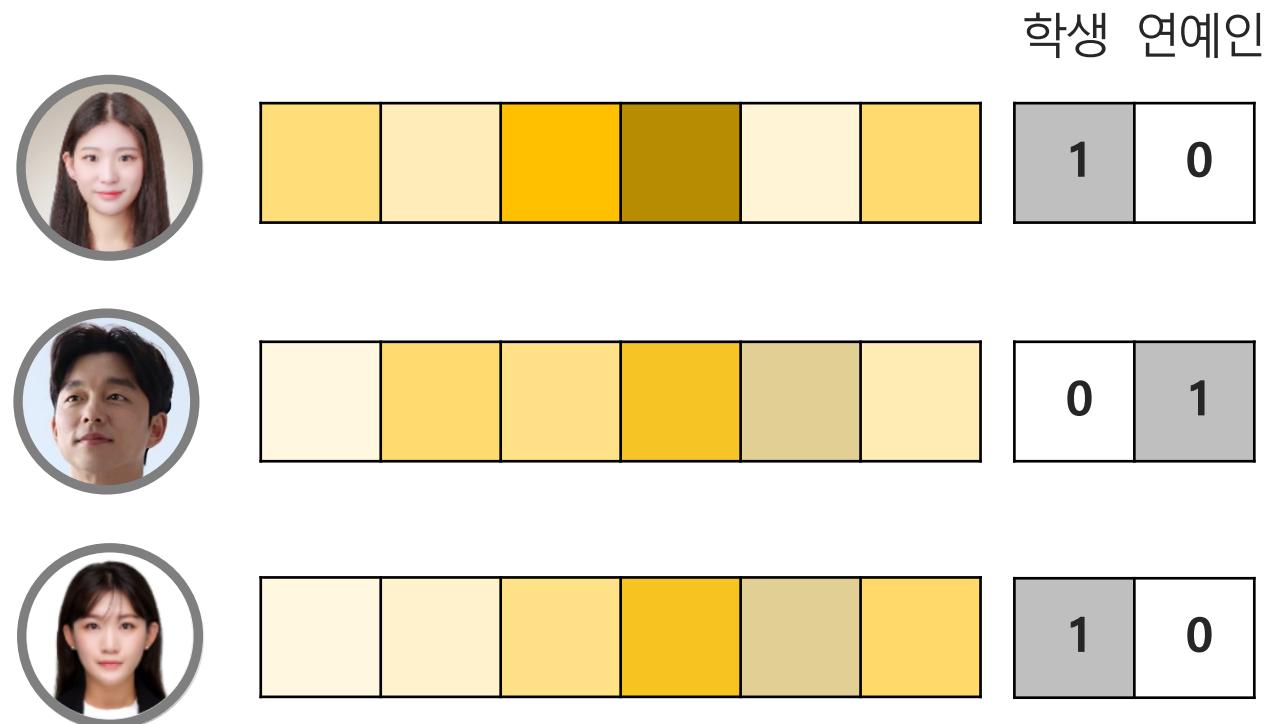
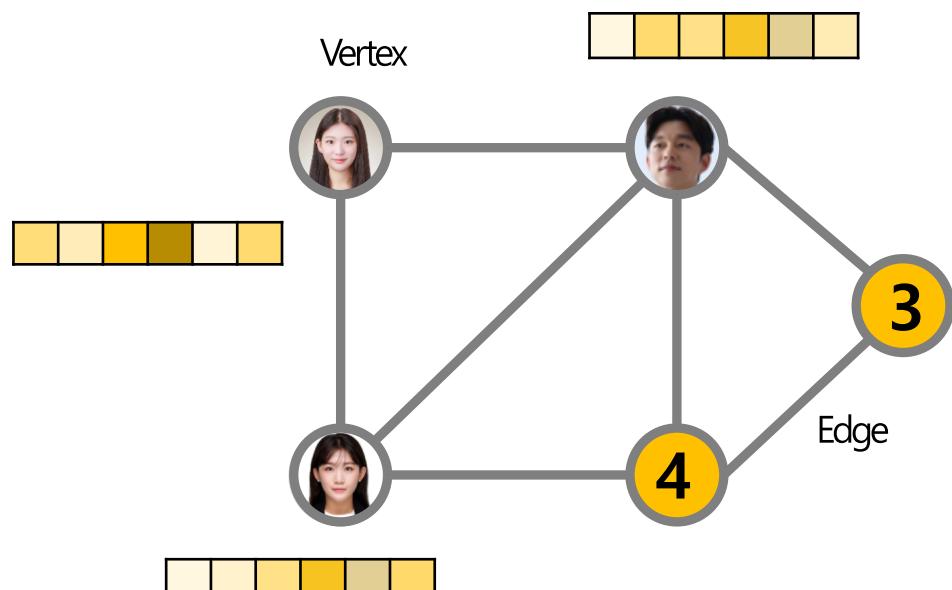
- ❖ Vertex는 하나의 샘플을 의미
- ❖ Vertex set  $V(G) = \{v_1, v_2, \dots, v_n\}$



# Graph Data

## Graph for Data Analysis

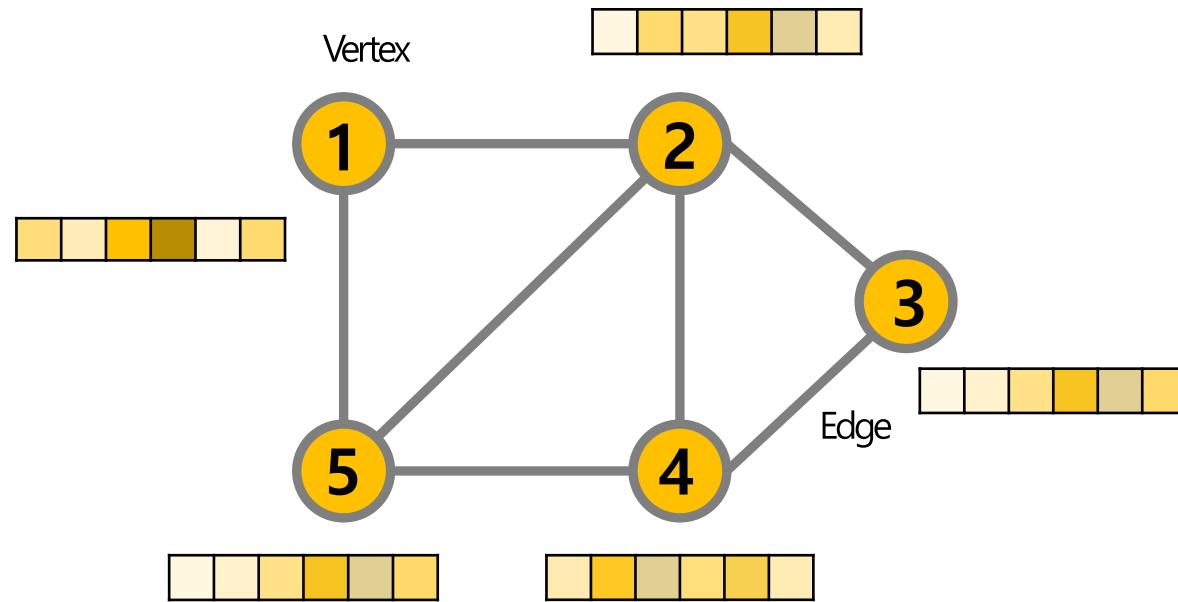
- ❖ Vertex는 하나의 샘플을 의미
- ❖ Vertex set  $V(G) = \{v_1, v_2, \dots, v_n\}$



# Graph Data

## Graph for Data Analysis

- ❖ Vertex는 하나의 샘플을 의미
- ❖ Node-feature matrix와 Node-class matrix로 표현



Node – Feature Matrix

$$X \in R^{n \times f}$$

Yellow	White	Yellow	Dark Yellow	White	Yellow
White	Yellow	Yellow	Yellow	Brown	White
Yellow	White	White	White	White	Yellow
White	Yellow	Dark Yellow	White	Yellow	White
White	White	White	Dark Yellow	White	Yellow

Node – Class Matrix

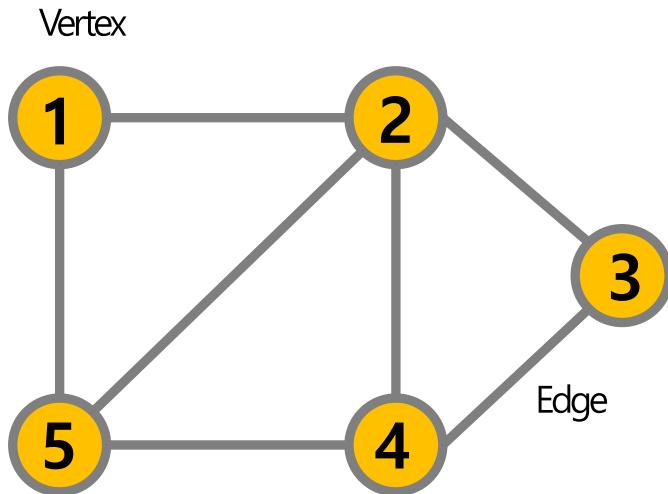
$$Y \in R^{n \times c}$$

1	0
0	1
0	1
1	0
1	0

# Graph Data

## Graph for Data Analysis

- ❖ Edge는 샘플들의 연결관계를 의미
- ❖ Edge set:  $E(G) = \{w_{ij}\}, 1 \leq i, j \leq N$



Node – Feature Matrix

$$X \in R^{n \times f}$$

Yellow	White	Yellow	Dark Green	White	Yellow
White	Yellow	White	Yellow	Grey	White
Yellow	White	White	White	White	Yellow
White	Yellow	Grey	Yellow	Yellow	White
White	White	Yellow	Dark Green	Grey	Yellow

Node – Class Matrix

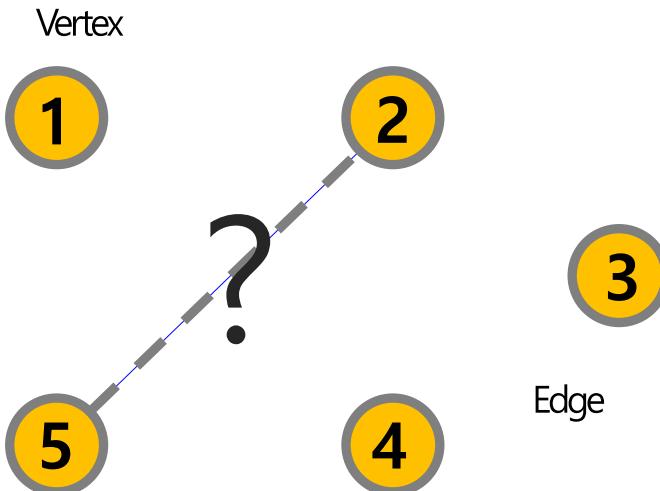
$$Y \in R^{n \times c}$$

1	0
0	1
0	1
1	0
1	0

# Graph Data

## Graph for Data Analysis

- ❖ Edge에 대한 정보가 주어지지 않는다면, vertex를 바탕으로 edge를 구성 해야함
- ❖ Edge set:  $E(G) = \{w_{ij}\}$ ,  $1 \leq i, j \leq N$



### $\epsilon$ – Nearest Neighbor

$$w_{ij} = \begin{cases} 1, & \text{if } \|x_i - x_j\| \leq \epsilon \\ 0, & \text{if otherwise} \end{cases}$$

### K – Nearest Neighbor

$$w_{ij} = \begin{cases} 1, & \text{if } x_i \text{ or } x_j \text{ is k nearest of the other.} \\ 0, & \text{if otherwise} \end{cases}$$

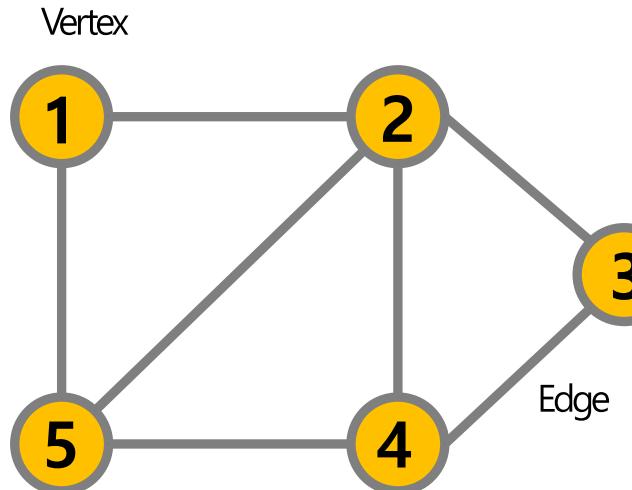
### Gaussian kernel similarity function

$$w_{ij} = e^{-\frac{\|x_i - x_j\|^2}{2\sigma^2}}$$

# Graph Data

Graph for Data Analysis : [Adjacency matrix](#), Degree matrix, Laplacian matrix

- ❖ Adjacency matrix : Undirected graph  $\rightarrow$  Symmetric
- ❖ Edge set:  $E(G) = \{1, 0\}$



Adjacency Matrix

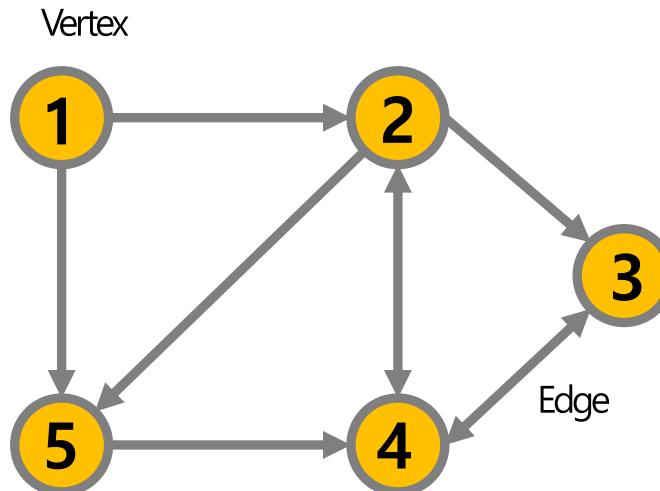
$$A \in R^{n \times n}$$

0	1	0	0	1
1	0	1	1	1
0	1	0	1	0
0	1	1	0	1
1	1	0	1	0

# Graph Data

Graph for Data Analysis : **Adjacency matrix**, Degree matrix, Laplacian matrix

- ❖ Adjacency matrix : Directed graph → Asymmetric
- ❖ Edge set:  $E(G) = \{1, 0\}$



Adjacency Matrix

$$A \in R^{n \times n}$$

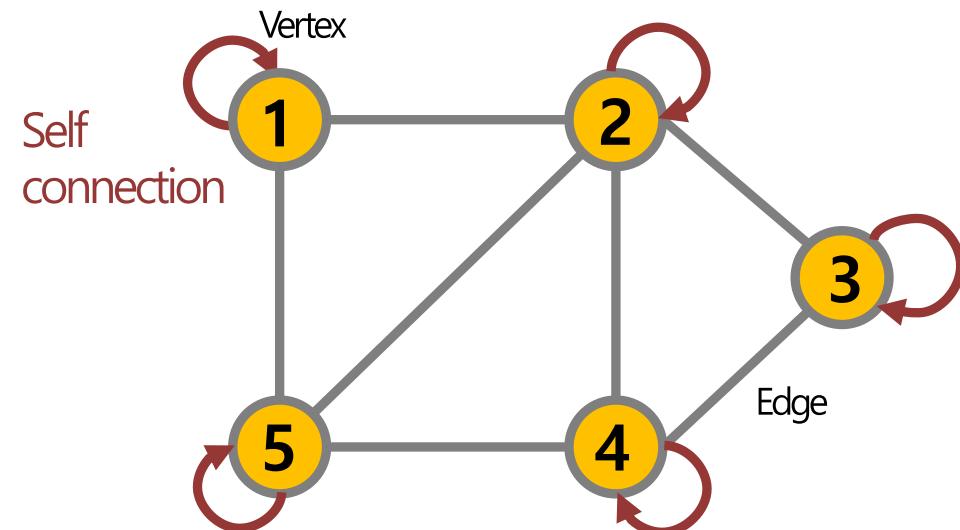
input	0	1	0	0	1
0	0	1	0	1	
0	0	0	1	0	
0	1	1	0	0	
0	0	0	1	0	

output

# Graph Data

Graph for Data Analysis : [Adjacency matrix](#), Degree matrix, Laplacian matrix

- ❖ Adjacency matrix + [Identity matrix](#)
- ❖ Edge set:  $E(G) = \{1, 0\}$



Adjacency Matrix

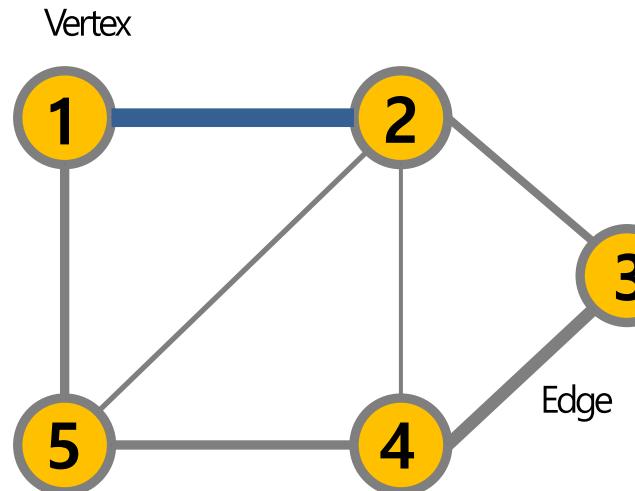
$$\tilde{A} \in R^{n \times n}$$

1	1	0	0	1
1	1	1	1	1
0	1	1	1	0
0	1	1	1	1
1	1	0	1	1

# Graph Data

Graph for Data Analysis : **Adjacency matrix**, Degree matrix, Laplacian matrix

- ❖ Adjacency matrix : Weighted Undirected graph
- ❖ Edge set:  $E(G) = \{w_{ij}\}, 1 \leq i, j \leq N$



Adjacency Matrix

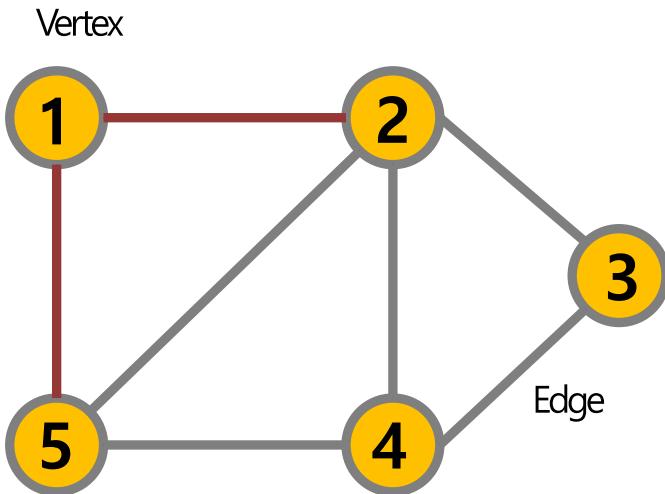
$$A \in R^{n \times n}$$

0	1.5	0	0	1
1.5	0	0.8	0.2	0.4
0	0.8	0	1.2	0
0	0.2	1.2	0	1
1	0.4	0	1	0

# Graph Data

Graph for Data Analysis : Adjacency matrix, Degree matrix, Laplacian matrix

- ❖ Degree는 각 vertex와 연결된 edge의 수
- ❖ 따라서, adjacency matrix의 row sum값



Adjacency Matrix

$$A \in R^{n \times n}$$

Degree

$$\sum_j A_{i,j}$$

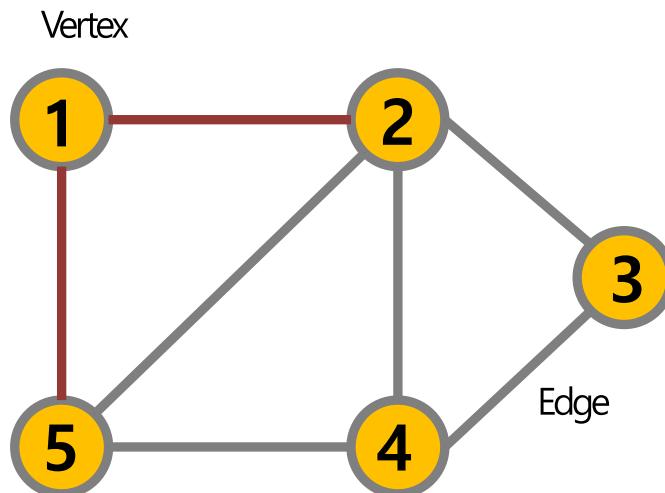
0	1	0	0	1
1	0	1	1	1
0	1	0	1	0
0	1	1	0	1
1	1	0	1	0

2
4
2
3
3

# Graph Data

Graph for Data Analysis : Adjacency matrix, Degree matrix, Laplacian matrix

- ❖ Degree matrix : 대각행렬에 degree값으로 구성되며, 나머지는 0을 지님



Degree Matrix

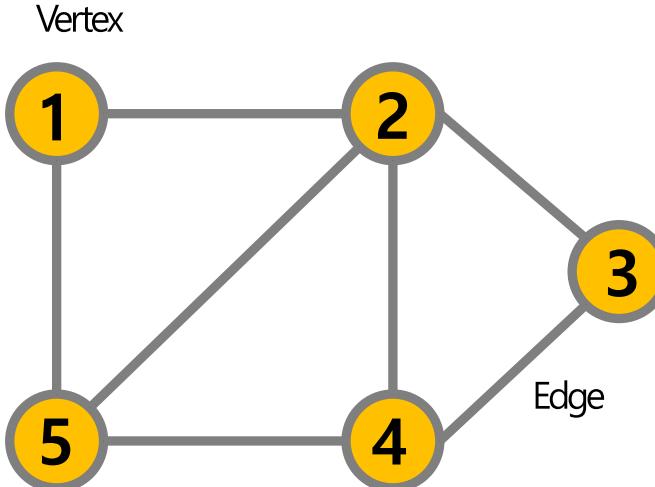
$$D \in R^{n \times n}, D_{i,i} = \sum_j A_{i,j}$$

2	0	0	0	0
0	4	0	0	0
0	0	2	0	0
0	0	0	3	0
0	0	0	0	3

# Graph Data

Graph for Data Analysis : Adjacency matrix, Degree matrix, Laplacian matrix

- ❖ Laplacian matrix : Degree matrix – Adjacency matrix



Degree Matrix  
 $D \in R^{n \times n}, D_{i,i} = \sum_j A_{i,j}$

2	0	0	0	0
0	4	0	0	0
0	0	2	0	0
0	0	0	3	0
0	0	0	0	3

Adjacency Matrix  
 $A \in R^{n \times n}$

0	1	0	0	1
1	0	1	1	1
0	1	0	1	0
0	1	1	0	1
1	1	0	1	X0

Laplacian Matrix  
 $L \in R^{n \times n}, L = D - A$

2	-1	0	0	-1
-1	4	-1	-1	-1
0	-1	2	-1	0
0	-1	-1	3	-1
-1	-1	0	-1	3

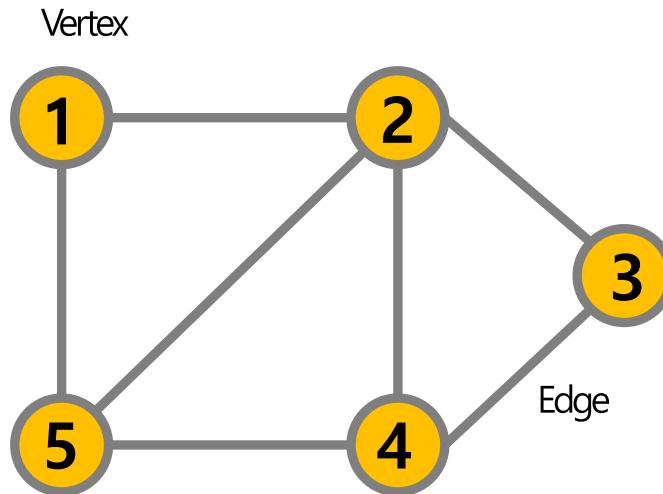
The elements of  $L$  are given by

$$L_{i,j} = \begin{cases} \deg(v_i) & \text{if } i = j \\ -1 & \text{if } i \neq j \\ 0 & \text{otherwise} \end{cases} \quad \text{where } \deg(v_i) \text{ is the degree of the vertex } i$$

# Graph Data

Graph for Data Analysis : Adjacency matrix, Degree matrix, Laplacian matrix

- ❖ Laplacian matrix : Degree matrix – Adjacency matrix



Laplacian Matrix

$$L \in R^{N \times N}, L = D - A$$

2	-1	0	0	-1
-1	4	-1	-1	-1
0	-1	2	-1	0
0	-1	-1	3	-1
-1	-1	0	-1	3

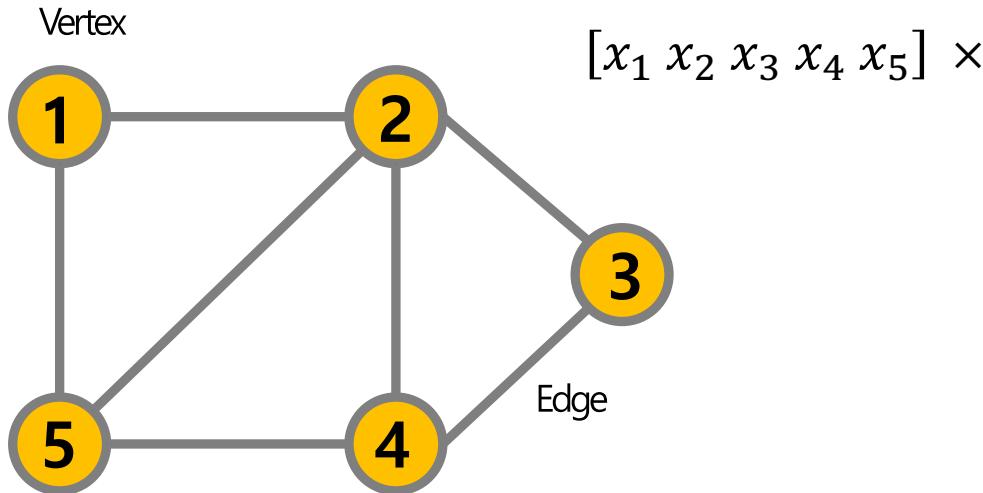
이웃 vertex와의  
관계 정보

Degree 정보

# Graph Data

Graph for Data Analysis : Adjacency matrix, Degree matrix, Laplacian matrix

- ❖ Laplacian matrix : Degree matrix – Adjacency matrix



Laplacian Matrix  
 $L \in R^{N \times N}, L = D - A$

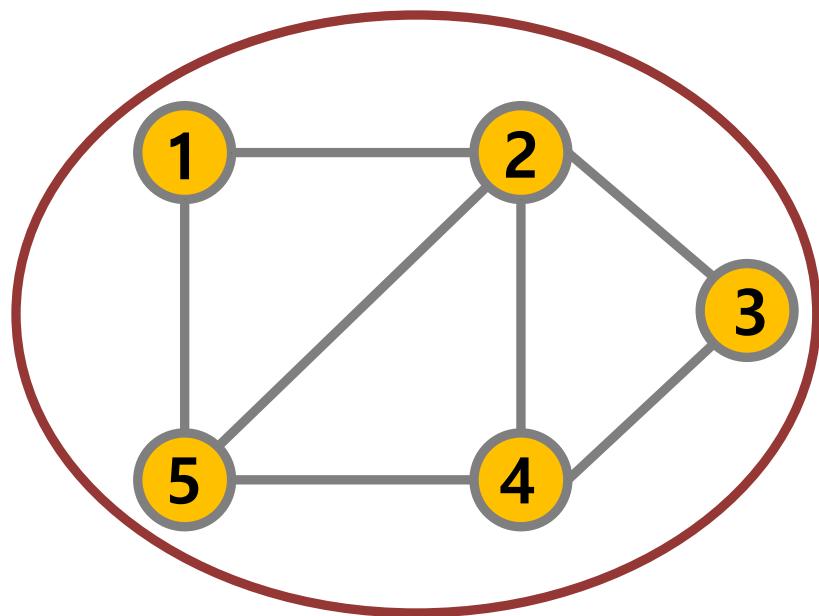
2	-1	0	0	-1
-1	4	-1	-1	-1
0	-1	2	-1	0
0	-1	-1	3	-1
-1	-1	0	-1	3

$$\begin{aligned} & -x_1 + 4x_2 - x_3 - x_4 - x_5 \\ &= (x_2 - x_1) + (x_2 - x_3) \\ &+ (x_2 - x_4) + (x_2 - x_5) \end{aligned}$$

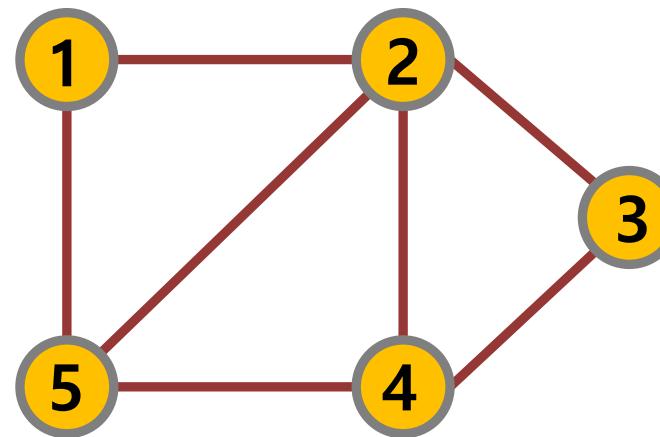
중심 vertex와 이웃 vertex  
사이의 관계 정보

# Graph Data

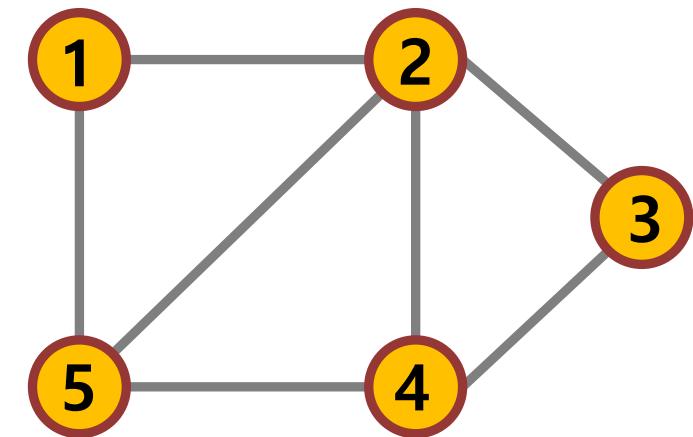
Graph Tasks : Graph prediction, Edge prediction, Node prediction



Graph prediction



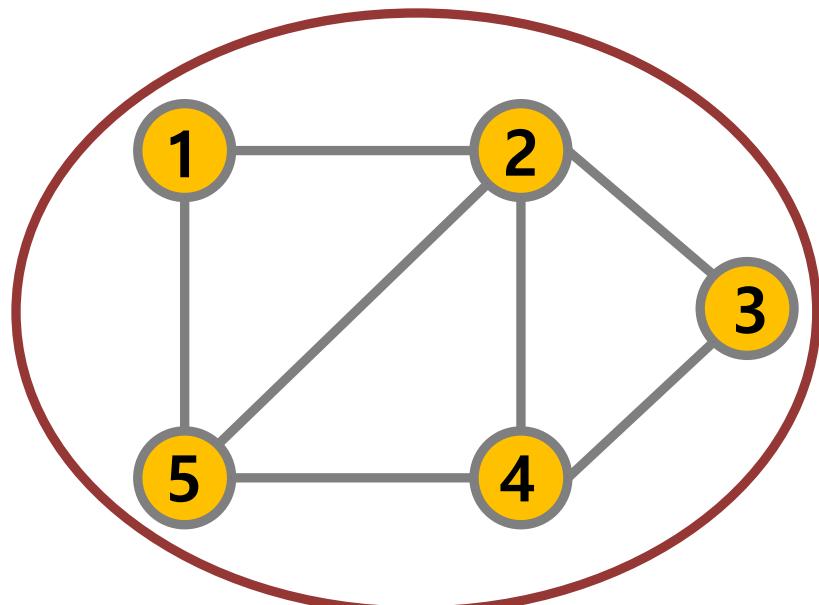
Edge prediction



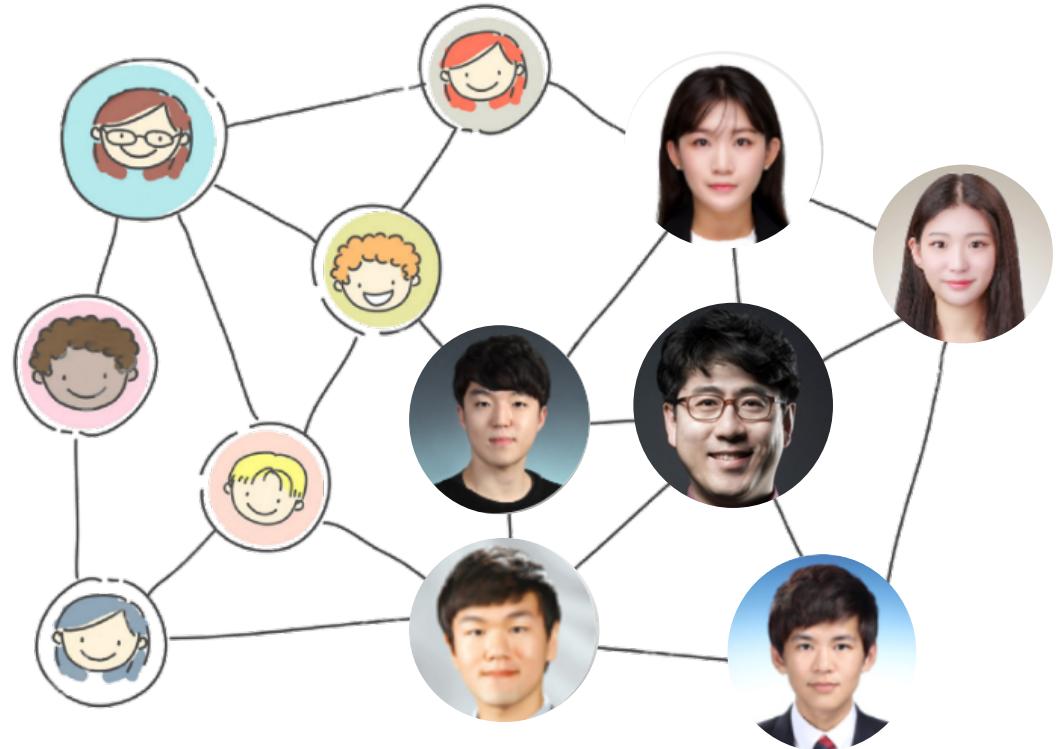
Node prediction

# Graph Data

Graph Tasks : **Graph prediction**, Edge prediction, Node prediction



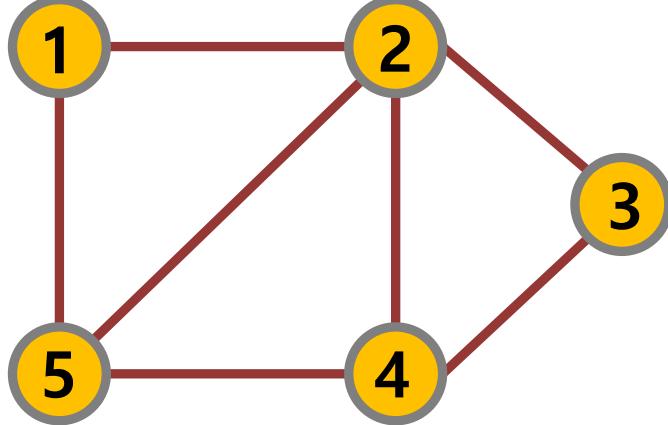
Graph prediction



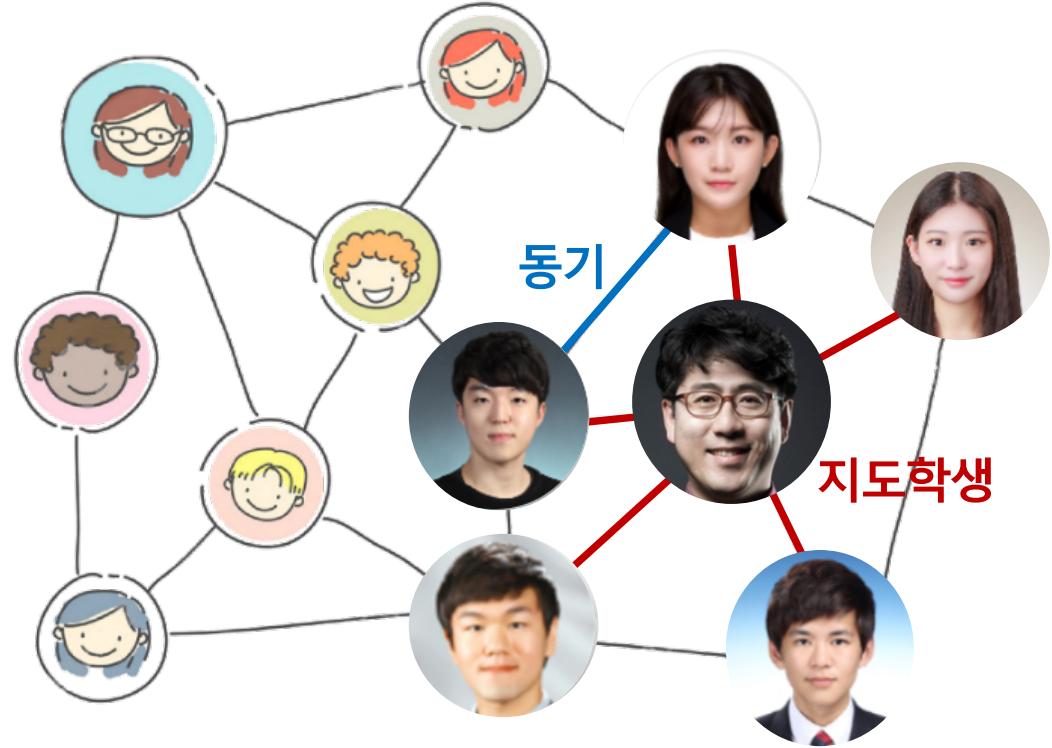
DMQA

# Graph Data

Graph Tasks : Graph prediction, Edge prediction, Node prediction



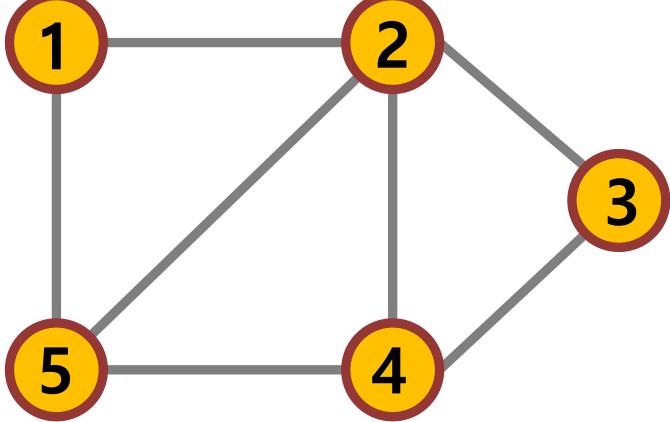
Edge prediction



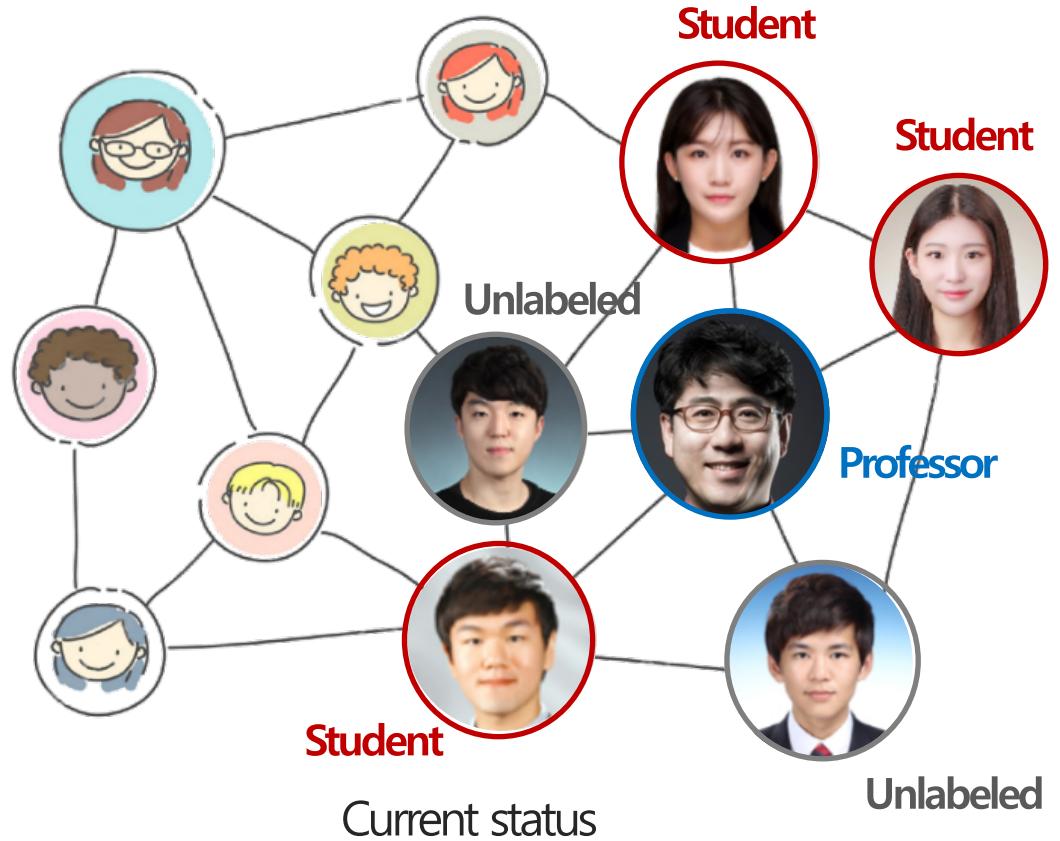
Relationship

# Graph Data

Graph Tasks : Graph prediction, Edge prediction, Node prediction

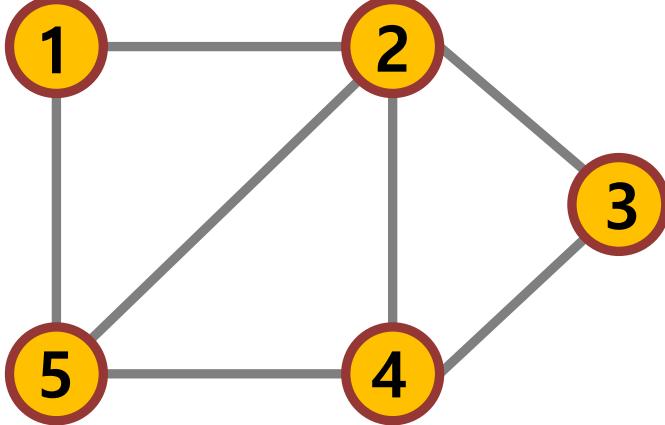


Node prediction

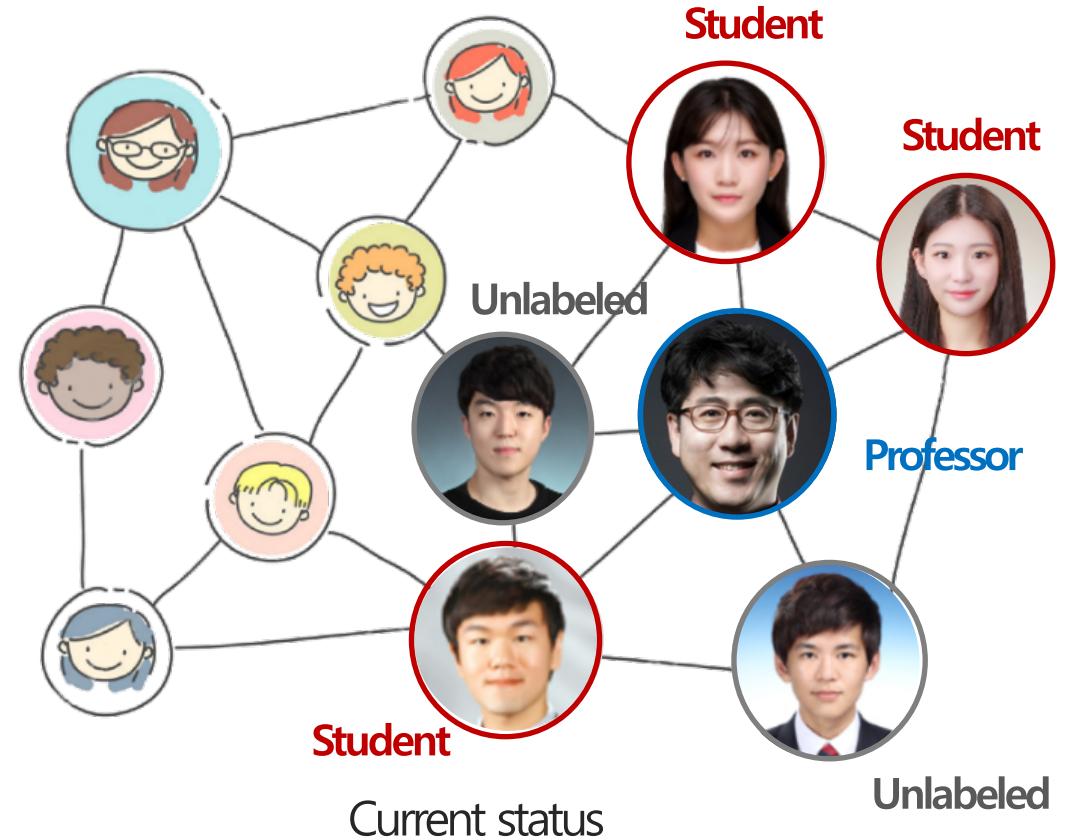


# Graph Data

Graph Tasks : Graph prediction, Edge prediction, Node prediction



Node prediction



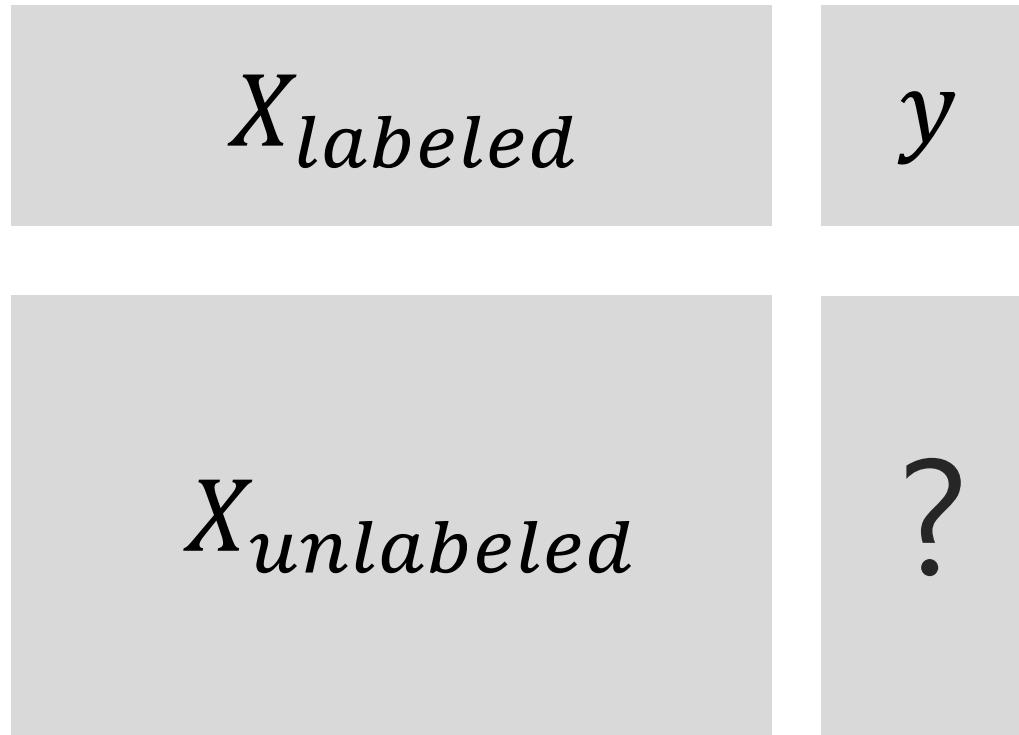
Semi-supervised learning

# Graph-Based Semi-Supervised Learning

# Semi-Supervised Learning

## Limitations of Supervised Learning

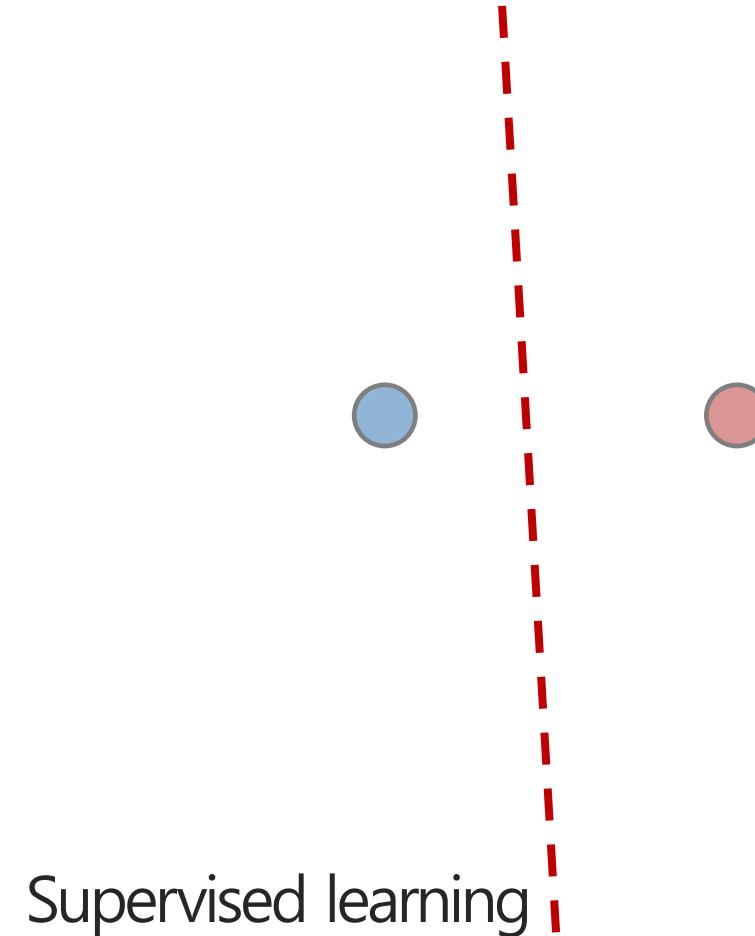
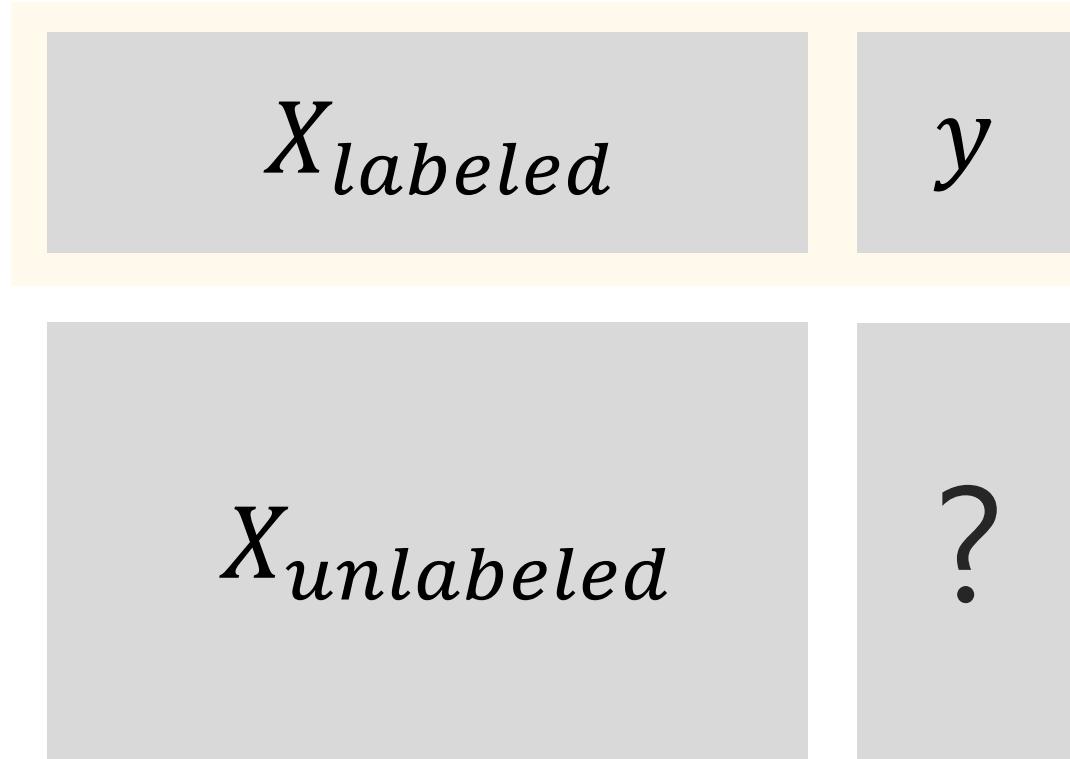
- ❖ 데이터 레이블링에는 많은 시간과 비용이 발생



# Semi-Supervised Learning

## Limitations of Supervised Learning

- ❖ 데이터 레이블링에는 많은 시간과 비용이 발생

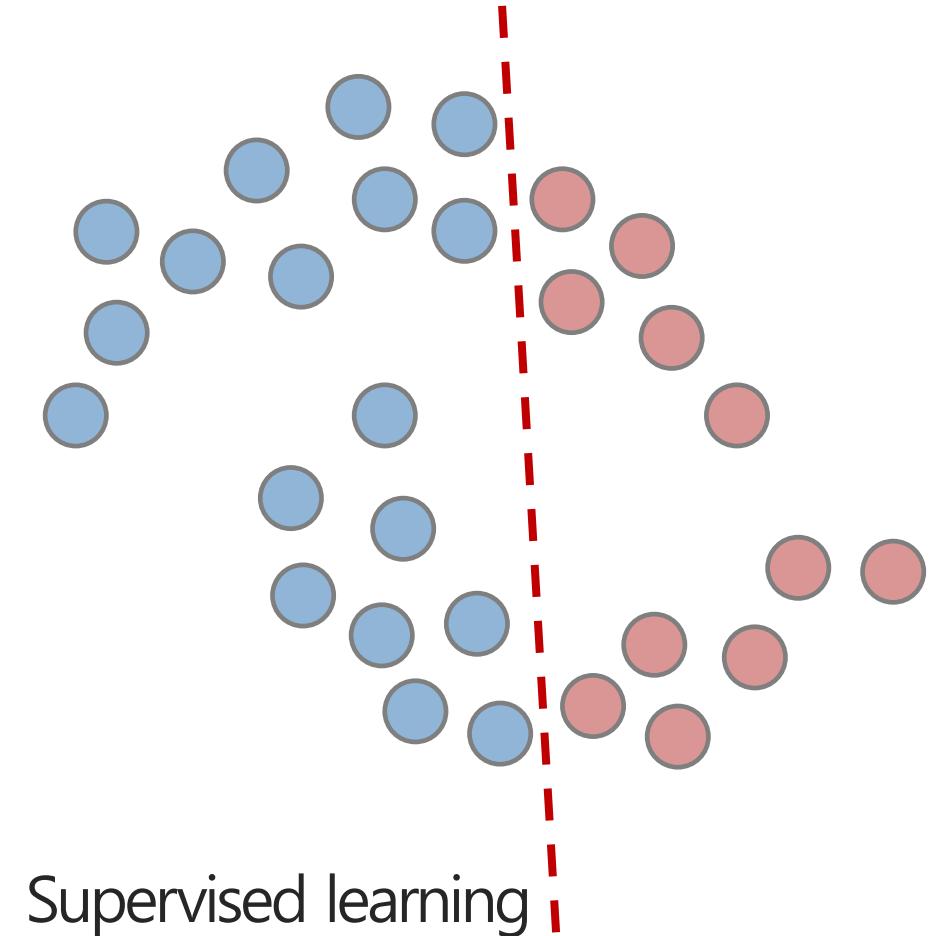
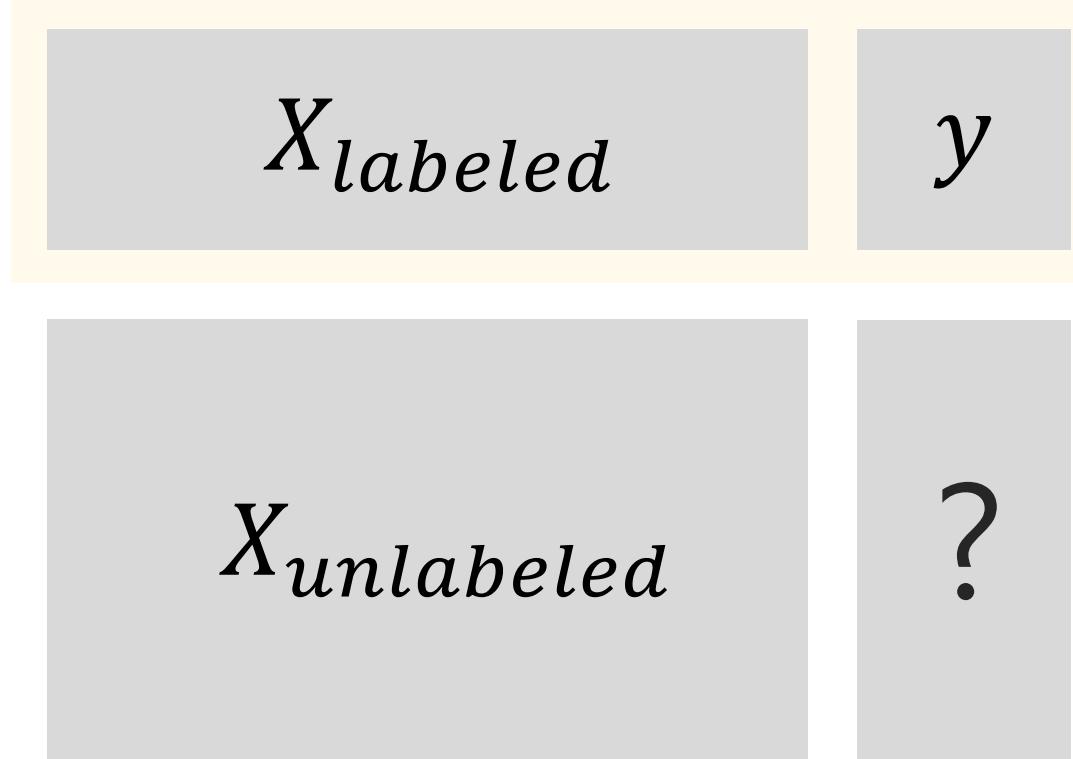


# Semi-Supervised Learning

## Limitations of Supervised Learning



- ❖ 실제 label distribution  $p(y|x)$ 를 학습하기에는 labeled data가 부족



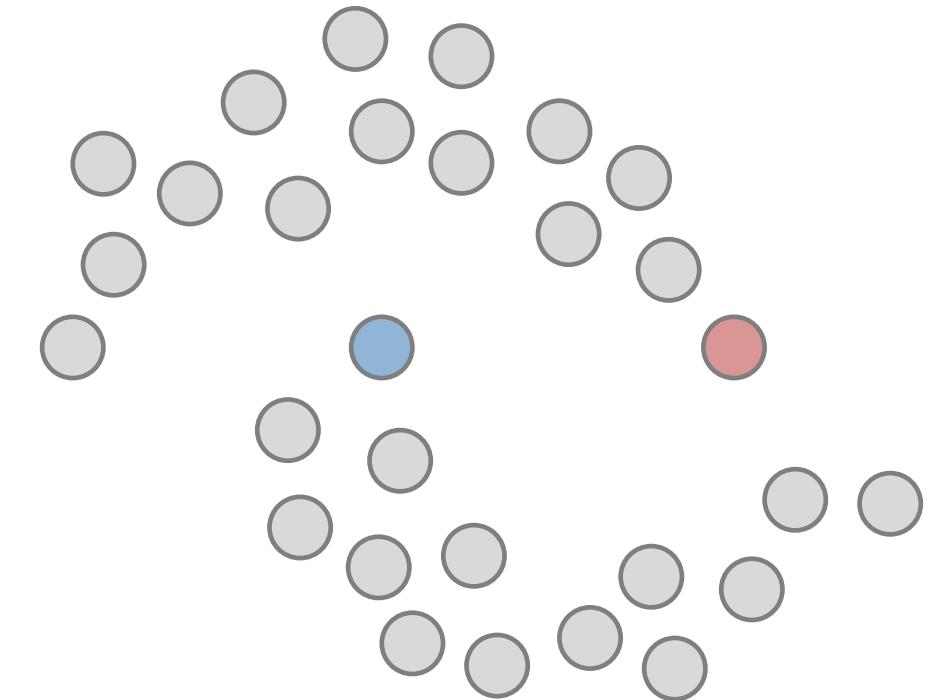
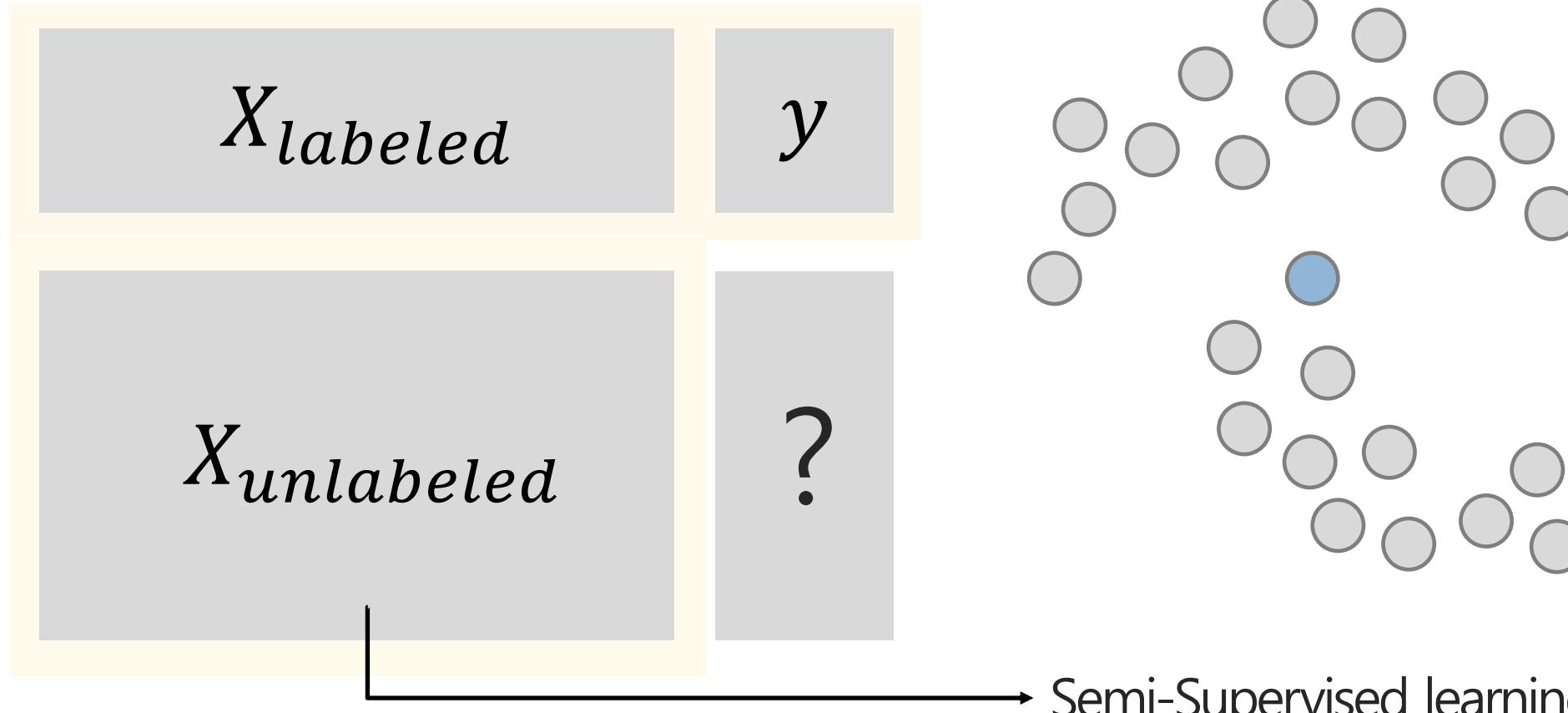
Supervised learning

# Semi-Supervised Learning

## Background of Semi-Supervised Learning



- ❖ 레이블링이 되지 않은 데이터까지 활용하여 더 나은 label distribution  $p(y|x)$  추정



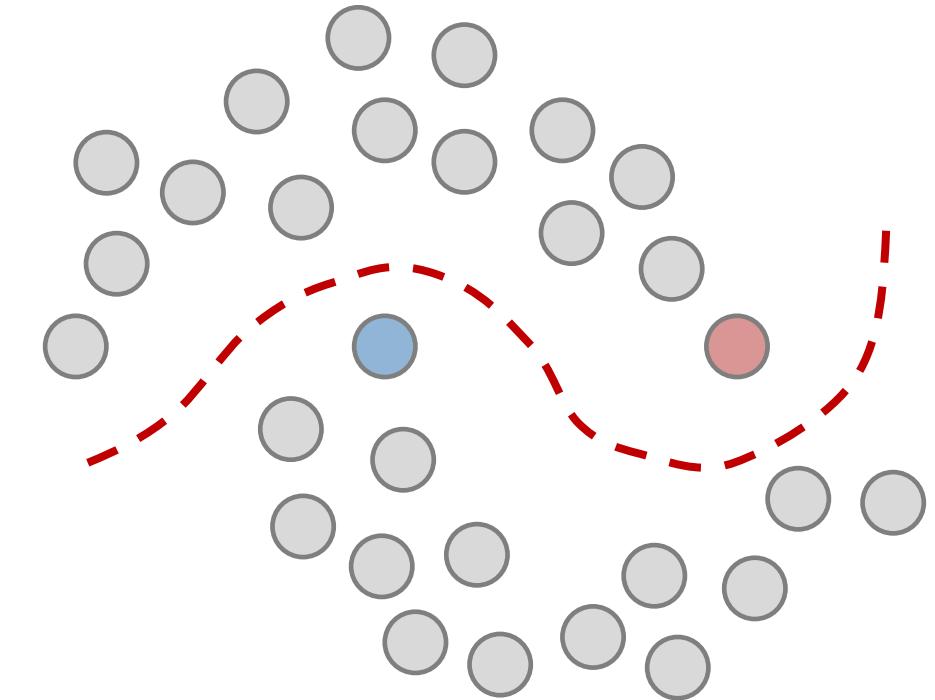
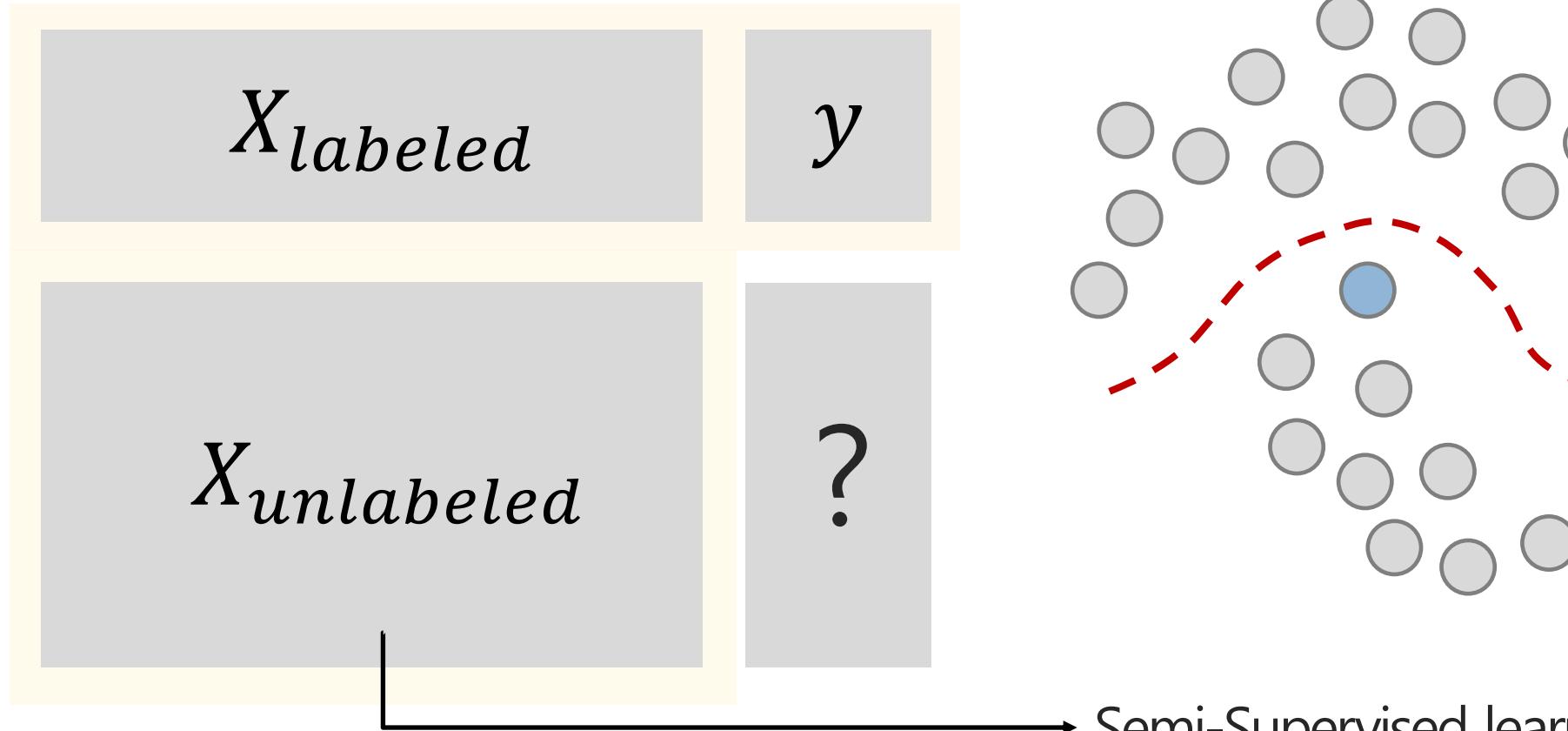
Semi-Supervised learning

# Semi-Supervised Learning

## Background of Semi-Supervised Learning



- ❖ 레이블링이 되지 않은 데이터까지 활용하여 더 나은 label distribution  $p(y|x)$  추정

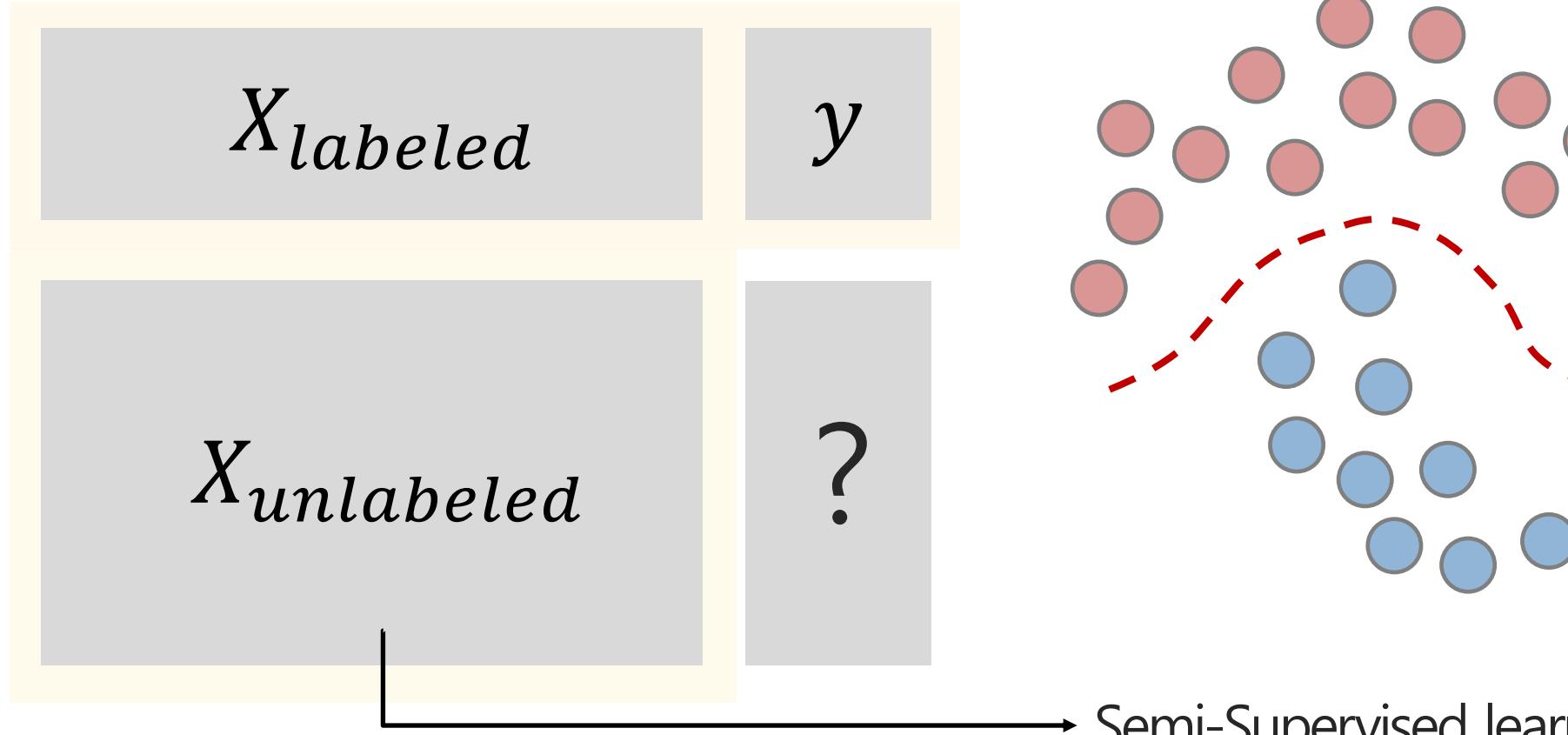


# Semi-Supervised Learning

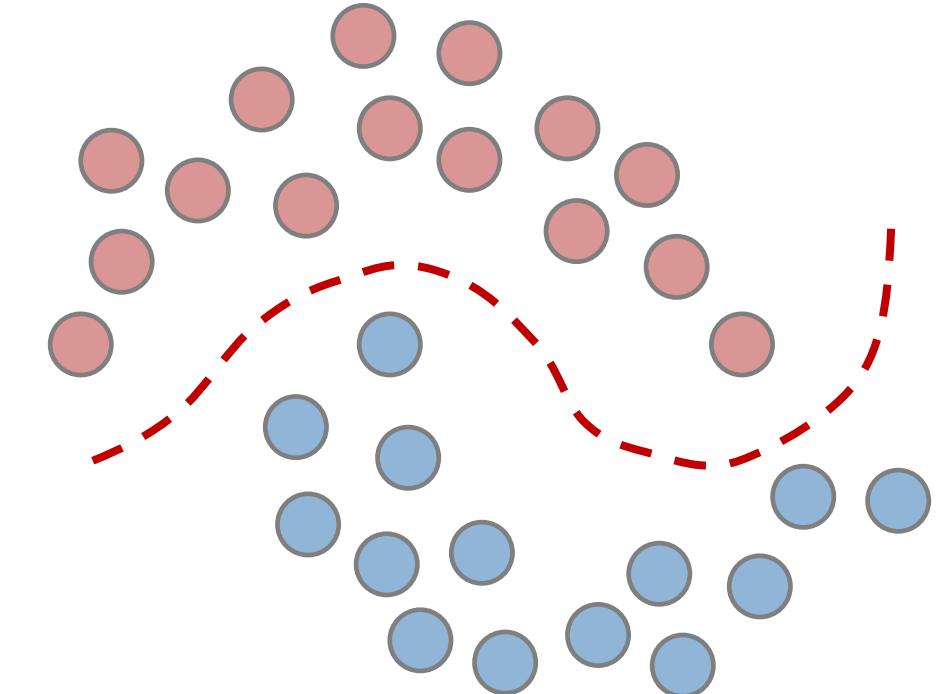
## Background of Semi-Supervised Learning



- ❖ 레이블링이 되지 않은 데이터까지 활용하여 더 나은 label distribution  $p(y|x)$  추정



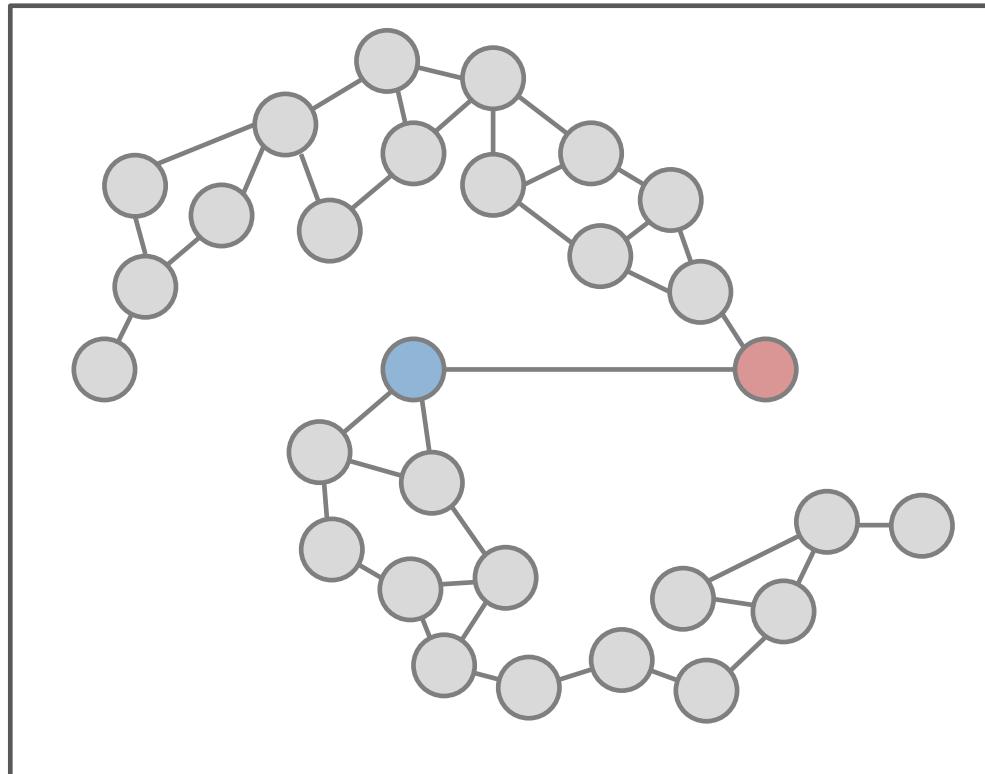
Semi-Supervised learning



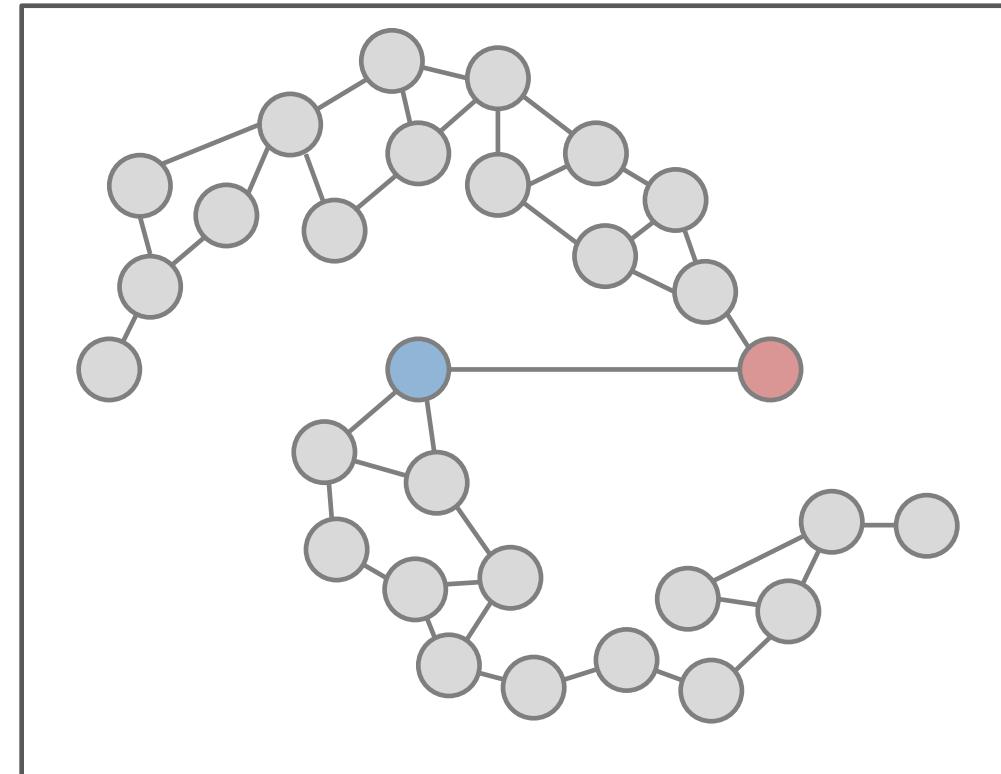
# Semi-Supervised Learning

Transductive Learning and Inductive Learning

- ❖ 크게 두가지 상황을 가정하여 연구가 수행



Transductive learning

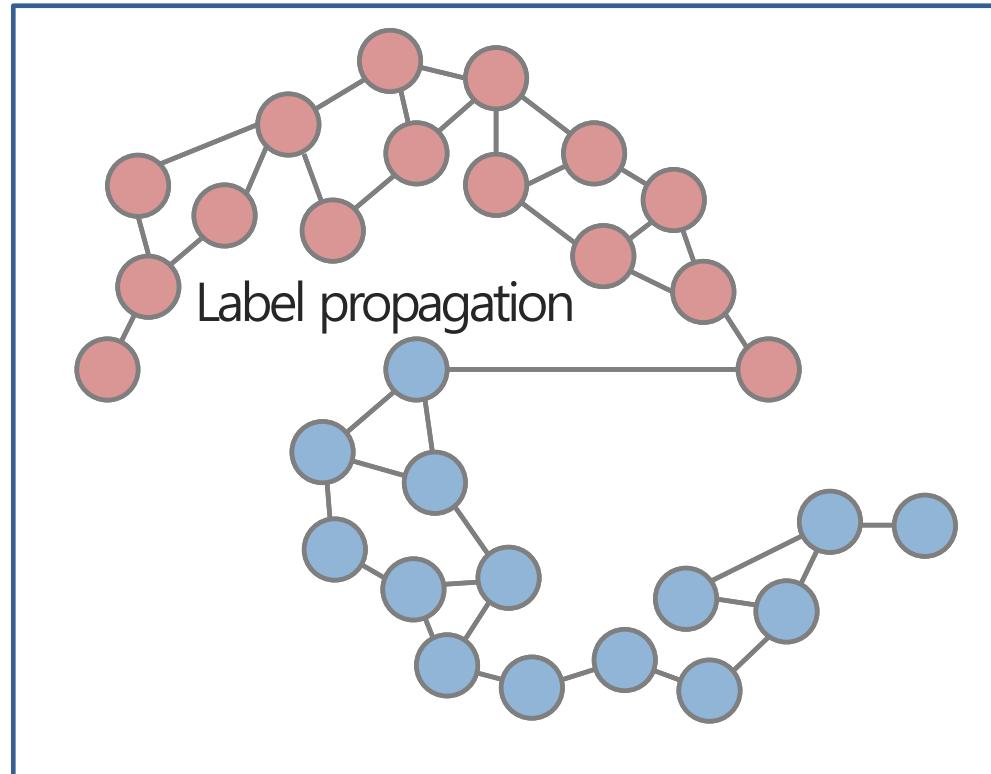


Inductive learning

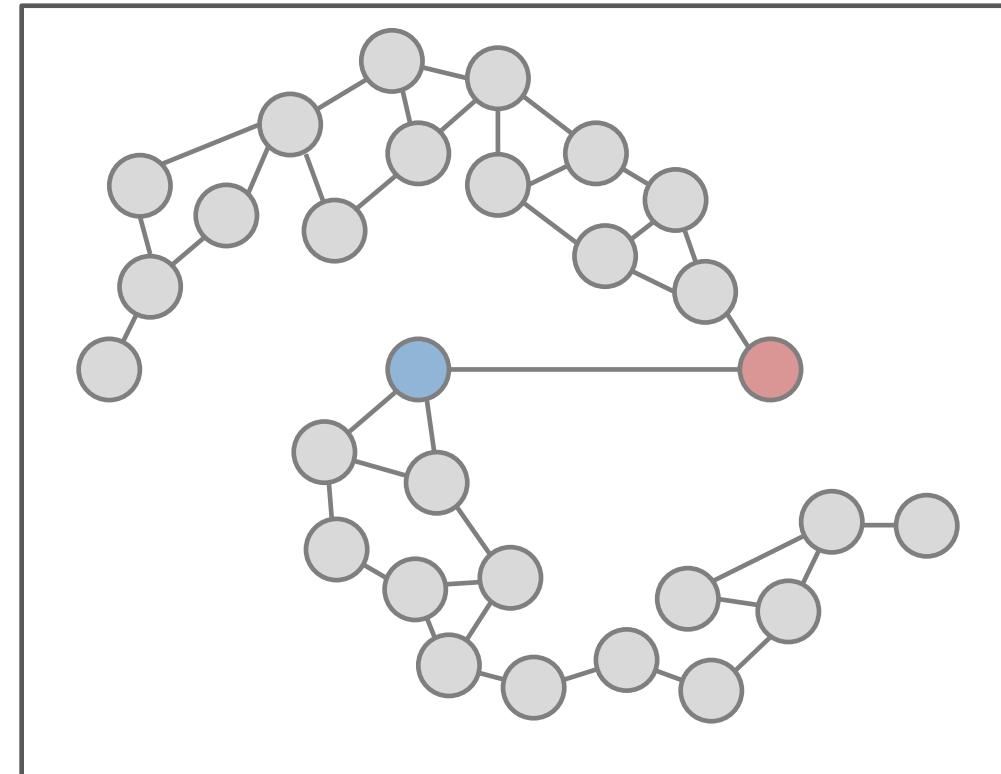
# Semi-Supervised Learning

Transductive Learning and Inductive Learning

- ❖ 크게 두가지 상황을 가정하여 연구가 수행



Transductive learning

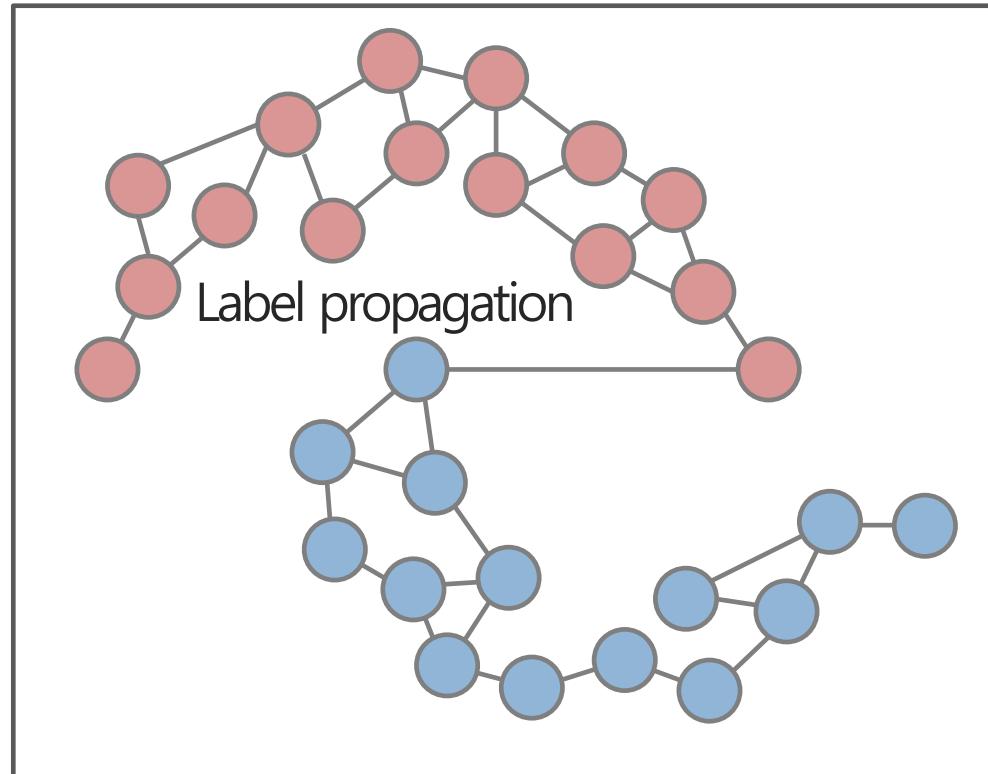


Inductive learning

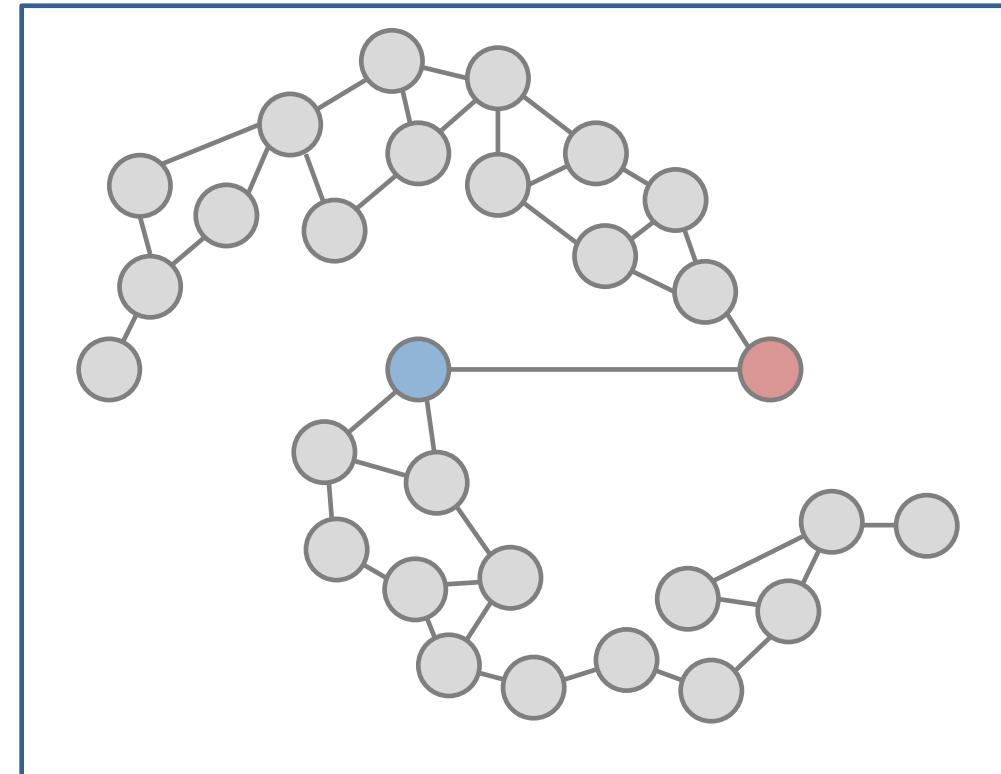
# Semi-Supervised Learning

Transductive Learning and Inductive Learning

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Transductive learning

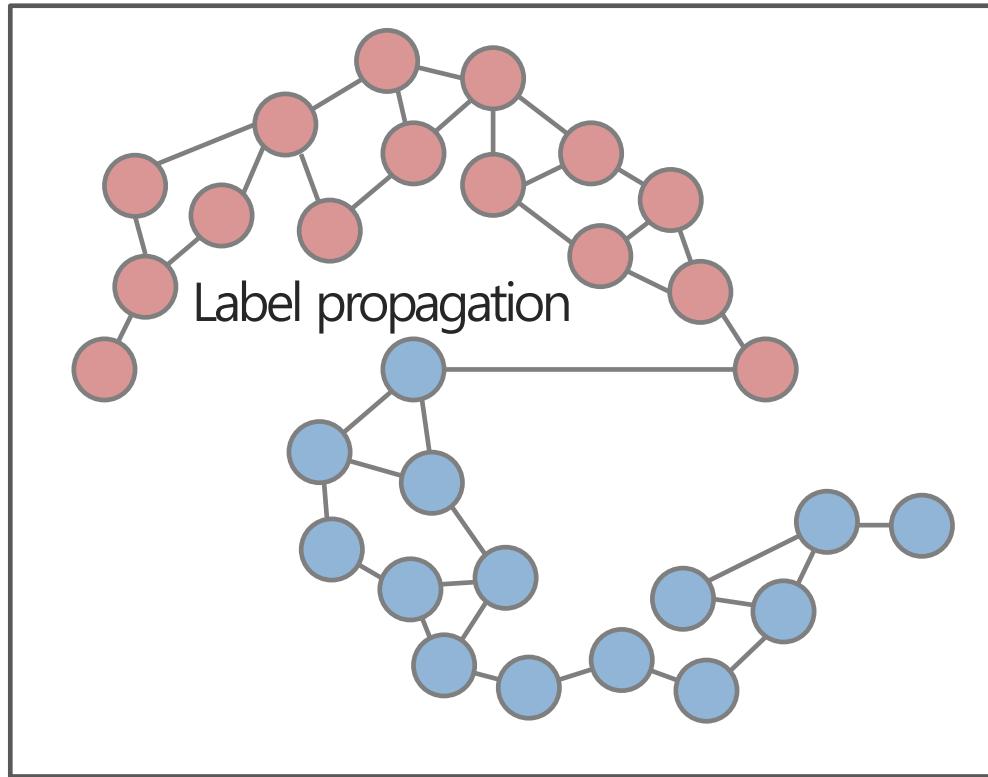


Inductive learning

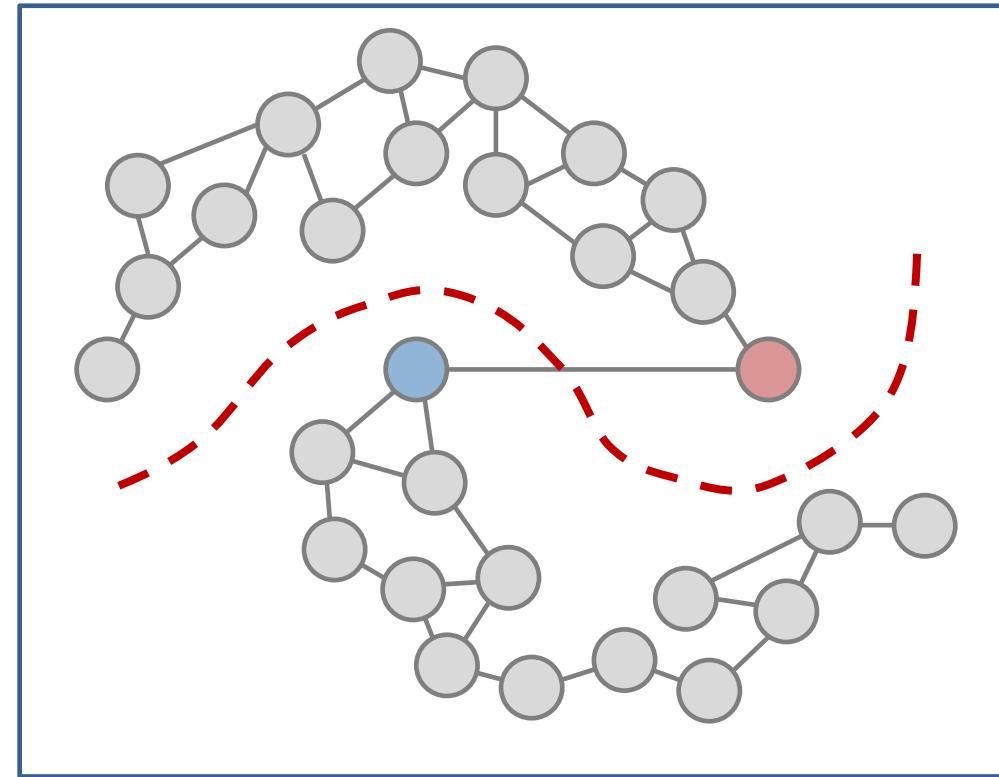
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Transductive learning

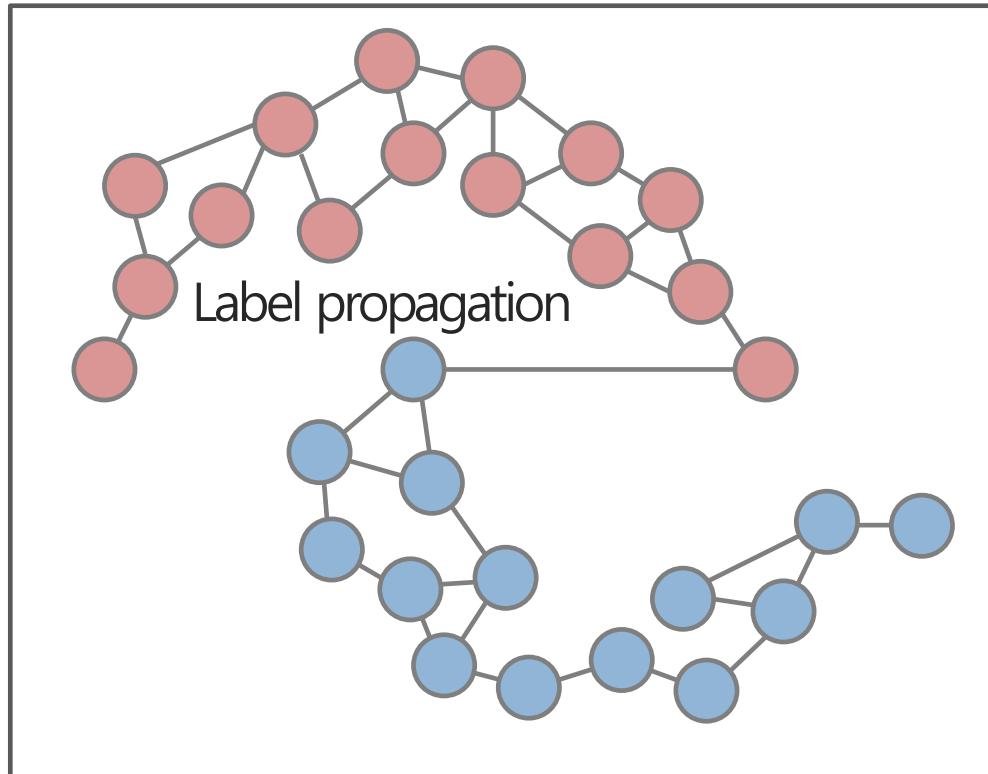


Inductive learning

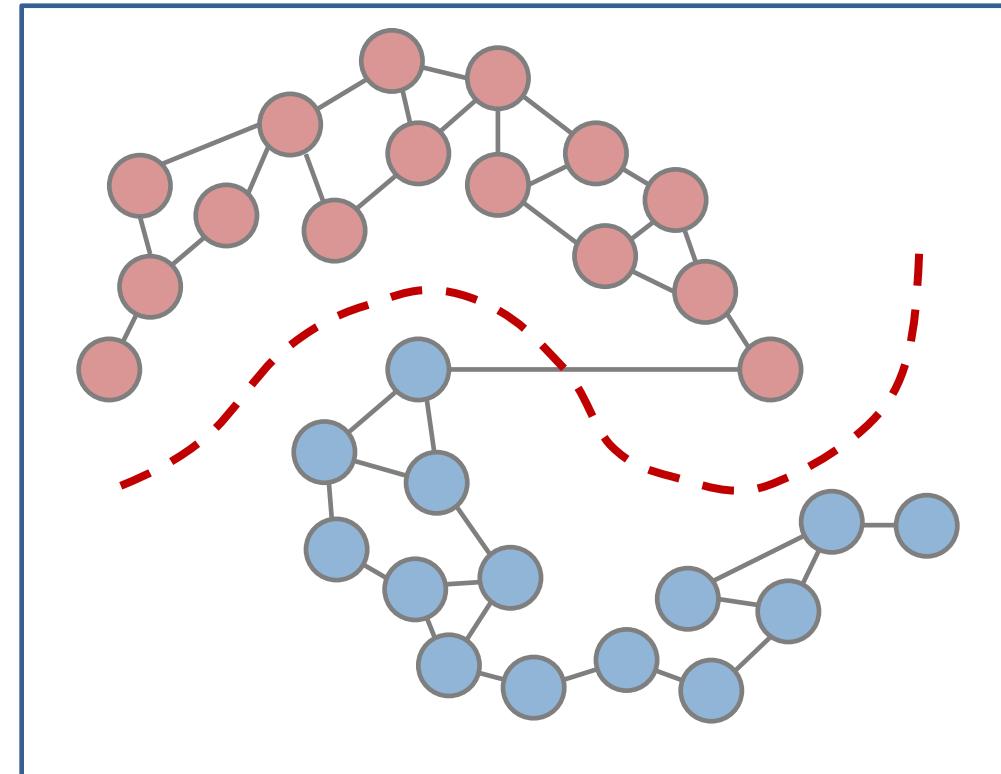
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Transductive learning

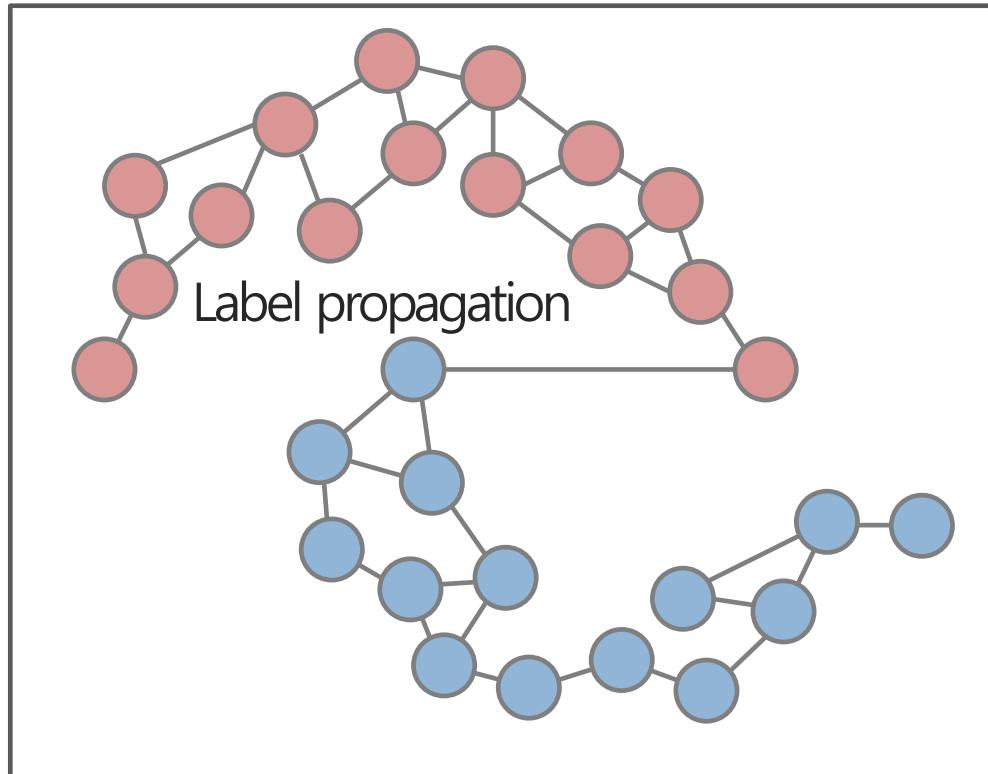


Inductive learning

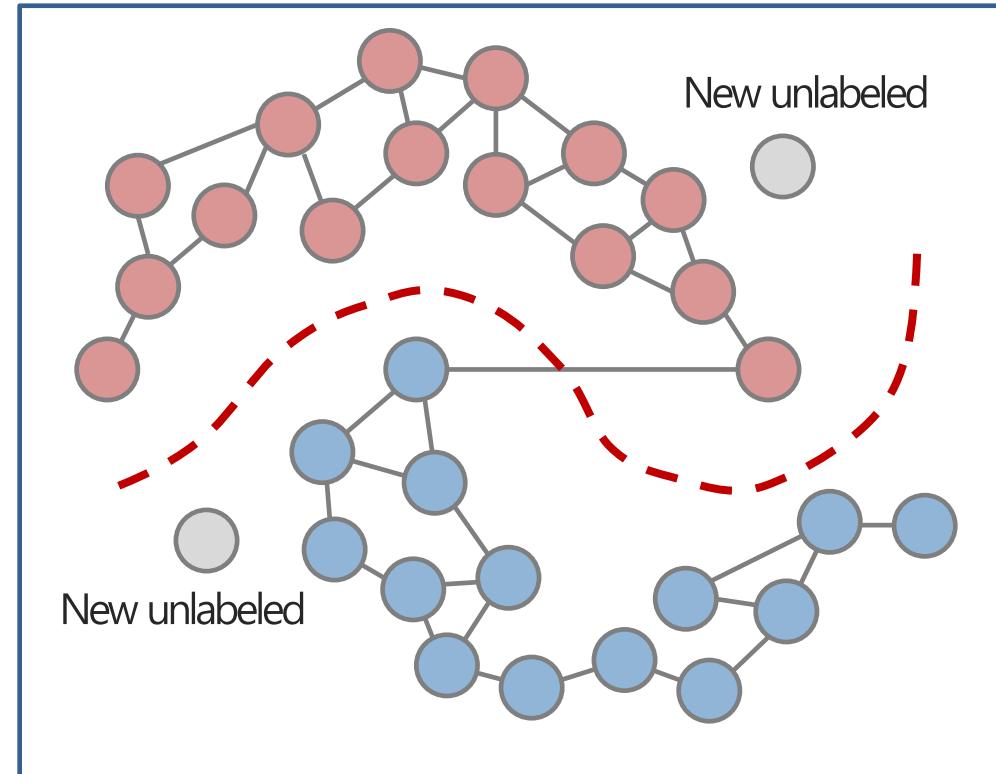
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Transductive learning

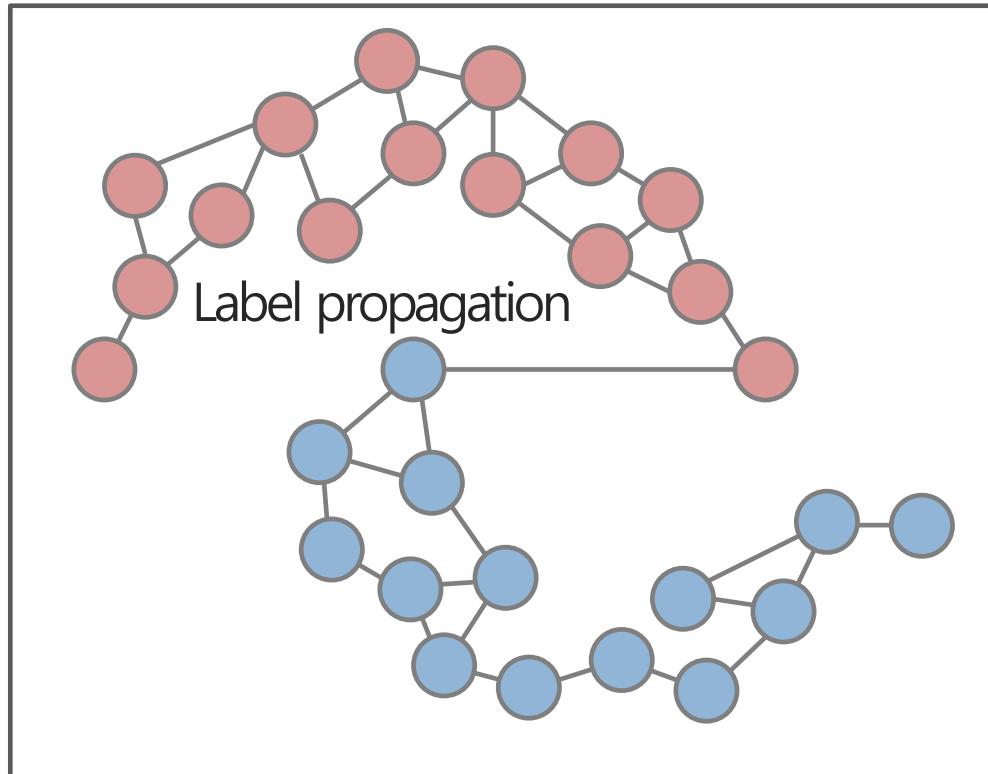


Inductive learning

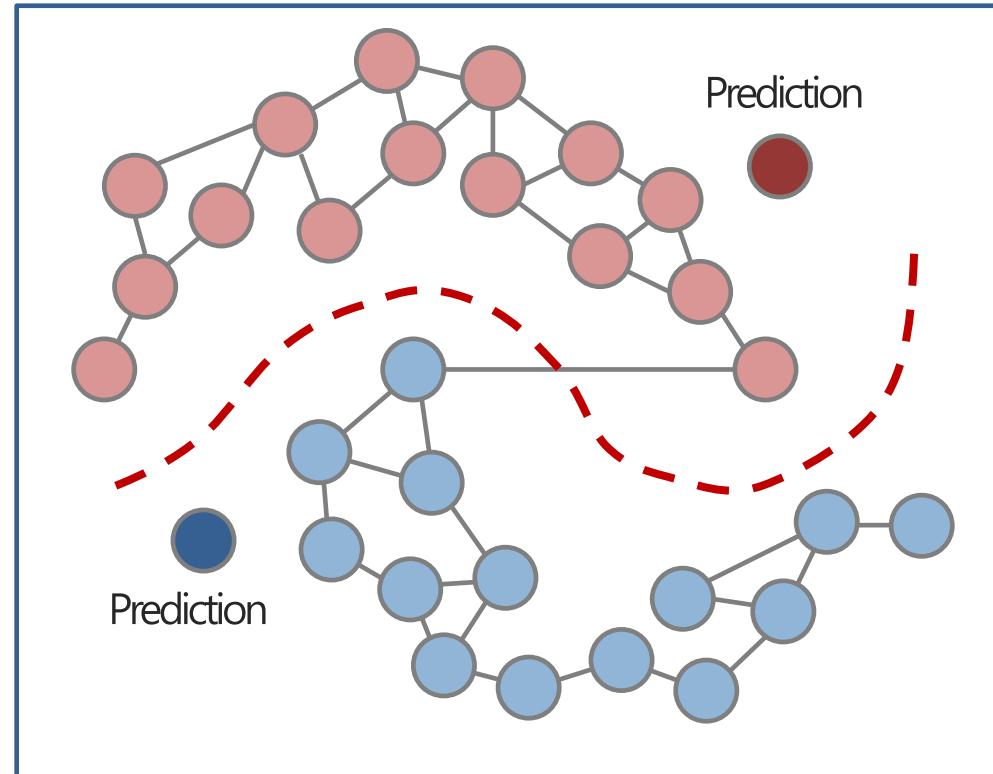
# Semi-Supervised Learning

Transductive Learning and Inductive Learning

- ❖ 크게 두가지 상황을 가정하여 연구가 수행



Transductive learning



Inductive learning

# Graph-Based Semi-Supervised Learning

## Label Propagation

# Graph Convolutional Neural Network

## Graph Convolutional Network

Min-cut

$$\min_{y \in \{0,1\}^{n_L+n_U}} \sum_{i=1}^{n_L} (y_i - y_{L_i})^2 + \sum_{i,j=1}^{n_L+n_U} w_{ij} (y_i - y_j)^2$$

Labeled :  
Supervised loss

Harmonic  
Solution

$$\min_{f \in \mathbb{R}^{n_L+n_U}} \sum_{i=1}^{n_L} (f(x_i) - y_{L_i})^2 + f^T L f$$

Unlabeled :  
Semi-Supervised loss

Local and  
Global Consistency

$$\min_{f \in \mathbb{R}^{n_L+n_U}} \sum_{i=1}^{n_L} (1 - \mu) (f(x_i) - y_{L_i})^2 + \mu f^T L f$$

# Label Propagation

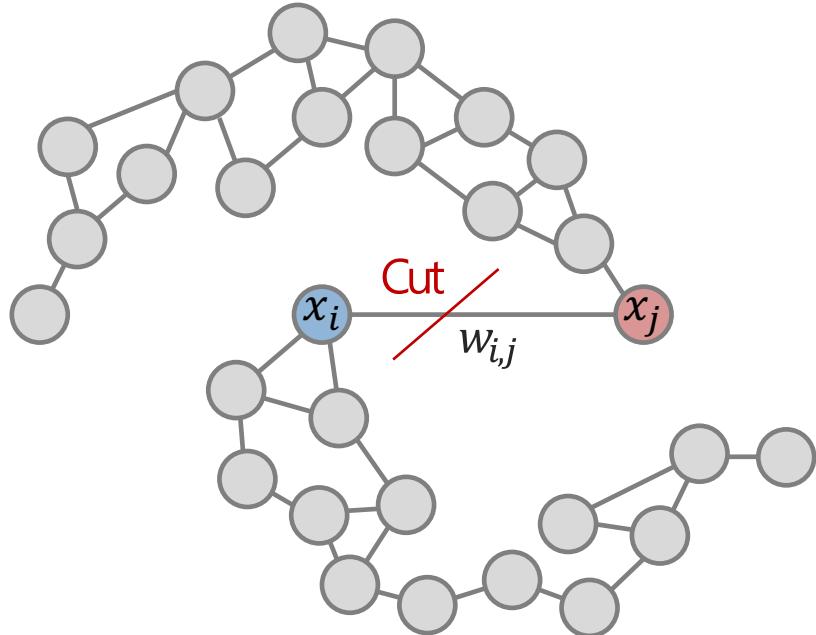
## Notation

- ❖ Labeled data  $\{(x_L, y_L)\} = \{(x_1, y_1), \dots, (x_{n_L}, y_{n_L})\}$
- ❖ Unlabeled data  $x_U = \{(x_{n_L+1}), \dots, (x_{n_L+n_U})\}$
- ❖ Input  $x = \{x_L \cup x_U\}, n = n_L + n_U, x_i \in R^d$
- ❖ Output  $y = \{y_L \cup \widehat{y}_U\}, n = n_L + n_U, y_i \in \{0, 1\}$

# Label Propagation

## Background of Min-cut Algorithm

- ❖ Labeled data  $\{(x_L, y_L)\} = \{(x_1 y_1), \dots, (x_{n_L} y_{n_L})\}$
- ❖ Unlabeled data  $x_U = \{(x_{n_L+1}), \dots, (x_{n_L+n_U})\}$
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*min Cut*

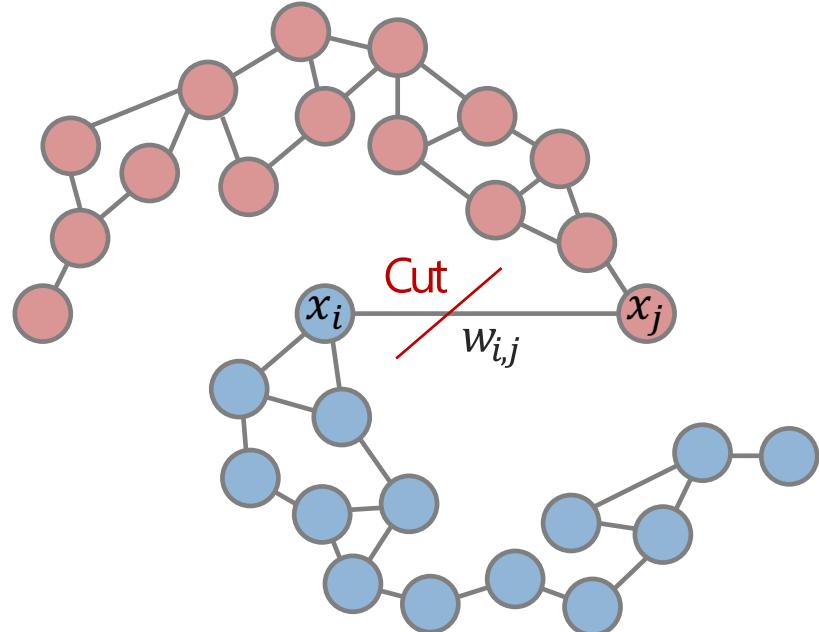
$$cut(set1, set2) = \sum_{i \in set1, j \in set2} w_{i,j}$$

서로 다른 set들을 연결하는 edge의 weight 합

# Label Propagation

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서로 다른 set들을 연결하는 edge의 weight 합

# Label Propagation

## Min-cut Algorithm

- ❖  $y_L$ 이 주어지고,  $y_U \in \{0,1\}^{n-n_L}$  을 도출해보자 함  
discrete

$$\min_{y \in \{0,1\}^{n_L+n_U}} \sum_{i,j=1}^{n_L+n_U} w_{ij} |y_i - y_j|$$

$w_{ij}$  크면  $y_i = y_j$  일 확률이 큼

$w_{ij}$  작으면  $y_i \neq y_j$  일 수 있음

$$\min_{y \in \{0,1\}^{n_L+n_U}} \infty \sum_{i=1}^{n_L} (y_i - y_{L_i})^2 + \sum_{i,j=1}^{n_L+n_U} w_{ij} (y_i - y_j)^2$$

### Labeled

레이블링 데이터는  
실제 레이블과 같도록 학습

### Unlabeled

레이블링 되지 않은 데이터는  
 $w_{ij}$ 를 고려하여 레이블링

# Label Propagation

## Min-cut Algorithm

- ❖  $y_L$  이 주어지고,  $y_U \in \{0,1\}^{n-n_L}$  을 도출해보자 함  
discrete an integer program: NP hard

→ Relaxing to continuous values

$$\min_{y \in \{0,1\}^{n_L+n_U}} \sum_{i,j=1}^{n_L+n_U} w_{ij} |y_i - y_j|$$

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# Label Propagation

## Harmonic Solution

- ❖  $f(x_i) = y_i$  ( $i = 1, \dots, n_L$ ) 를 만족하는 **harmonic function**을 정의
- ❖ Harmonic function은 각 vertex의 label이 이웃한 vertex와 비슷해 지도록 함  
harmonious (similar)

Min-cut

$$\min_{y \in \{0,1\}^{n_L+n_U}} \sum_{i=1}^{n_L} (y_i - y_{L_i})^2 + \sum_{i,j=1}^{n_L+n_U} w_{ij} (y_i - y_j)^2$$



**Relaxing to continuous values**

Harmonic  
solution

$$\min_{f \in \mathbb{R}^{n_L+n_U}} \sum_{i=1}^{n_L} (f(x_i) - y_{L_i})^2 + \sum_{i,j=1}^{n_L+n_U} w_{ij} (f(x_i) - f(x_j))^2$$

**Labeled**  $i = 1, \dots, n_L$

**Unlabeled**  $i = n_L + 1, \dots, n_L + n_U$

$$f(x_i) = y_i$$

$$f(x_i) = \frac{\sum_{j=1}^{n_L+n_U} w_{i,j} f(x_j)}{\sum_{j=1}^{n_L+n_U} w_{i,j}}$$

# Label Propagation

## Harmonic Solution

- ❖ Laplacian matrix를 활용하여 표현가능
- ❖ Laplacian matrix = Degree matrix – Adjacency matrix

Harmonic  
solution

$$\min_{f \in \mathbb{R}^{n_L+n_U}} \frac{1}{2} \sum_{i=1}^{n_L} (f(x_i) - y_{L_i})^2 + \sum_{i,j=1}^{n_L+n_U} w_{ij} (f(x_i) - f(x_j))^2$$

**Labeled**  $i = 1, \dots, n_L$       **Unlabeled**  $i = n_L + 1, \dots, n_L + n_U$

Graph Laplacian regularization term

$$\min_{f \in \mathbb{R}^{n_L+n_U}} \frac{1}{2} \sum_{i=1}^{n_L} (f(x_i) - y_{L_i})^2 + \frac{1}{2} \|Lf\|^2$$
$$f = (f_L; f_U) = \begin{bmatrix} f(x_1) \\ \vdots \\ f(x_L) \\ f(x_{L+1}) \\ \vdots \\ f(x_U) \end{bmatrix}$$

# Label Propagation

## Learning with Local and Global Consistency

- ❖ Labeled data가 틀린 경우도 있을 수 있음
- ❖ Local consistency, Global consistency를 정의

$$\min_{f \in \mathbb{R}^{n_L+n_U}} \sum_{i=1}^{n_L} (f(x_i) - y_{L_i})^2 + f^T L f$$

### Labeled

레이블링 데이터는  
실제 레이블과 같도록 학습

### Local consistency

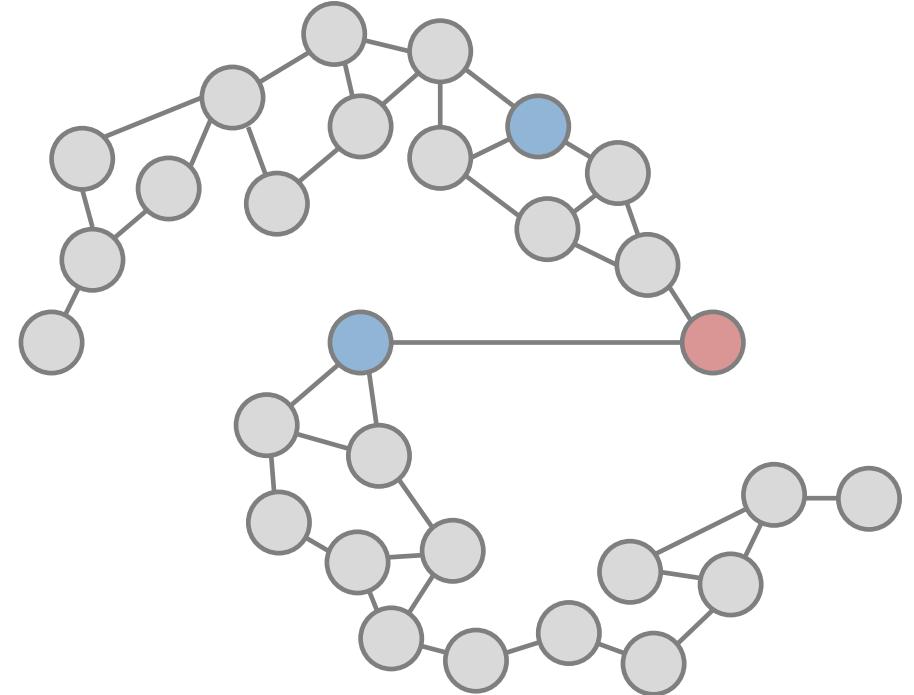
주변 points들과  
동일한 레이블을 갖도록 학습

### Unlabeled

레이블링 되지 않은 데이터는  
 $w_{ij}$ 를 고려하여 레이블링

### Global consistency

동일한 구조(cluster, manifold)를  
가진다면 동일한 레이블을 갖도록 학습



# Label Propagation

## Learning with Local and Global Consistency

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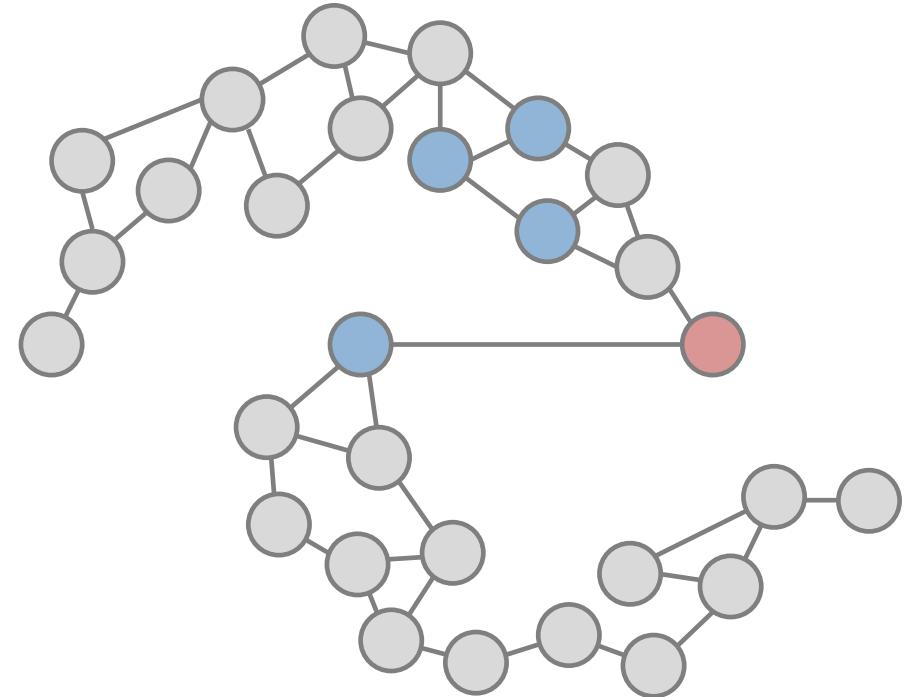
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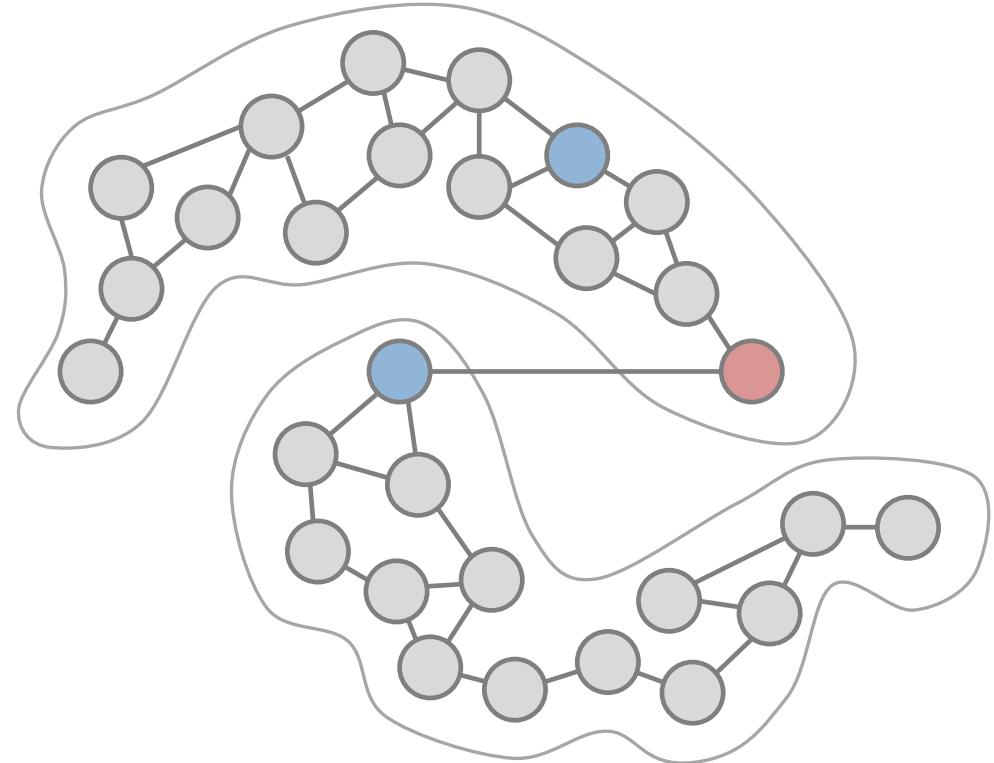
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# Label Propagation

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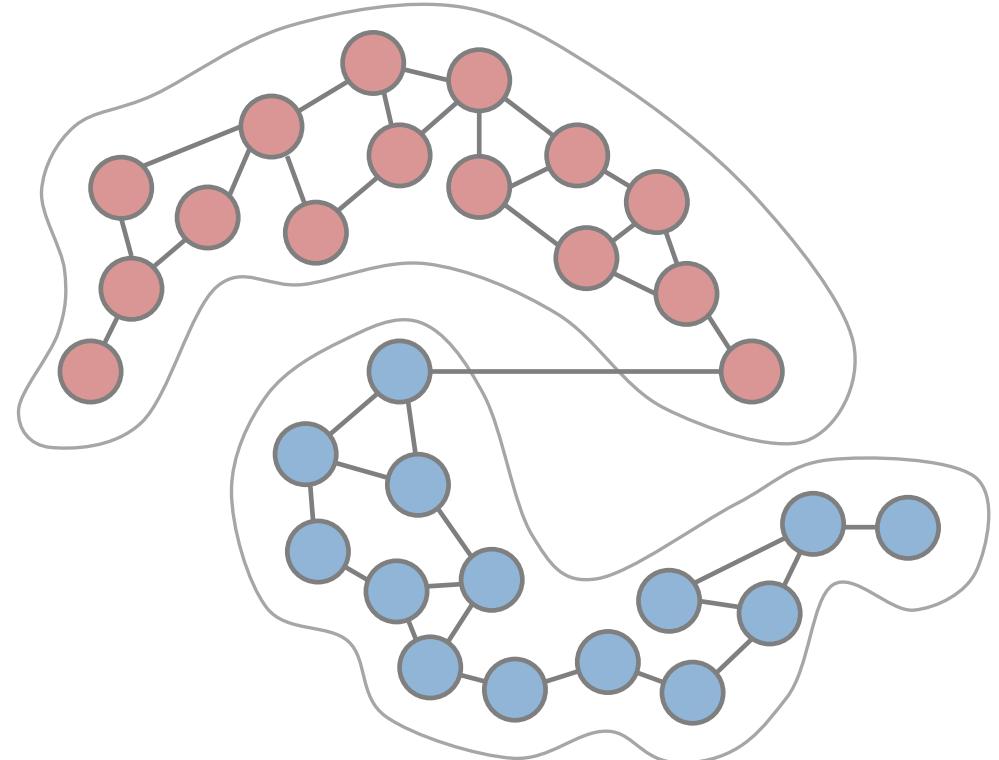
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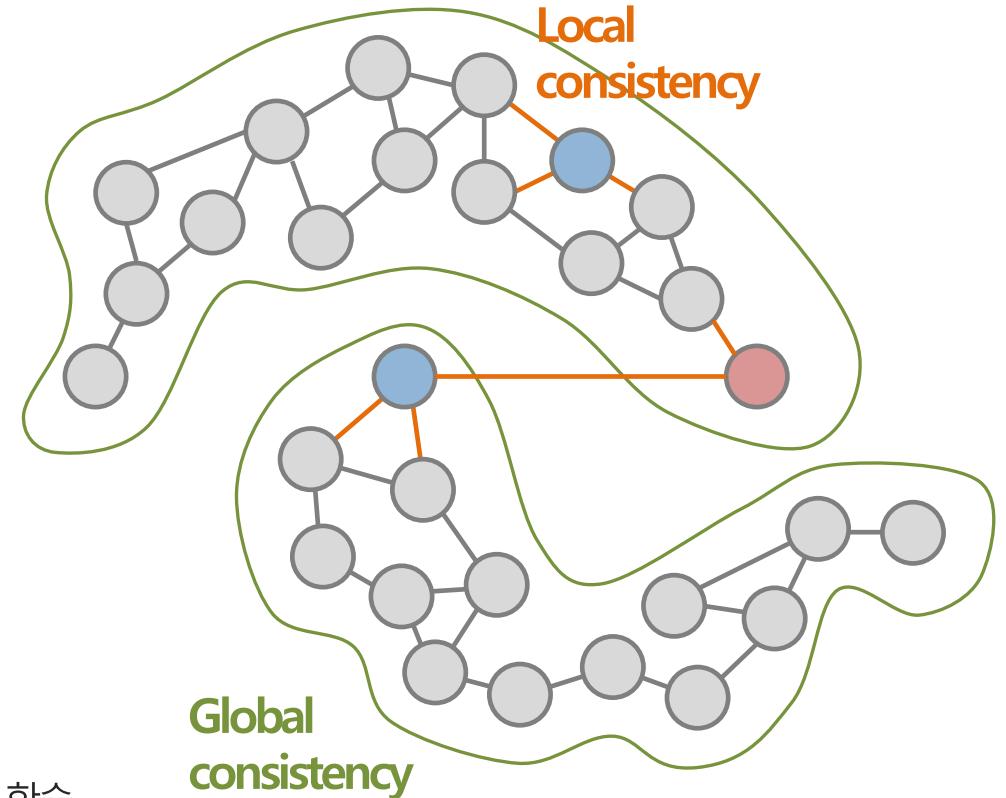
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### Global consistency

동일한 구조(cluster, manifold)를  
가진다면 동일한 레이블을 갖도록 학습



# Label Propagation

## Learning with Local and Global Consistency

- ❖ Labeled data가 틀린 경우도 있을 수 있음
- ❖ Local consistency, Global consistency를 정의 → Add penalty  $\mu$  (Smoothness)

Local and  
Global Consistency

$$\min_{f \in \mathbb{R}^{n_L+n_U}} \sum_{i=1}^{n_L} (1 - \mu) (f(x_i) - y_{L_i})^2 + \mu f^T L f$$

Local  
consistency      Global  
consistency

$\mu$  크면 Labeled data  $y_L$  가 바뀌어 예측될 확률 큼  
 $\mu$  작으면 Labeled data  $y_L$  를 보존할 확률 큼

$$\min_{f \in \mathbb{R}^{n_L+n_U}} (1 - \mu)(f - y)^T(f - y) + \mu f^T L f$$
$$\frac{\partial L}{\partial f} = (f - y) - \mu(f - y) + \mu f^T L f = 0$$

$$f = \beta(I + \alpha L)^{-1}y$$

$$\alpha = \frac{1}{1 + \mu} \quad \beta = \frac{\mu}{1 + \mu}$$

$$f = (f_L; f_U) = \begin{bmatrix} f(x_1) \\ \vdots \\ f(x_L) \\ f(x_{L+1}) \\ \vdots \\ f(x_U) \end{bmatrix}$$

# Graph Convolutional Neural Network

## Graph Convolutional Network

Min-cut

$$\min_{y \in \{0,1\}^{n_L+n_U}} \sum_{i=1}^{n_L} (y_i - y_{L_i})^2 + \sum_{i,j=1}^{n_L+n_U} w_{ij} (y_i - y_j)^2$$

Labeled :  
Supervised loss

Harmonic  
Solution

$$\min_{f \in \mathbb{R}^{n_L+n_U}} \sum_{i=1}^{n_L} (f(x_i) - y_{L_i})^2 + f^T L f$$

Unlabeled :  
Semi-Supervised loss

Local and  
Global Consistency

$$\min_{f \in \mathbb{R}^{n_L+n_U}} \sum_{i=1}^{n_L} (1 - \mu) (f(x_i) - y_{L_i})^2 + \mu f^T L f$$

Pairwise Constraints

$$\min_{f \in \mathbb{R}^{n_L+n_U}} (1 - \mu) \| (f - y) \|^2 + \mu f^T L f$$

# Graph-Based Semi-Supervised Learning

## Graph Convolutional Networks

# Graph Convolutional Neural Networks

## Semi-Supervised Classification with Graph Convolutional networks

- ❖ GCN : CNN구조가 가진 특징을 반영하여 graph에 적용가능한 GNN구조를 제안

Published as a conference paper at ICLR 2017

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## SEMI-SUPERVISED CLASSIFICATION WITH GRAPH CONVOLUTIONAL NETWORKS

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**Max Welling**  
University of Amsterdam  
Canadian Institute for Advanced Research (CIFAR)  
[M.Welling@uva.nl](mailto:M.Welling@uva.nl)

### ABSTRACT

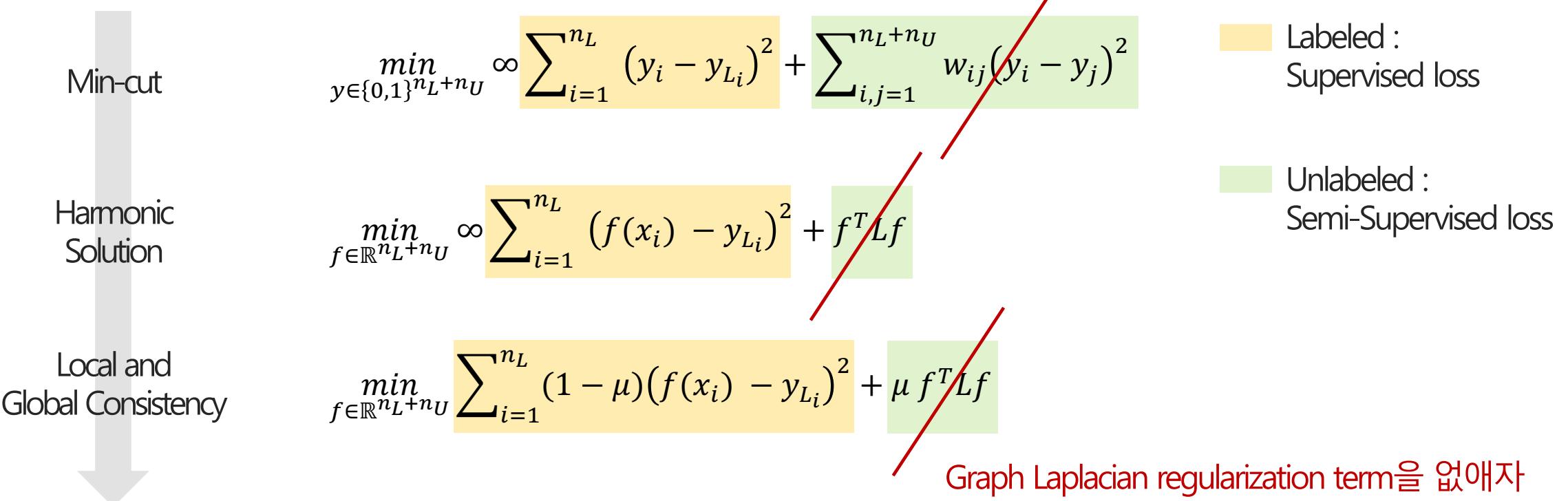
We present a scalable approach for semi-supervised learning on graph-structured data that is based on an efficient variant of convolutional neural networks which operate directly on graphs. We motivate the choice of our convolutional architecture via a localized first-order approximation of spectral graph convolutions. Our model scales linearly in the number of graph edges and learns hidden layer representations that encode both local graph structure and features of nodes. In a number of experiments on citation networks and on a knowledge graph dataset we demonstrate that our approach outperforms related methods by a significant margin.

# Graph Convolutional Neural Networks

## Limitations of Label Propagation

### ❖ Smoothness assumption

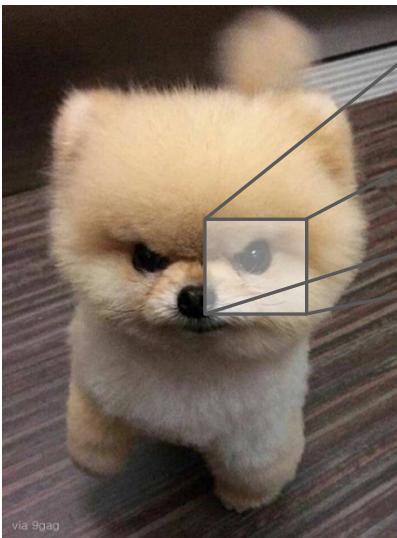
- 서로 가까운 데이터(points)는 같은 레이블일 확률이 높음
- 유사도(Similarity) 이외에 다른 정보를 담을 수 없음



# Convolutional Neural Networks

## Convolutional Filters

- ❖ Spatially local correlation : 인접 변수(pixel)간 높은 상관관계 지님
- ❖ Translation invariance : 부분적 특성(e.g., 눈, 귀, 꼬리)은 고정된 위치에 등장하지 않음



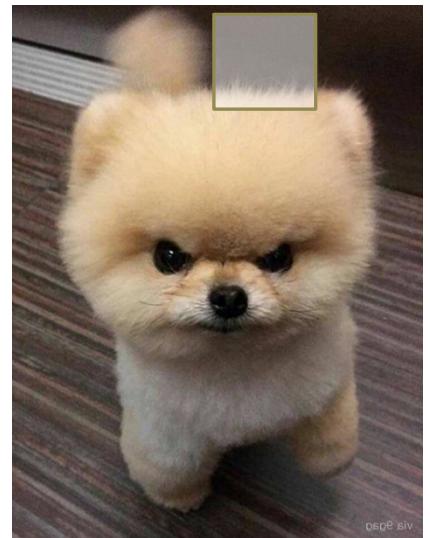
Spatially local correlation

→ Sparse connection



Translation invariance

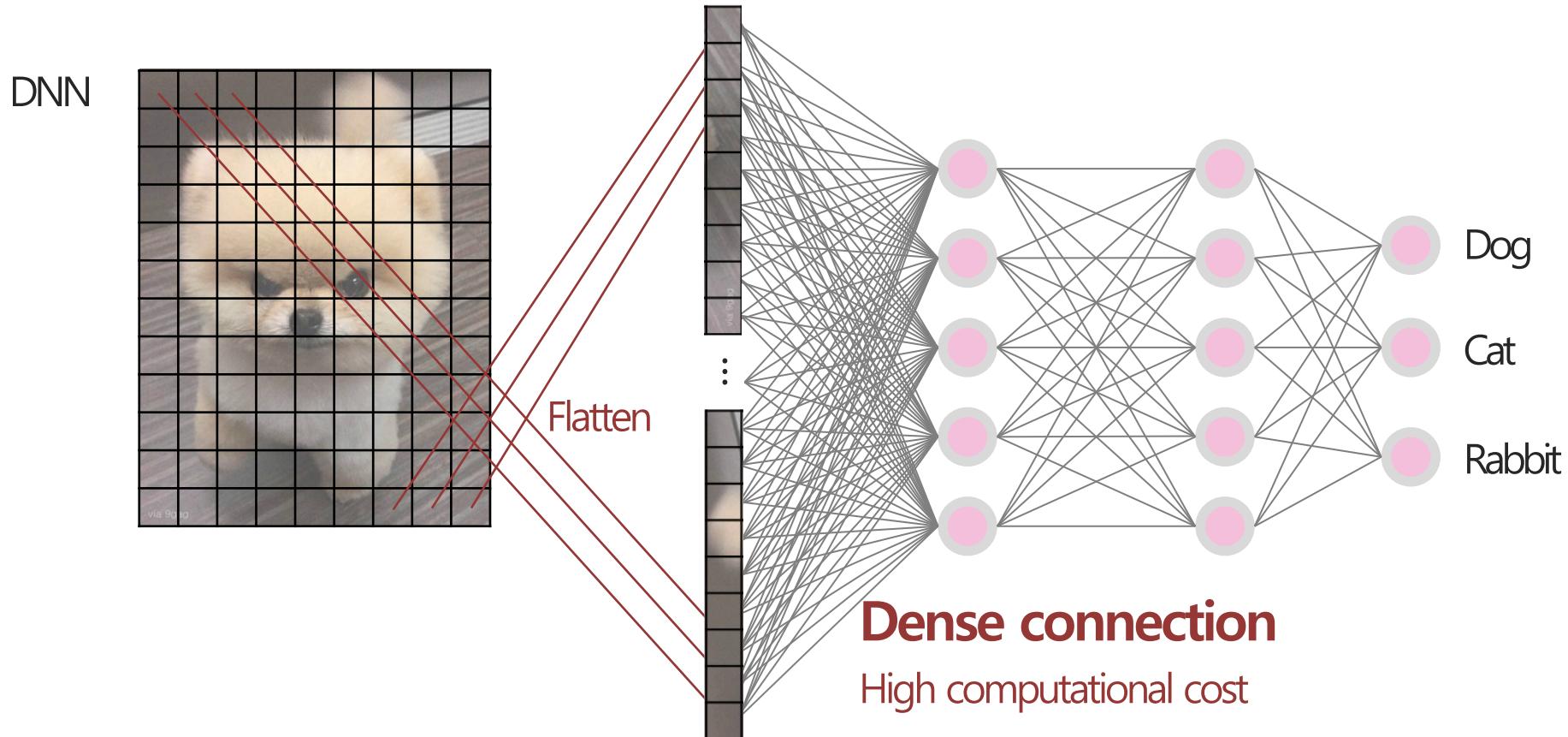
→ Weight sharing



# Convolutional Neural Networks

## Limitations of Deep Neural Networks

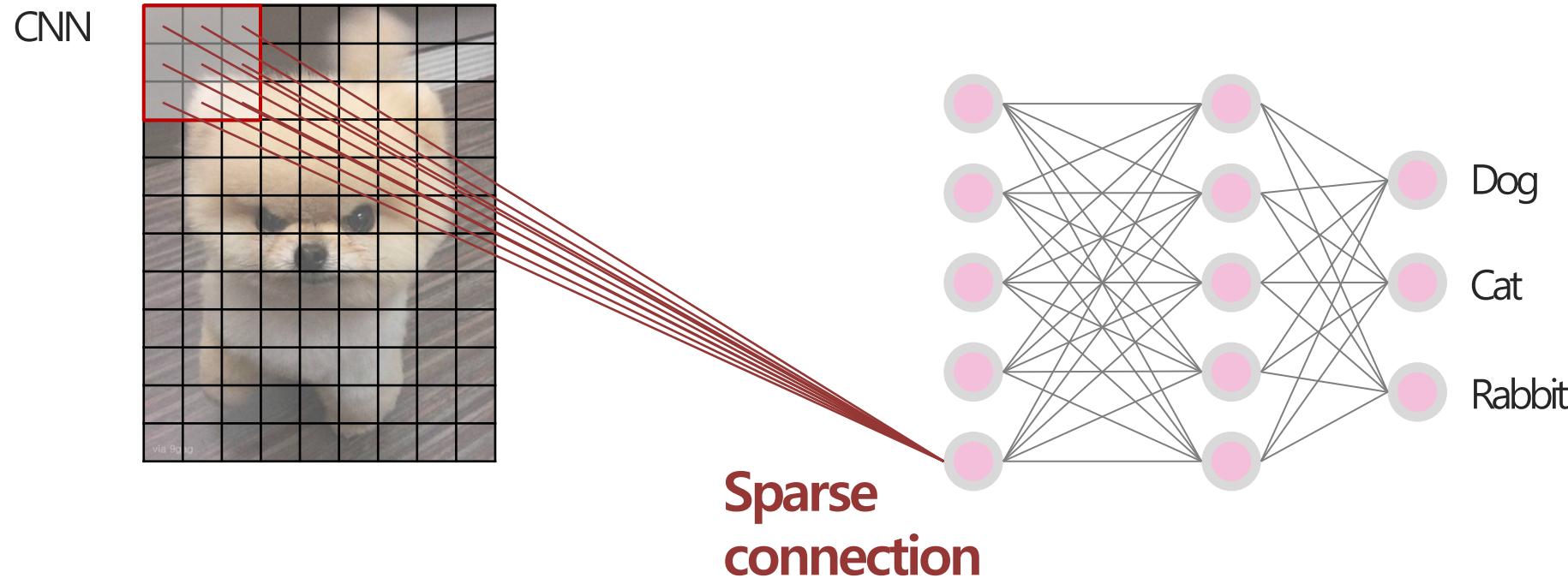
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# Convolutional Neural Networks

## Convolutional Neural Networks Characteristics : Sparse Connection

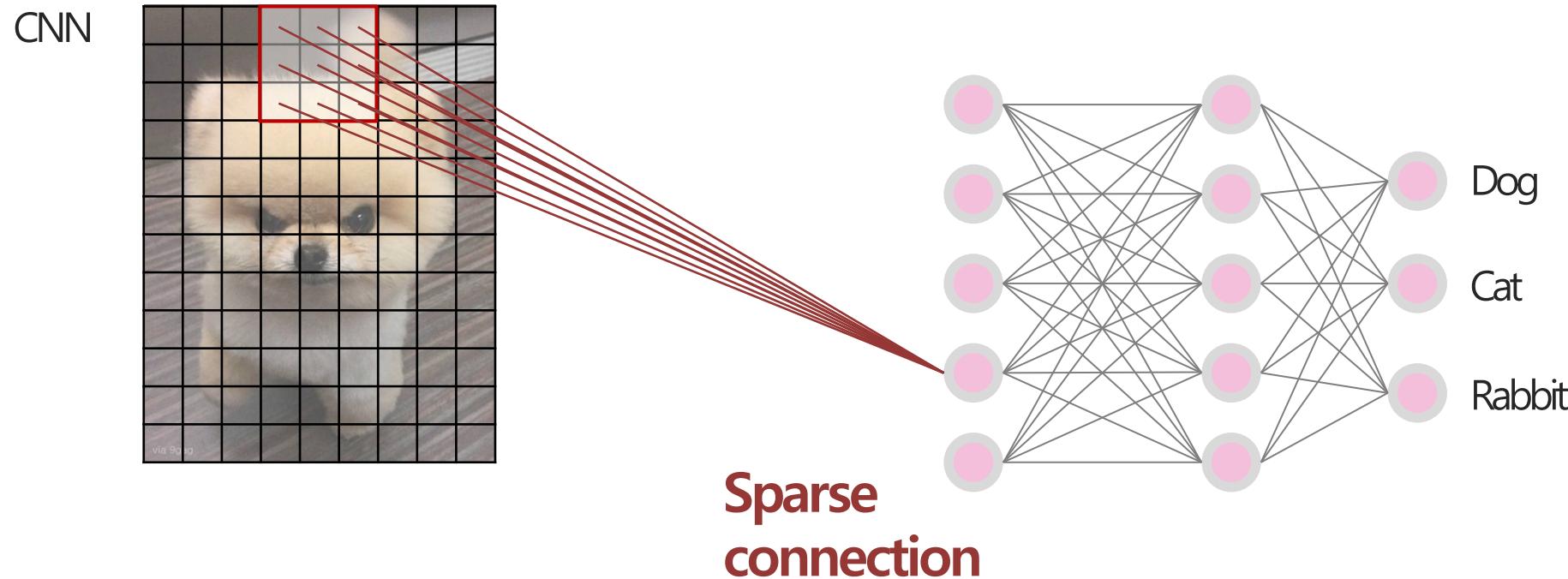
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# Convolutional Neural Networks

## Convolutional Neural Networks Characteristics : Sparse Connection

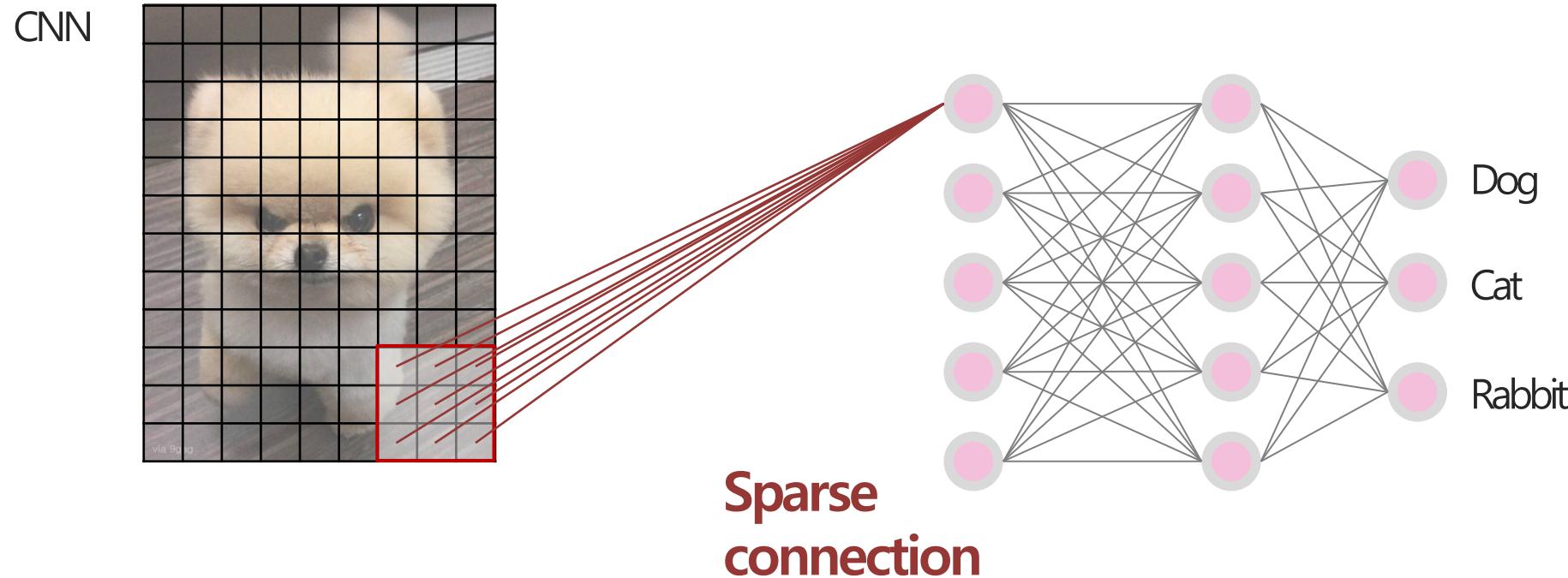
- ❖ Spatially local correlation : 인접 변수(pixel)간 높은 상관관계 지님
- ❖ Translation invariance : 부분적 특성(e.g, 눈, 귀, 꼬리)은 고정된 위치에 등장하지 않음



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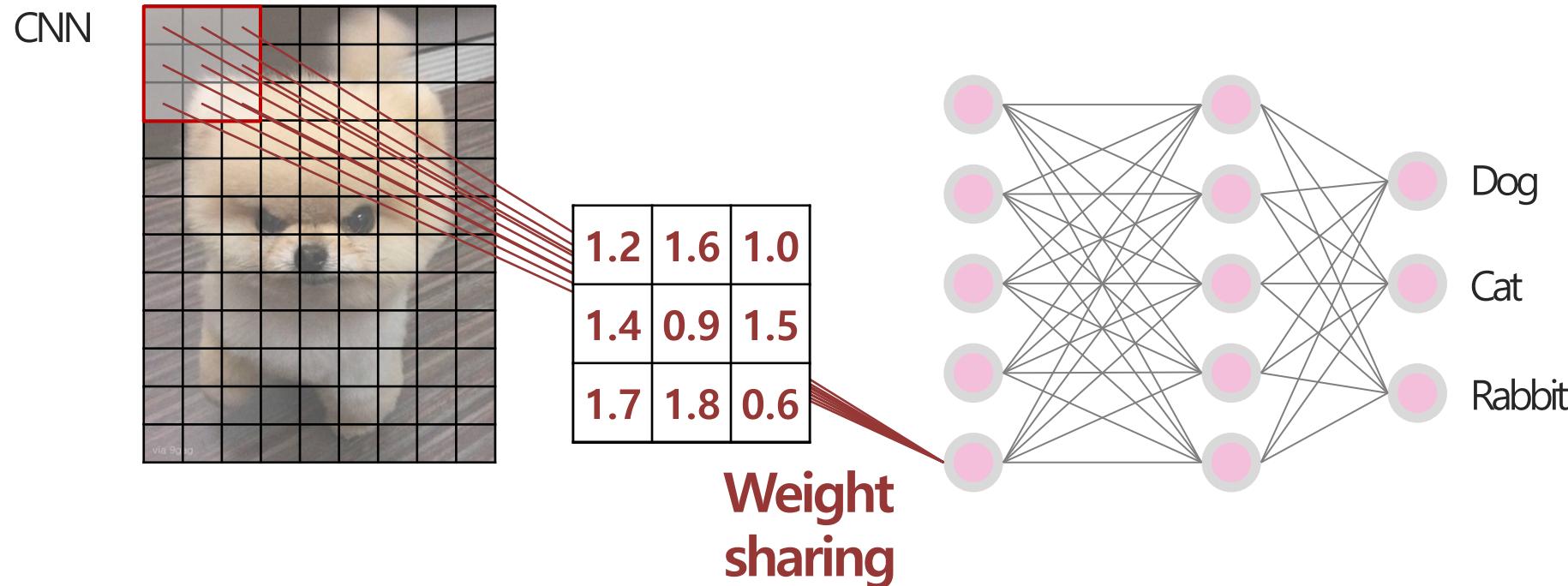
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# Convolutional Neural Networks

## Convolutional Neural Networks Characteristics : Weight Sharing

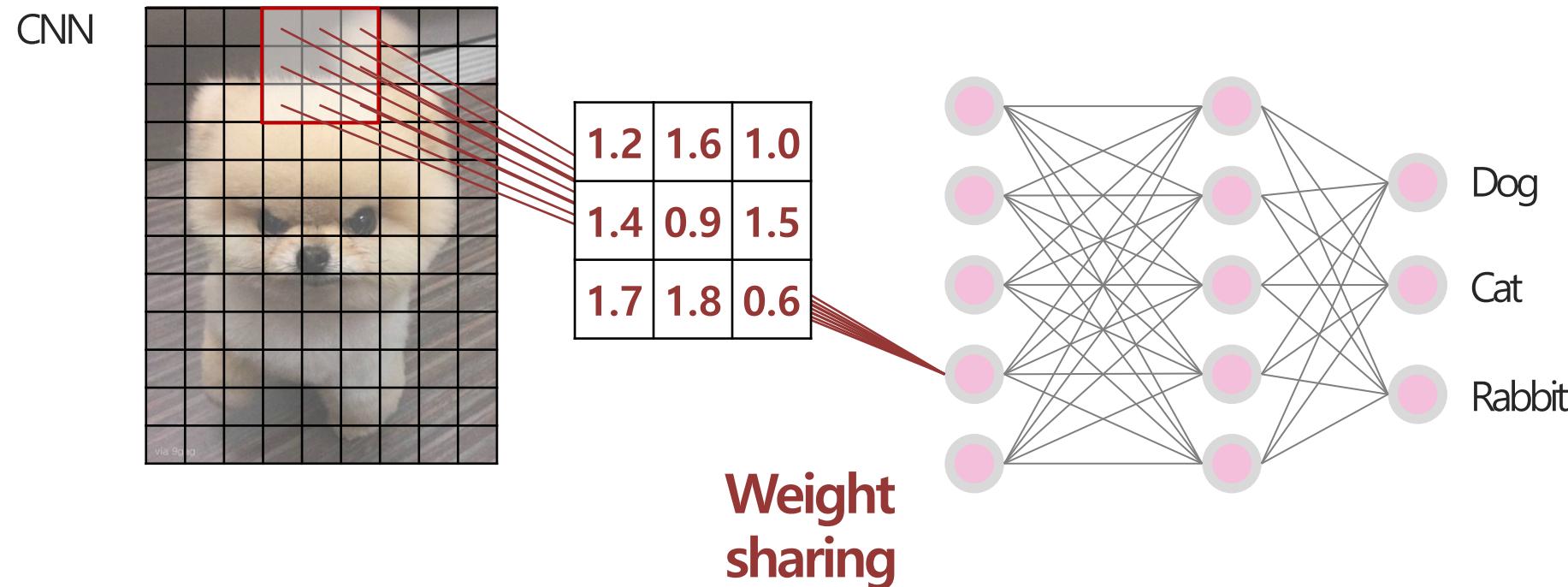
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# Convolutional Neural Networks

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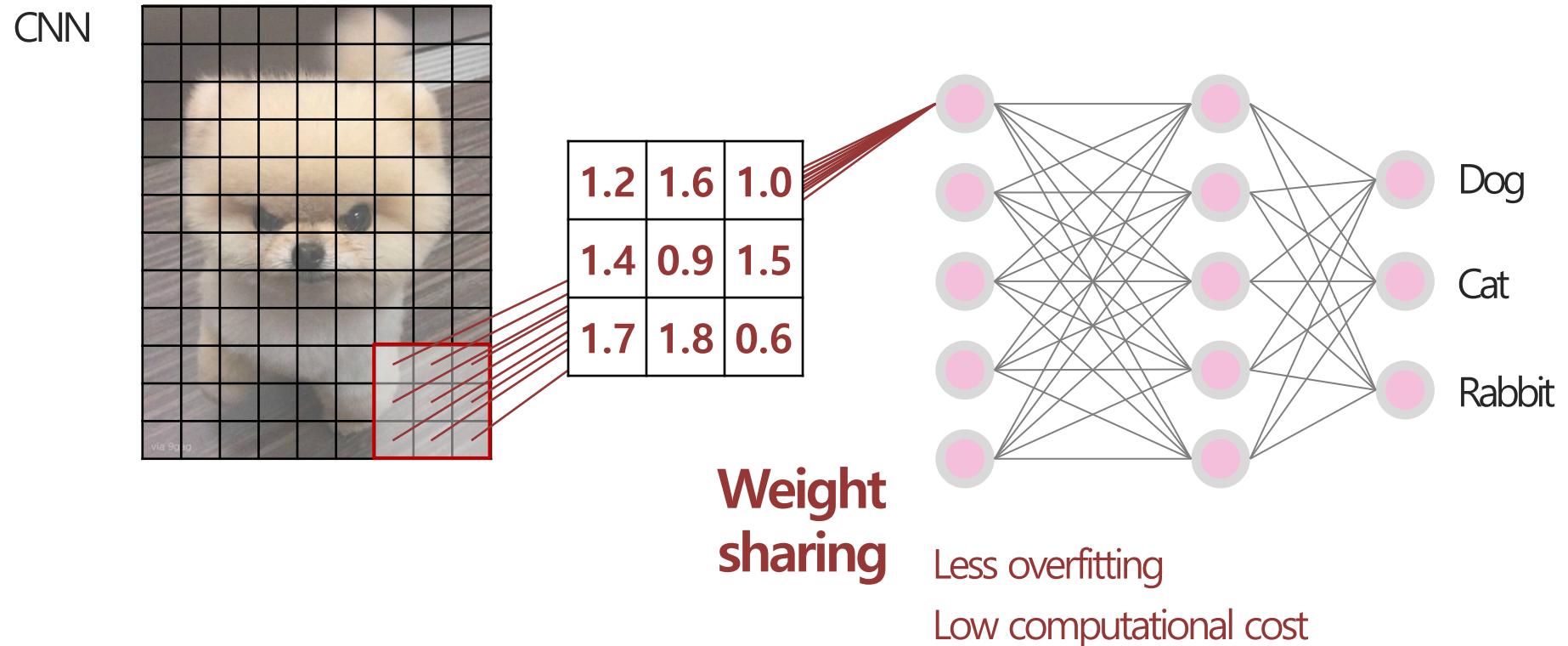
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# Convolutional Neural Networks

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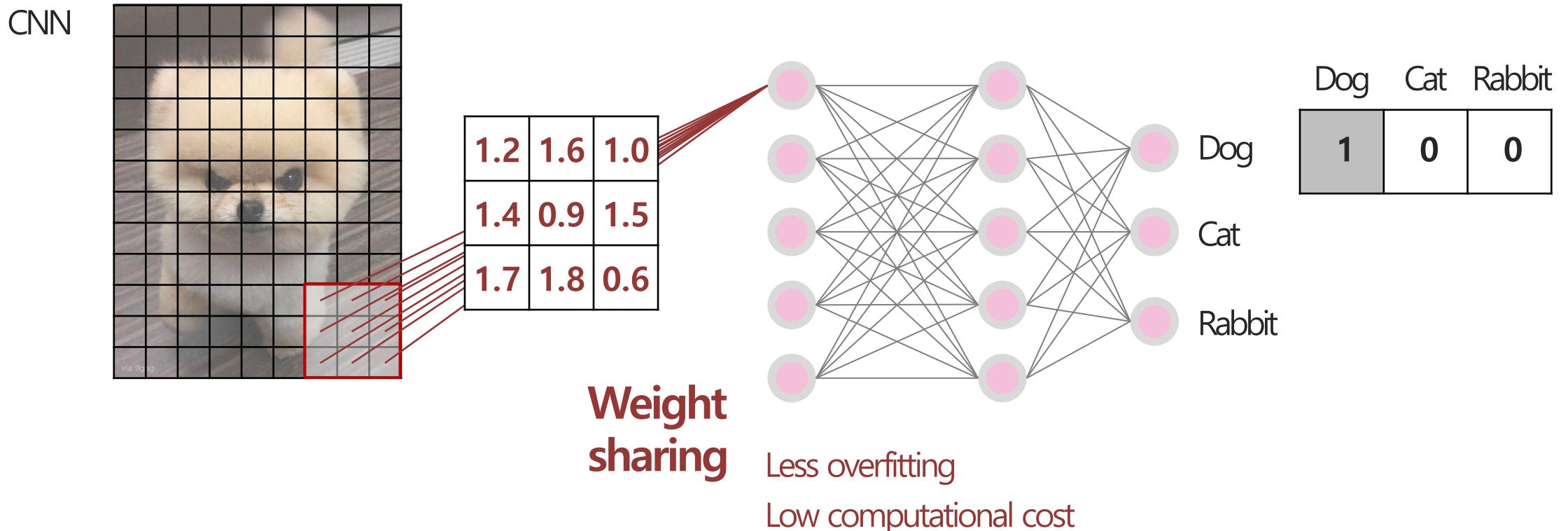
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# Convolutional Neural Networks

## Feature Extraction of Convolutional Neural Networks

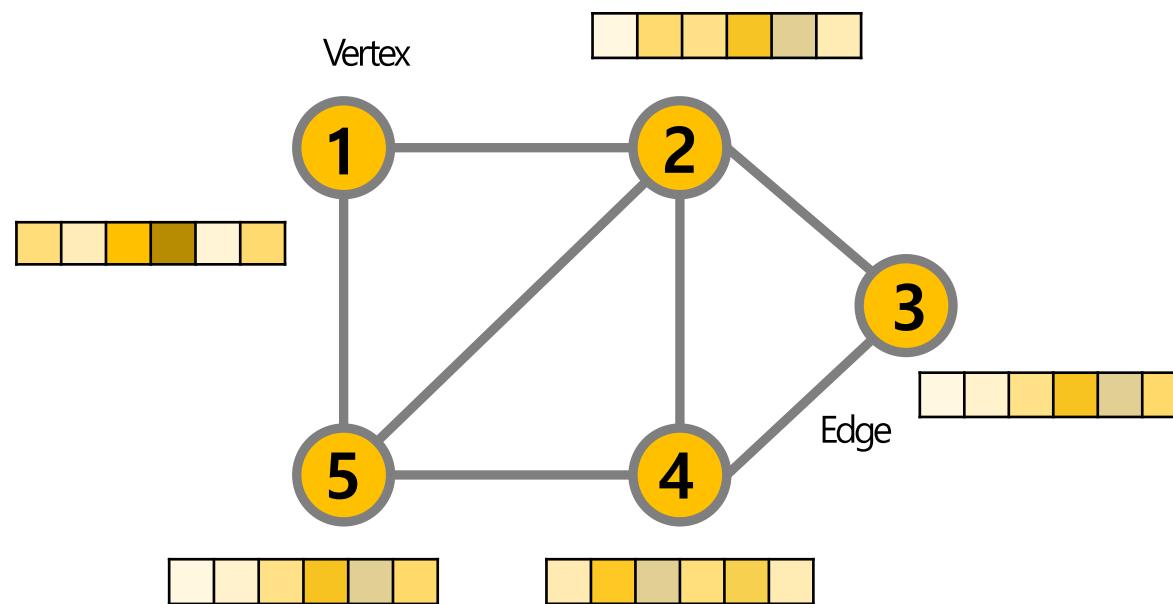
- ❖ CNN에서의 feature extraction 대상은 입력 이미지
- ❖ 각 convolution 연산을 통해 적절한 activation map을 형성하고, 최종적으로 예측을 수행



# Graph Convolutional Neural Networks

## Feature Extraction of Graph Convolutional Networks

- ❖ GCN에서의 feature extraction 대상은 **Vertex(node)**에 대한 정보 “**Node-feature matrix**”



Node – Feature Matrix

$$X \in R^{n \times f}$$

yellow	white	yellow	yellow	white	yellow
white	yellow	yellow	yellow	yellow	yellow
yellow	white	white	white	white	yellow
white	white	white	white	white	yellow
yellow	white	white	white	white	yellow

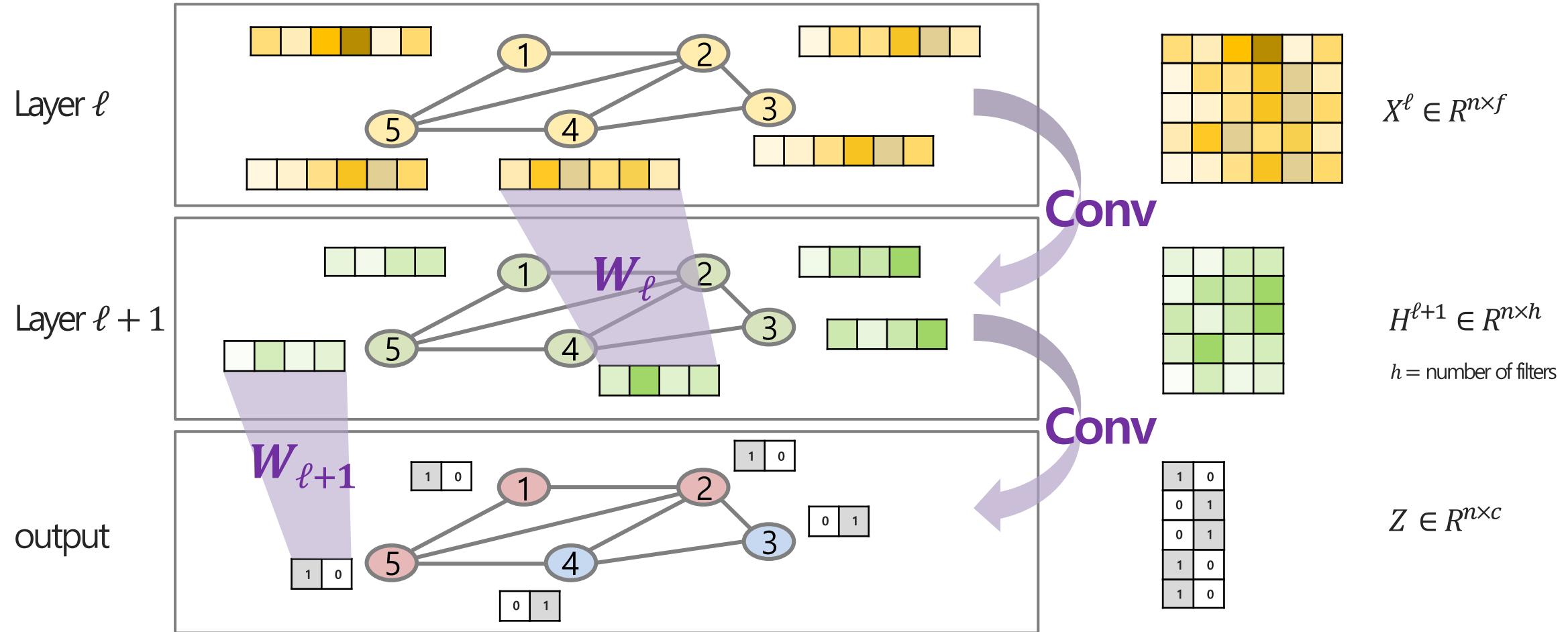
Node – Class Matrix

$$Y \in R^{n \times c}$$

1	0
0	1
0	1
1	0
1	0

# Graph Convolutional Neural Networks

Graphical Overview of GCN



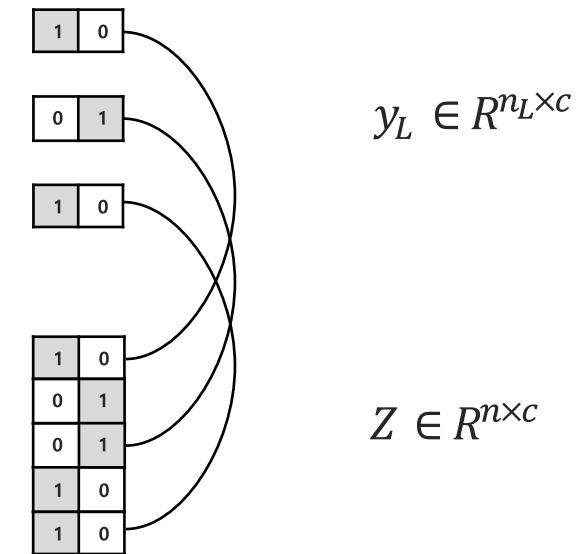
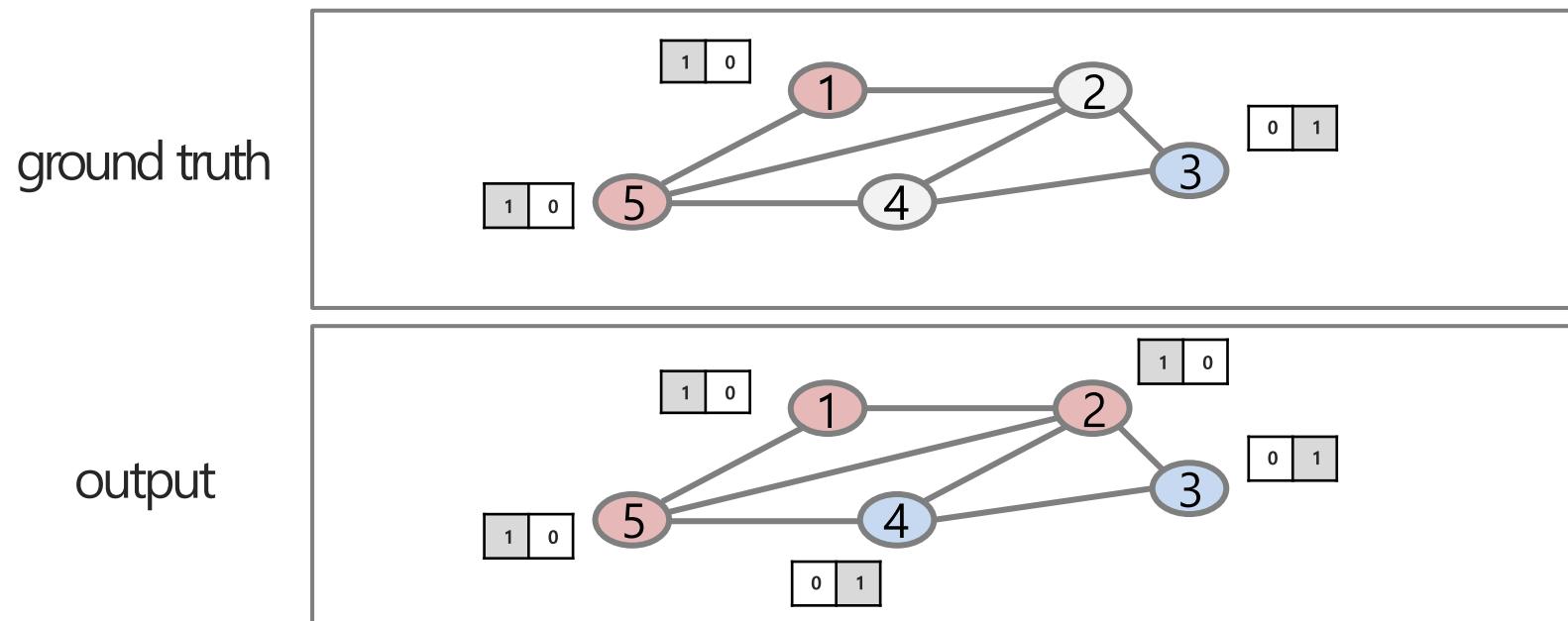
# Graph Convolutional Neural Networks

Graphical Overview of GCN

- Class 1
- Class 2
- Unlabeled

$$Z = \sigma' (\hat{A} \sigma(\hat{A} X^\ell W^\ell + b^\ell) W^{\ell+1} + b^{\ell+1})$$

Loss function  $L = - \sum_{l \in Y_L} \sum_{c=1}^C Y_{lc} \ln(Z_{lc})$  **Cross-entropy error over all labeled data**

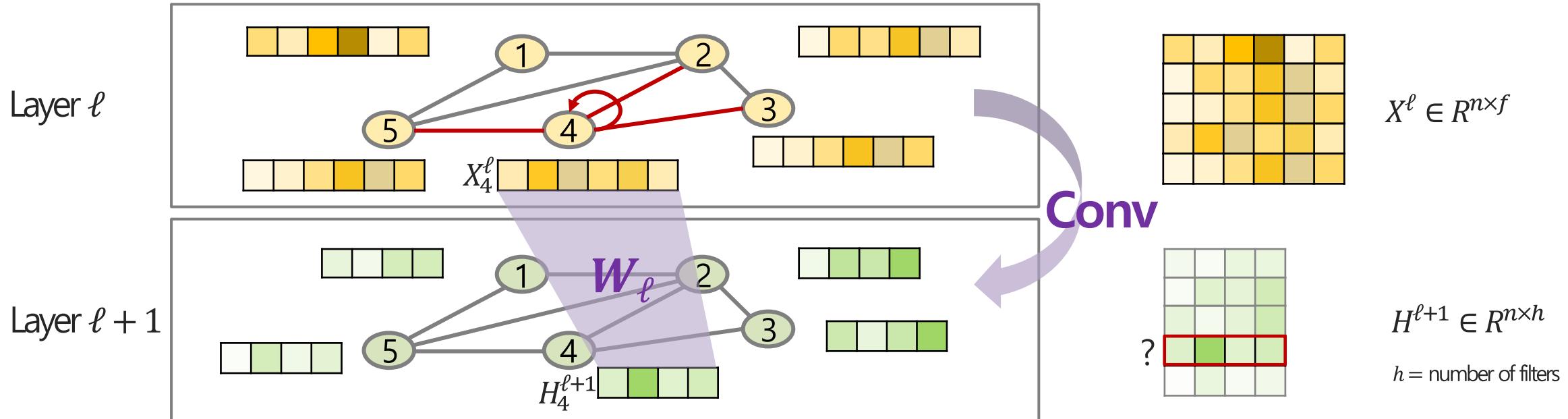


$$Z = \sigma'(\hat{A}\sigma(\hat{A}X^\ell W^\ell + b^\ell)W^{\ell+1} + b^{\ell+1})$$

$H^{\ell+1}$

# Graph Convolutional Neural Networks

GCN Mechanism : Layer  $\ell$  to Layer  $\ell + 1$



자기 자신

이웃들에 대한 정보

$$H_4^{\ell+1} = \sigma(X_4^\ell W^\ell + X_2^\ell W^\ell + X_3^\ell W^\ell + X_5^\ell W^\ell + b^\ell)$$

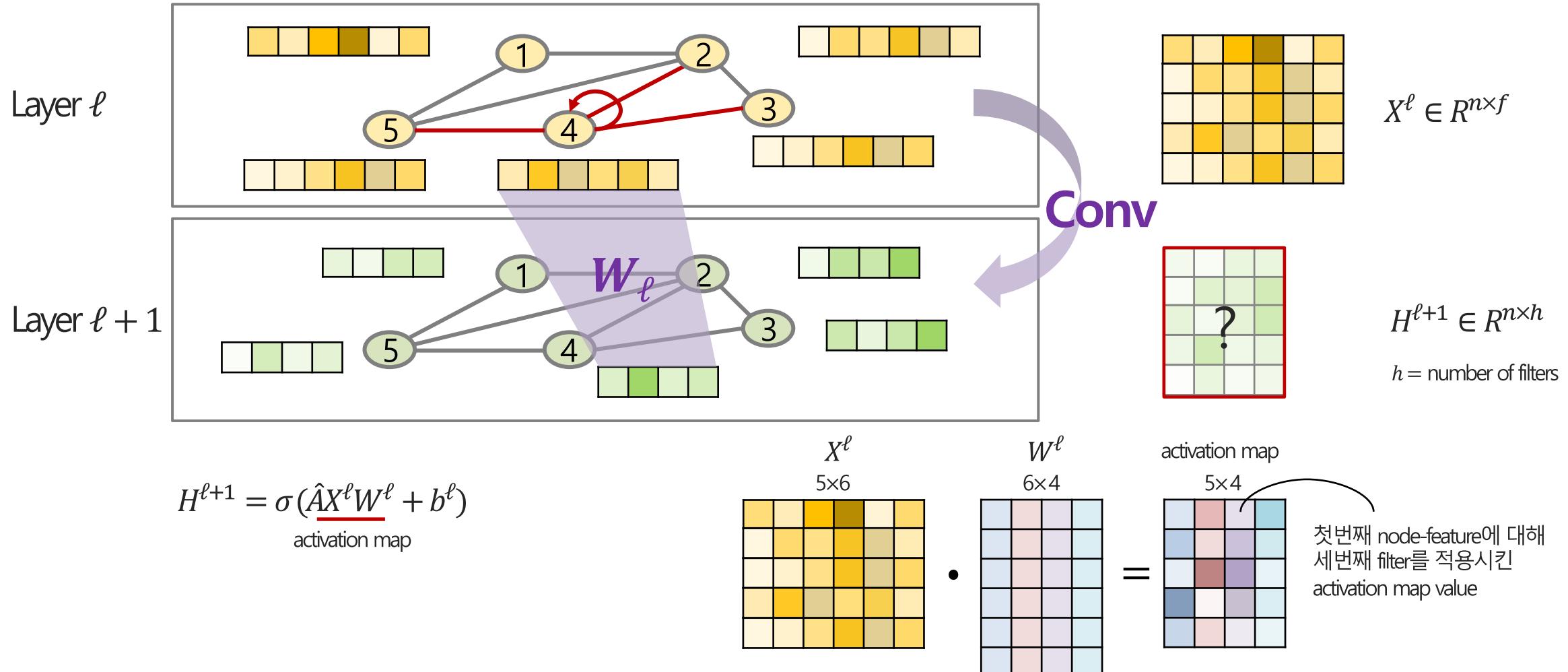
$$= \sigma(\sum_{j \in N(4)} X_j^\ell W^\ell + b^\ell)$$

$$Z = \sigma'(\hat{A}\sigma(\hat{A}X^\ell W^\ell + b^\ell)W^{\ell+1} + b^{\ell+1})$$

$H^{\ell+1}$

# Graph Convolutional Neural Networks

GCN Mechanism : Layer  $\ell$  to Layer  $\ell + 1$

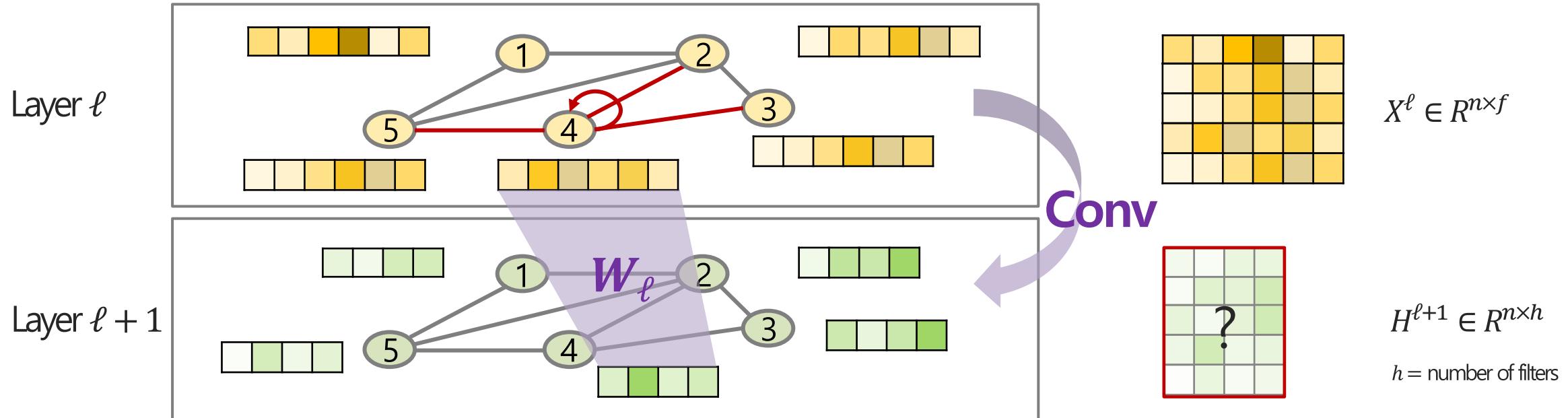


$$Z = \sigma'(\hat{A}\sigma(\hat{A}X^\ell W^\ell + b^\ell)W^{\ell+1} + b^{\ell+1})$$

$H^{\ell+1}$

# Graph Convolutional Neural Networks

GCN Mechanism : Layer  $\ell$  to Layer  $\ell + 1$



$$\tilde{D}^{-1/2} \quad 5 \times 5$$

$1/\sqrt{3}$	0	0	0	0
0	$1/\sqrt{5}$	0	0	0
0	0	$1/\sqrt{3}$	0	0
0	0	0	$1/\sqrt{4}$	0
0	0	0	0	$1/\sqrt{4}$

$$(I + A) \quad 5 \times 5$$

1	1	0	0	1
1	1	1	1	1
0	1	1	1	0
0	1	1	1	1
1	1	0	1	1

$$\tilde{D}^{-1/2} \quad 5 \times 5$$

$1/\sqrt{3}$	0	0	0	0
0	$1/\sqrt{5}$	0	0	0
0	0	$1/\sqrt{3}$	0	0
0	0	0	$1/\sqrt{4}$	0
0	0	0	0	$1/\sqrt{4}$

activation map

$$5 \times 4$$

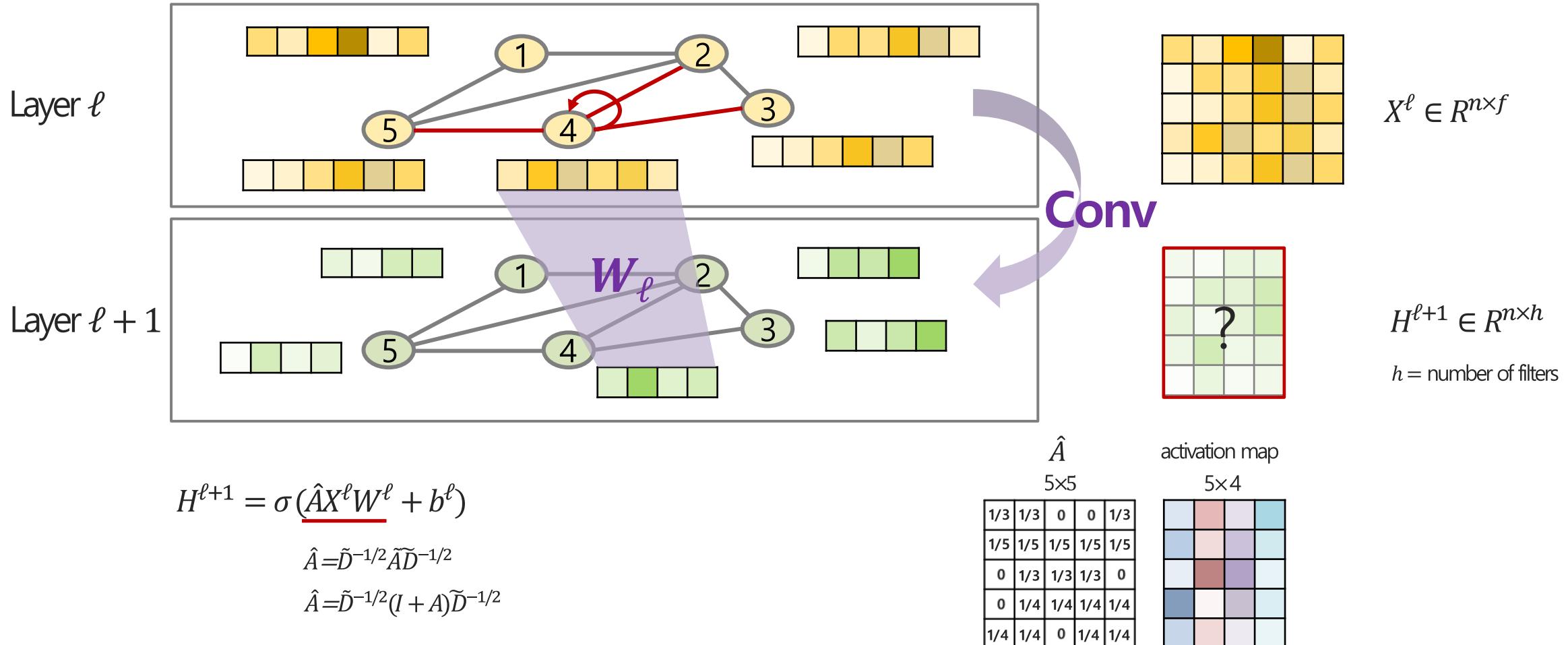
Light Blue	Red	Light Blue	Light Blue
Light Blue	Red	Light Blue	Light Blue
Light Blue	Red	Light Blue	Light Blue
Dark Blue	Light Red	Dark Purple	Light Blue
Light Blue	Red	Light Blue	Light Blue

$$Z = \sigma'(\hat{A}\sigma(\hat{A}X^\ell W^\ell + b^\ell)W^{\ell+1} + b^{\ell+1})$$

$H^{\ell+1}$

# Graph Convolutional Neural Networks

GCN Mechanism : Layer  $\ell$  to Layer  $\ell + 1$

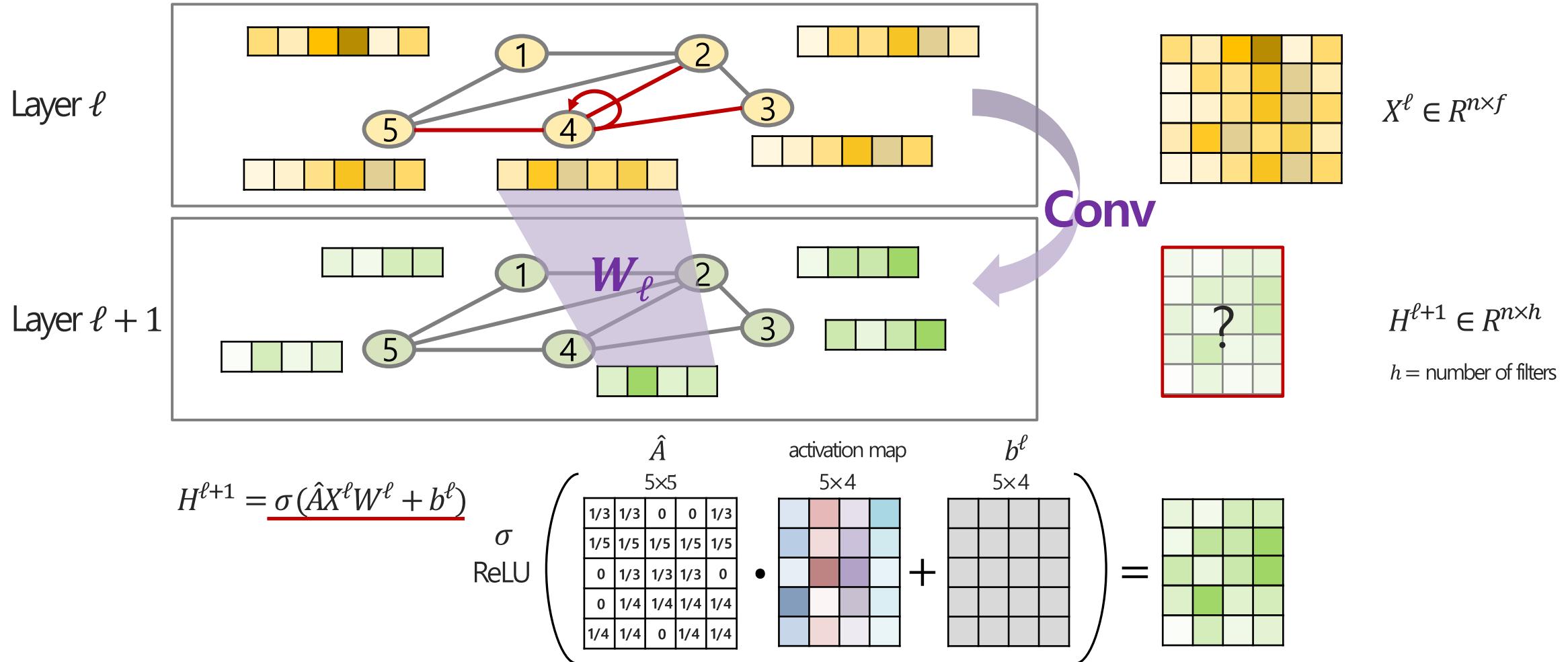


$$Z = \sigma'(\hat{A}\sigma(\hat{A}X^\ell W^\ell + b^\ell)W^{\ell+1} + b^{\ell+1})$$

$H^{\ell+1}$

# Graph Convolutional Neural Networks

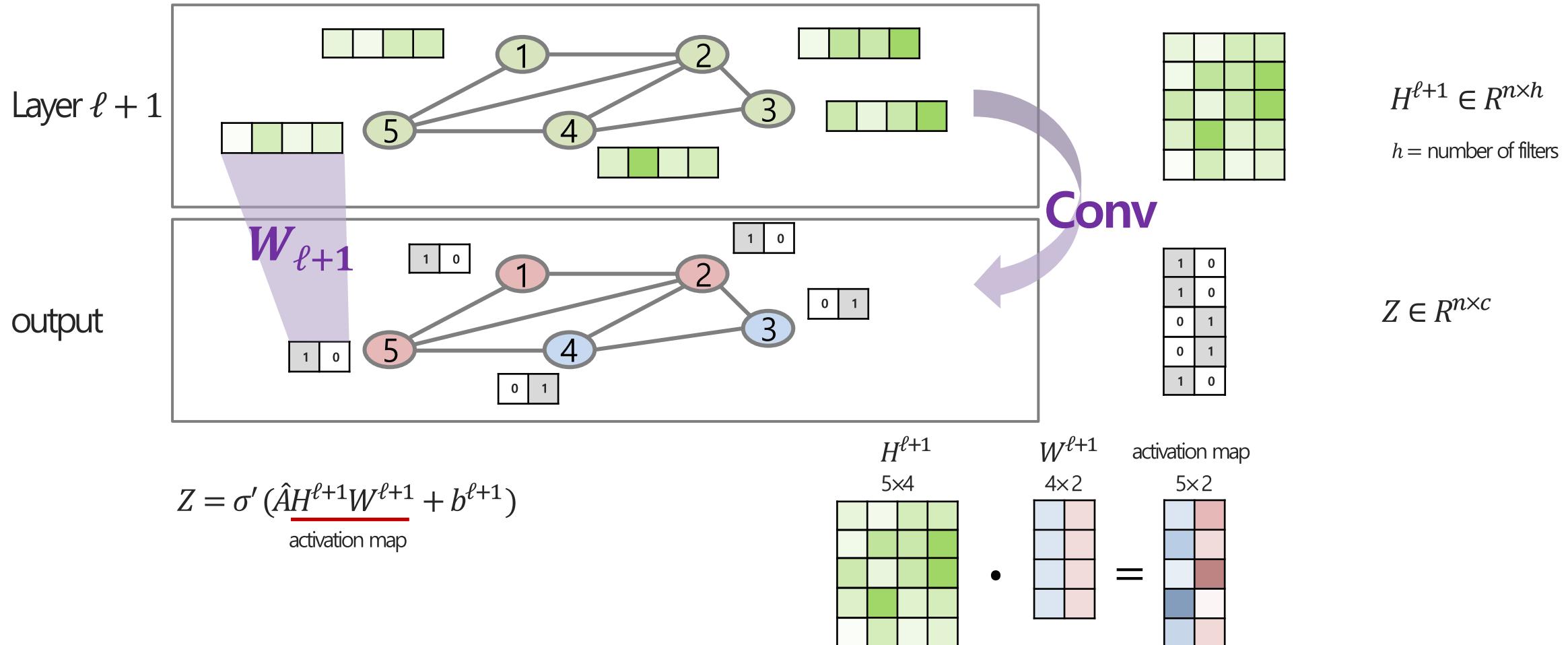
GCN Mechanism : Layer  $\ell$  to Layer  $\ell + 1$



$$Z = \sigma'(\hat{A}\sigma(\hat{A}X^\ell W^\ell + b^\ell)W^{\ell+1} + b^{\ell+1})$$

# Graph Convolutional Neural Networks

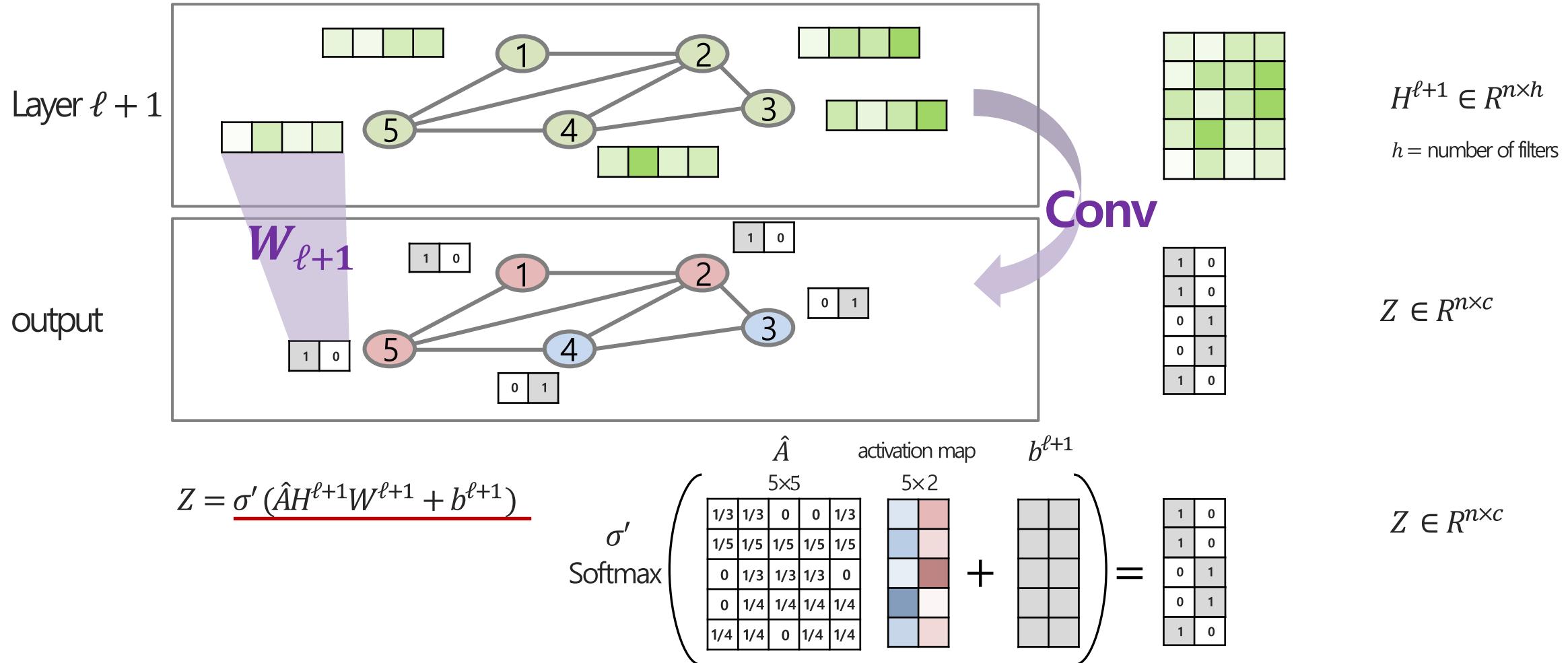
GCN Mechanism : Layer  $\ell + 1$  to Output Layer



$$Z = \sigma'(\hat{A}\sigma(\hat{A}X^\ell W^\ell + b^\ell)W^{\ell+1} + b^{\ell+1})$$

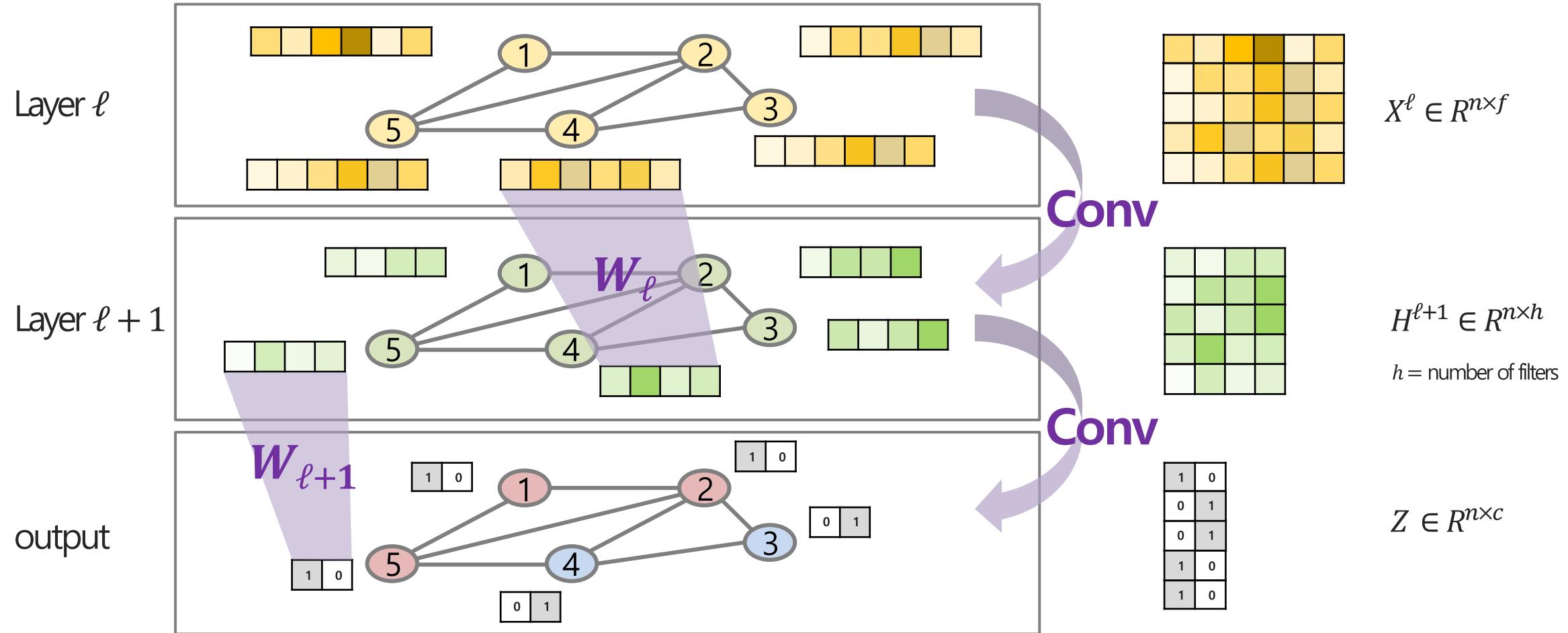
# Graph Convolutional Neural Networks

GCN Mechanism : Layer  $\ell + 1$  to Output Layer



# Graph Convolutional Neural Networks

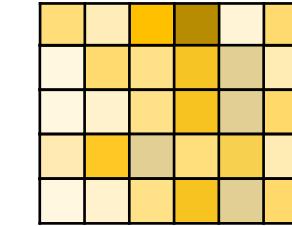
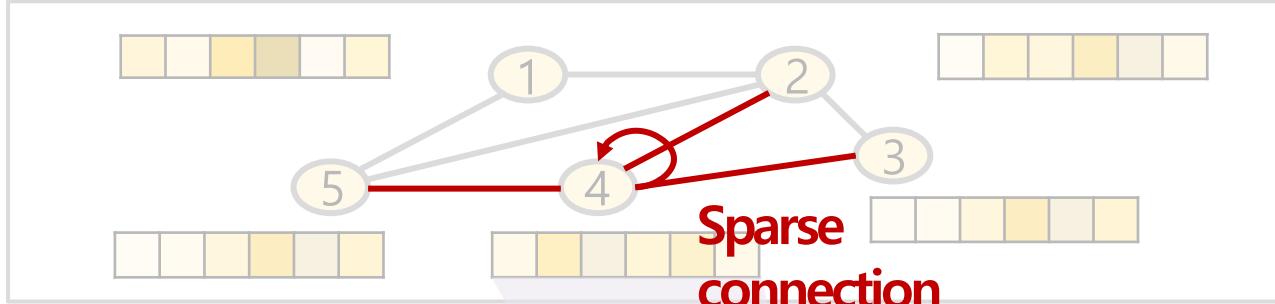
## Graphical Overview of GCN



# Graph Convolutional Neural Networks

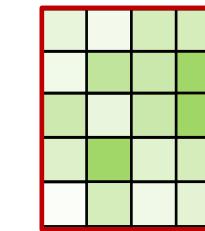
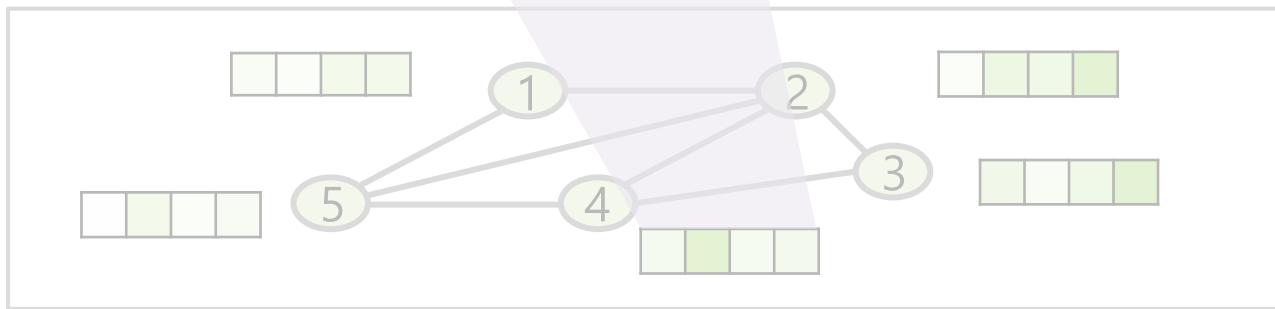
GCN  $\approx$  CNN

GCN



$$X^\ell \in R^{n \times f}$$

CNN



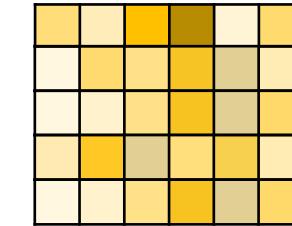
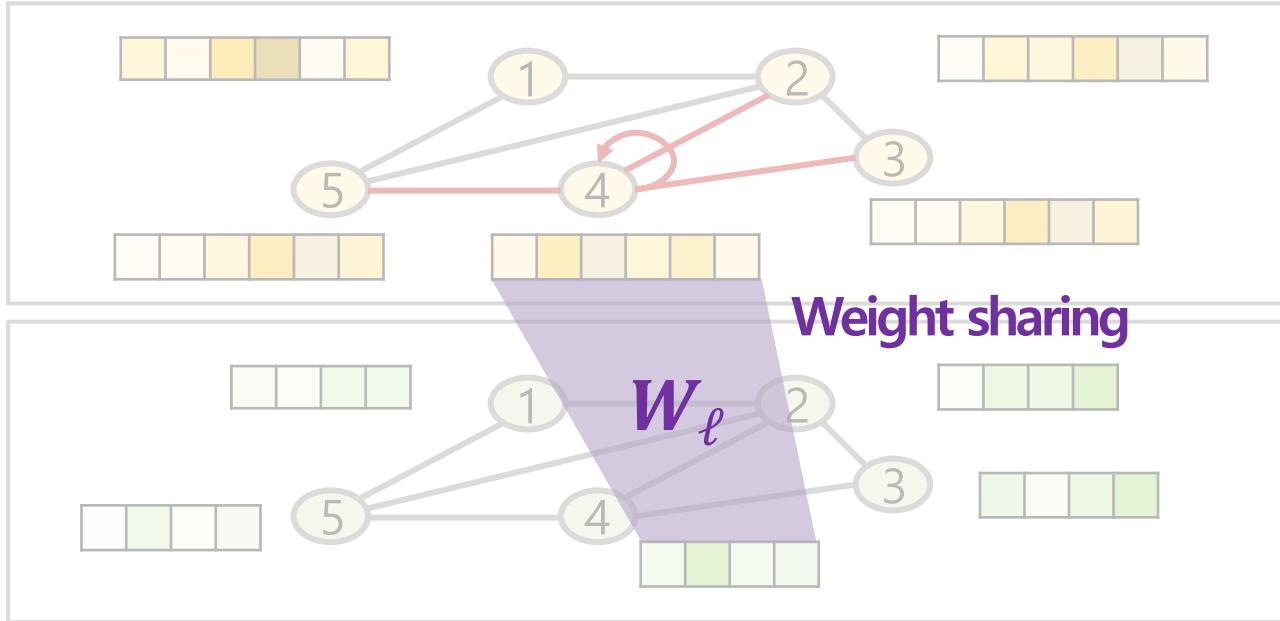
$$H^{l+1} \in R^{n \times h}$$

$h$  = number of filters

# Graph Convolutional Neural Networks

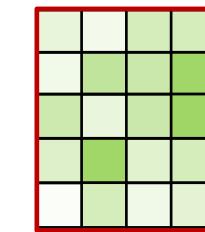
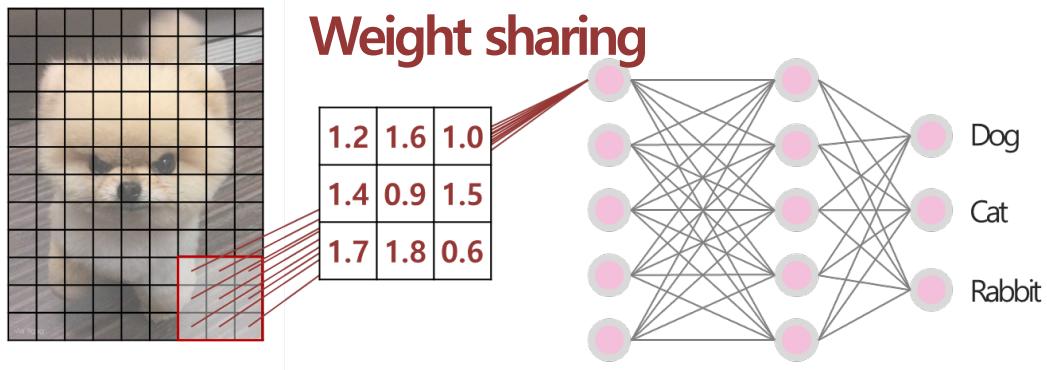
Advanced Techniques of GCN

GCN



$$X^\ell \in R^{n \times f}$$

CNN



$$H^{\ell+1} \in R^{n \times h}$$

$h$  = number of filters

# Conclusions

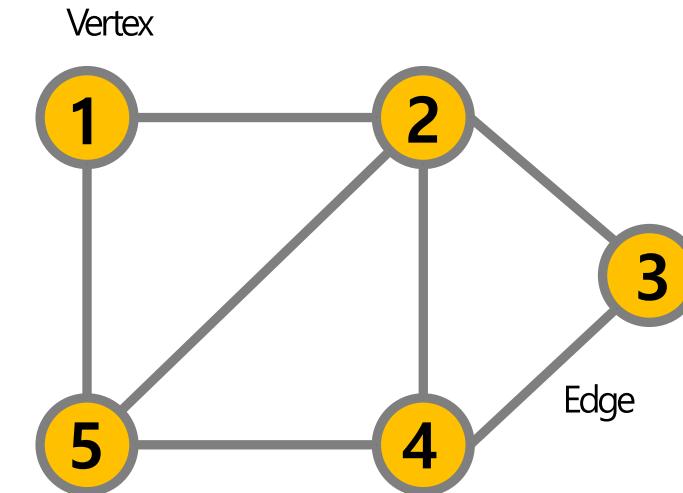
## Key point

- ❖ Graph data에 대한 이해
- ❖ Semi-supervised learning에 대한 이해
- ❖ Label propagation 연구들의 수식 전개 흐름
- ❖ Graph data를 CNN에 적용하고자 개발된 GCN의 구조

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Degree Matrix  
 $D \in R^{n \times n}, D_{i,i} = \sum_j A_{i,j}$

2	0	0	0	0
0	4	0	0	0
0	0	2	0	0
0	0	0	3	0
0	0	0	0	3

Adjacency Matrix  
 $A \in R^{n \times n}$

0	1	0	0	1
1	0	1	1	1
0	1	0	1	0
0	1	1	0	1
1	1	0	1	0

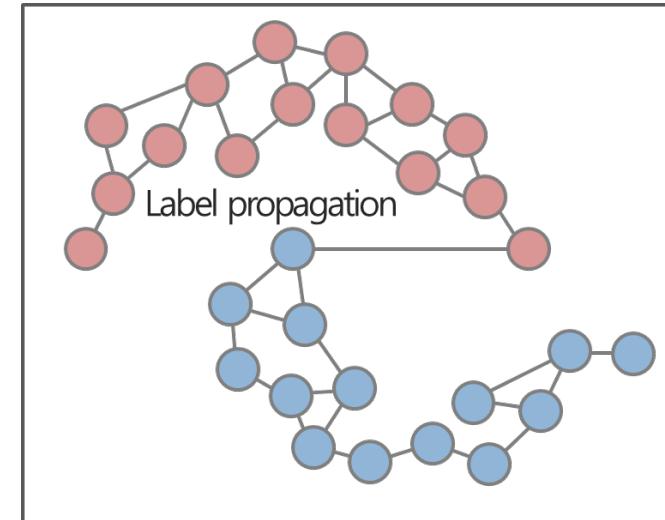
Laplacian Matrix  
 $L \in R^{n \times n}, L = D - A$

2	-1	0	0	-1
-1	4	-1	-1	-1
0	-1	2	-1	0
0	-1	-1	3	-1
-1	-1	0	-1	3

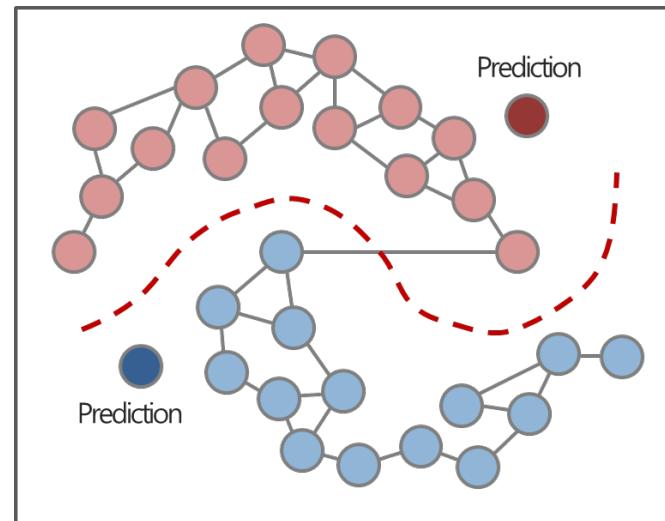
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Transductive learning



Inductive learning

# Conclusions

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$$\min_{y \in \{0,1\}^{n_L+n_U}} \sum_{i=1}^{n_L} (y_i - y_{L_i})^2 + \sum_{i,j=1}^{n_L+n_U} w_{ij} (y_i - y_j)^2$$

$$\min_{f \in \mathbb{R}^{n_L+n_U}} \sum_{i=1}^{n_L} (f(x_i) - y_{L_i})^2 + f^T L f$$

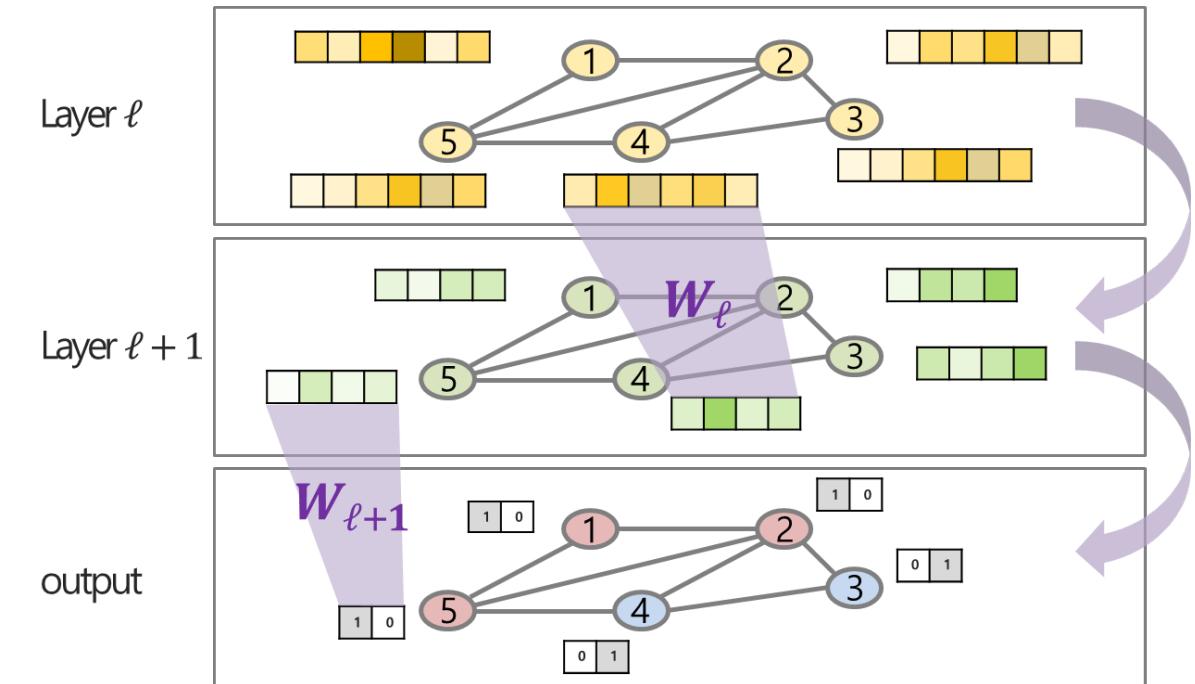
$$\min_{f \in \mathbb{R}^{n_L+n_U}} \sum_{i=1}^{n_L} (1 - \mu)(f(x_i) - y_{L_i})^2 + \mu f^T L f$$

$$\min_{f \in \mathbb{R}^{n_L+n_U}} (1 - \mu) \| (f - y) \|^2 + \mu f^T L f$$

# Conclusions

## Key point

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# Thank you

# References

1. Zhou, D., Bousquet, O., Lal, T. N., Weston, J., & Schölkopf, B. (2004). Learning with local and global consistency. *Advances in neural information processing systems*, 16(16), 321-328.
2. Bai, L., Wang, J., Liang, J., & Du, H. (2020). New label propagation algorithm with pairwise constraints. *Pattern Recognition*, 106, 107411.
3. Kipf, T. N., & Welling, M. (2016). Semi-supervised classification with graph convolutional networks. *arXiv preprint arXiv:1609.02907*.
4. Chong, Y., Ding, Y., Yan, Q., & Pan, S. (2020). Graph-based semi-supervised learning: A review. *Neurocomputing*, 408, 216-230.
5. <http://dmqa.korea.ac.kr/activity/seminar/267>
6. <https://github.com/pilsung-kang/Business-Analytics-IME654-/tree/master/05%20Semi-supervised%20Learning>

# Appendix

# Semi-Supervised Learning

## Assumptions

### ❖ Smoothness assumption

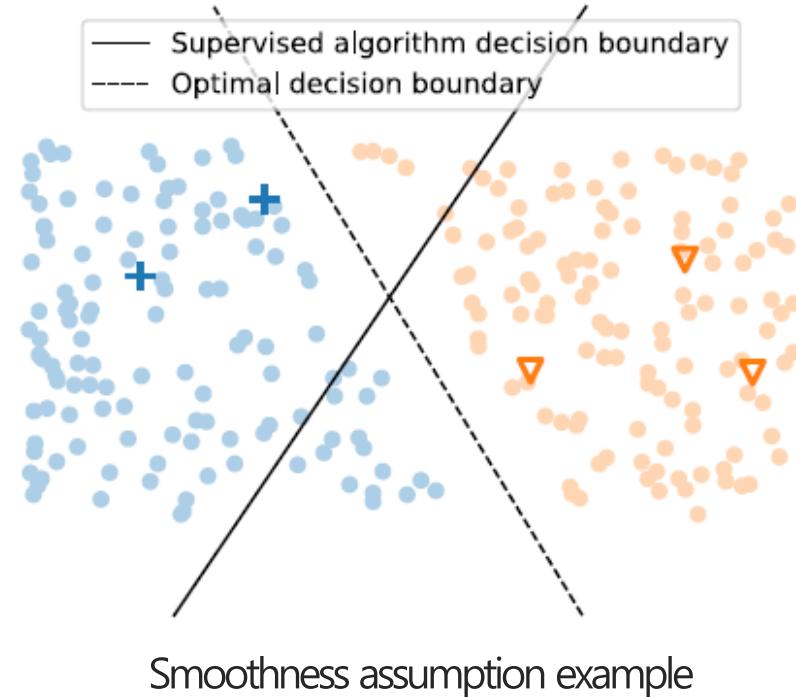
- 서로 가까운 데이터(points)는 같은 레이블일 확률이 높음

### ❖ Cluster assumption

- 같은 군집을 갖는 데이터(points)는 같은 레이블일 확률이 높음

### ❖ Manifold assumption

- 고차원상(input space)의 데이터(points)가 저차원상(feature space)에서 특정 구조(manifold)를 따라 놓여있음
- 저차원상에서 같은 특정 구조(manifold)를 갖는 데이터(points)는 같은 레이블일 확률이 높음



# Semi-Supervised Learning

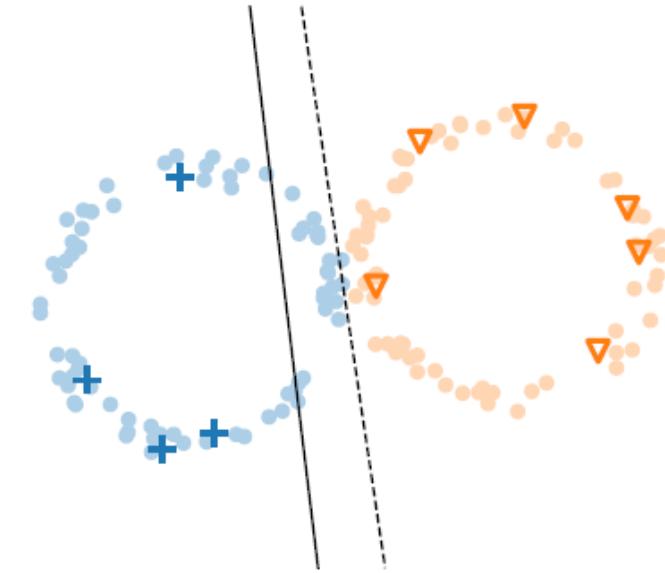
## Assumptions

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Manifold assumption example

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# Label Propagation

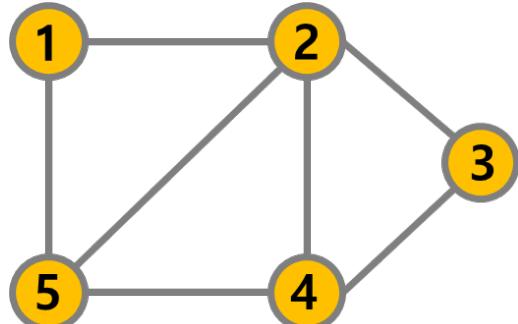
## Harmonic Solution

- ❖ Laplacian matrix를 활용하여 표현가능
- ❖ Laplacian matrix = Degree matrix – Adjacency matrix

$$\sum_{i,j=1}^{n_L+n_U} w_{ij} (f(x_i) - f(x_j))^2 = f^T L f$$

Laplacian Matrix  
 $L \in R^{n \times n}, L = D - A$

2	-1	0	0	-1
-1	4	-1	-1	-1
0	-1	2	-1	0
0	-1	-1	3	-1
-1	-1	0	-1	3



$$\begin{aligned} \sum_{i,j=1}^{n_L+n_U} w_{ij} (f(x_i) - f(x_j))^2 \\ &= 1 \times \{f(x_1) - f(x_2)\}^2 + 0 \times \{f(x_1) - f(x_3)\}^2 \\ &\quad + 0 \times \{f(x_1) - f(x_4)\}^2 + 1 \times \{f(x_1) - f(x_5)\}^2 \\ &\quad + 1 \times \{f(x_2) - f(x_3)\}^2 + 1 \times \{f(x_2) - f(x_4)\}^2 \\ &\quad + 1 \times \{f(x_2) - f(x_5)\}^2 + \dots + 1 \times \{f(x_4) - f(x_5)\}^2 \end{aligned}$$

# Label Propagation

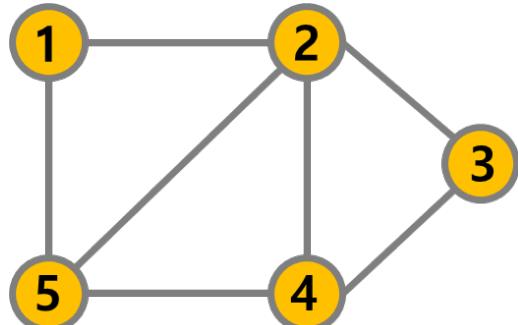
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-1	4	-1	-1	-1
0	-1	2	-1	0
0	-1	-1	3	-1
-1	-1	0	-1	3



$$\begin{aligned} & \sum_{i,j=1}^{n_L+n_U} w_{ij} (f(x_i) - f(x_j))^2 \\ &= f(x_1)\{2f(x_1) - f(x_2) - f(x_5)\} \\ &\quad + f(x_2)\{-f(x_1) + 4f(x_2) - f(x_3) - f(x_4) - f(x_5)\} \\ &\quad + f(x_3)\{-f(x_2) + 2f(x_3) - f(x_4)\} \\ &\quad + f(x_4)\{-f(x_2) - f(x_3) + 3f(x_4) - f(x_5)\} \\ &\quad + f(x_5)\{-f(x_1) - f(x_2) - f(x_4) + 3f(x_5)\} \end{aligned}$$

# Label Propagation

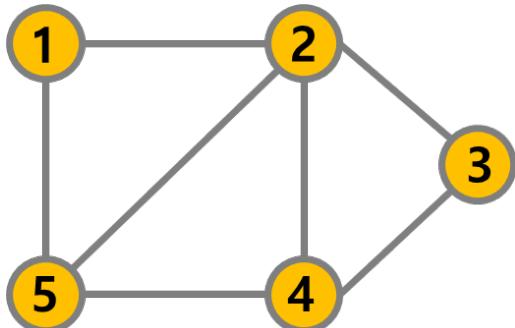
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2	-1	0	0	-1
-1	4	-1	-1	-1
0	-1	2	-1	0
0	-1	-1	3	-1
-1	-1	0	-1	3



$$\sum_{i,j=1}^{n_L+n_U} w_{ij} (f(x_i) - f(x_j))^2$$

$$= [f(x_1) \ f(x_2) \ f(x_3) \ f(x_4) \ f(x_5)] \times$$

$$\begin{bmatrix} 2 & -1 & 0 & 0 & -1 \\ -1 & 4 & -1 & -1 & -1 \\ 0 & -1 & 2 & -1 & 0 \\ 0 & -1 & -1 & 3 & -1 \\ -1 & -1 & 0 & -1 & 3 \end{bmatrix} \times \begin{bmatrix} f(x_1) \\ f(x_2) \\ f(x_3) \\ f(x_4) \\ f(x_5) \end{bmatrix}$$

# Label Propagation

## Label Propagation with Pairwise Constraints

- ❖ 최근에는 negative label, multi-label 등 label의 형태에 따라 연구가 수행
- ❖ Pairwise constraints는 예측된 clustering label ( $f(x_i)$ )의 순서가 다른 경우를 반영



### New label propagation algorithm with pairwise constraints

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#### ABSTRACT

The label propagation algorithm is a well-known semi-supervised clustering method, which uses pre-given partial labels as constraints to predict the labels of unlabeled data. However, the algorithm has the following limitations: (1) it does not fully consider the misalignment between the pre-given labels and clustering labels, and (2) it only uses label information as clustering constraints. Real applications not only contain partial label information but pairwise constraints on a dataset. To overcome these deficiencies, a new version of the label propagation algorithm is proposed, which makes use of pairwise relations of labels as constraints to construct an optimization model for spreading labels. Experimental analysis was used to compare the proposed algorithm with 8 other semi-supervised clustering algorithms on 11 benchmark datasets. The experimental results demonstrated that the proposed algorithm is more effective than other algorithms.

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# Label Propagation

## Label Propagation with Pairwise Constraints

- ❖ Pairwise constraints는 예측된 clustering label ( $f(x_i)$ )의 순서가 다른 경우를 반영
- ❖ 다양한 형태의 label ( $y$ )에 적용 가능하도록 변경

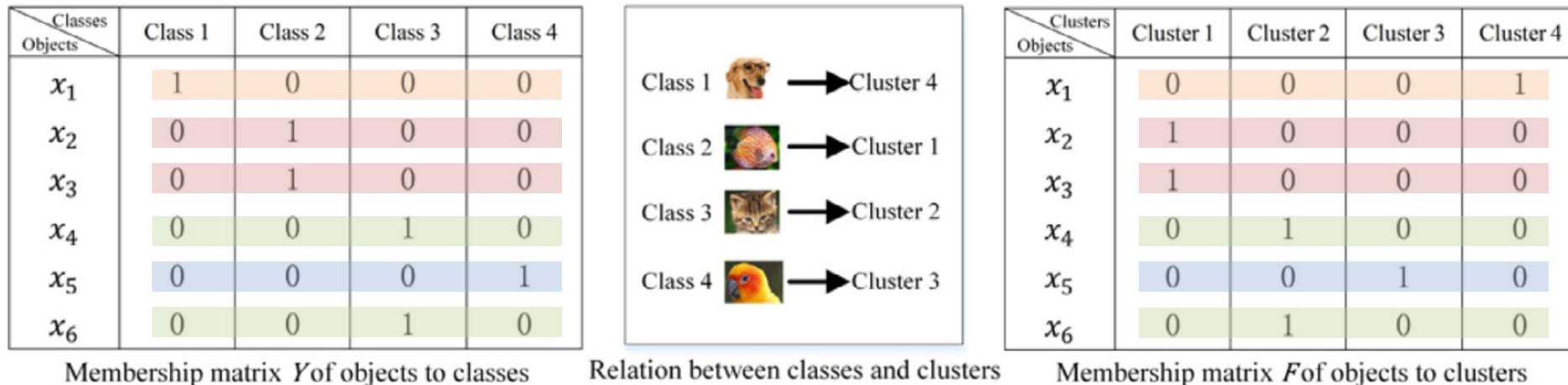


Fig. 1. Misalignment between class labels and cluster labels.

# Label Propagation

## Label Propagation with Pairwise Constraints

- ❖ Pairwise constraints는 예측된 clustering label ( $f(x_i)$ )의 순서가 다른 경우를 반영
- ❖ 다양한 형태의 label ( $y$ )에 적용 가능하도록 변경

Harmonic  
solution

$$\min_{f \in \mathbb{R}^{n_L+n_U}} \sum_{i=1}^{n_L} (1 - \mu) (f(x_i) - y_{L_i})^2 + \mu f^T L f$$

Local  
consistency

Global  
consistency

$$\min_{f \in \mathbb{R}^{n_L+n_U}} (1 - \mu) (f(x_i) - y_{L_i})^2 + \mu f^T L f$$

$$\min_{f \in \mathbb{R}^{n_L+n_U}} (1 - \mu) \| (f - y) \|^2 + \mu f^T L f$$

Pairwise Constraints

$$\min_{f \in \mathbb{R}^{n_L+n_U}} (1 - \mu) \| (ff^T - P) \|^2 + \mu f^T L f$$

$$P = \begin{cases} yy^T, & \text{given positive labels} \\ \frac{1}{k-1}(y^-y^{-T}), & \text{given negative labels} \end{cases}$$

# Label Propagation

## Label Propagation with Pairwise Constraints

Classes Objects \	Class 1	Class 2	Class 3	Class 4
$x_1$	1	0	0	0
$x_2$	0	1	0	0
$x_3$	0	1	0	0
$x_4$	0	0	1	0
$x_5$	0	0	0	1
$x_6$	0	0	1	0

Membership matrix  $Y$  of objects to classes

Clusters Objects \	Cluster 1	Cluster 2	Cluster 3	Cluster 4
$x_1$	0	0	0	1
$x_2$	1	0	0	0
$x_3$	1	0	0	0
$x_4$	0	1	0	0
$x_5$	0	0	1	0
$x_6$	0	1	0	0

Relation between classes and clusters

Clusters Objects \	Cluster 1	Cluster 2	Cluster 3	Cluster 4
$x_1$	0	0	0	1
$x_2$	1	0	0	0
$x_3$	1	0	0	0
$x_4$	0	1	0	0
$x_5$	0	0	1	0
$x_6$	0	1	0	0

Membership matrix  $F$  of objects to clusters

Fig. 1. Misalignment between class labels and cluster labels.

$$\min_{f \in \mathbb{R}^{n_L+n_U}} (1 - \mu) \| (ff^T - P) \|^2 + \mu f^T L f$$

$$P = \begin{cases} yy^T, & \text{given positive labels} \\ \frac{1}{k-1}(y^-y^{-T}), & \text{given negative labels} \end{cases}$$

$$y = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{bmatrix}$$

$$f = \begin{bmatrix} 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \end{bmatrix}$$

$$yy^T = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 1 \end{bmatrix}$$

$$ff^T = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 1 \end{bmatrix}$$

$=$

Class label 과 Clustering label 사이  
매칭되지 않는 문제를 해결

# Label Propagation

## Summary

Min-cut

$$\min_{y \in \{0,1\}^{n_L+n_U}} \sum_{i=1}^{n_L} (y_i - y_{L_i})^2 + \sum_{i,j=1}^{n_L+n_U} w_{ij} (y_i - y_j)^2$$

Labeled :  
Supervised loss

Harmonic  
Solution

$$\min_{f \in \mathbb{R}^{n_L+n_U}} \sum_{i=1}^{n_L} (f(x_i) - y_{L_i})^2 + f^T L f$$

Unlabeled :  
Semi-Supervised loss

Local and  
Global Consistency

$$\min_{f \in \mathbb{R}^{n_L+n_U}} \sum_{i=1}^{n_L} (1 - \mu) (f(x_i) - y_{L_i})^2 + \mu f^T L f$$

Pairwise Constraints

$$\min_{f \in \mathbb{R}^{n_L+n_U}} (1 - \mu) \|f - y\|^2 + \mu f^T L f$$